



# Rules of Racing

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Greyhound Board of Great Britain Limited

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## Racecourses licensed



As required by the Welfare of Racing Greyhounds Regulations 2010, the Greyhound Board of Great Britain (GBGB) is accredited by the United Kingdom Accreditation Service (UKAS) for the Certification and ongoing surveillance of Racecourses. The following Racecourses have been granted licenses by the GBGB. This information is correct at the time of publication.

### Names of Racecourses

### Proprietors and addresses

#### **Belle Vue**

GRA Ltd., Belle Vue Stadium, Kirkmanshulme Lane,  
Gorton, Manchester M18 7BA  
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<b>Henlow</b>	Henlow Racing Ltd., Henlow Greyhound Stadium, Bedford Rd., Lower Stondon, Bedfordshire SG16 6EA <b>Tel: 01462 851850 Fax: 01462 815593</b> <b>Email: henlow@formnet.org</b>
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<b>Newcastle</b>	Team Greyhounds Brough Park) Ltd., Newcastle Stadium, The Fossway, Byker, Newcastle Upon Tyne NE6 2XJ <b>Tel: 0191 210 5305 Fax: 0191 265 1452</b> <b>Email: Newcastle@formnet.org</b>
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<b>Sheffield</b>	Sheffield Sports Stadium Ltd., Owlerton Stadium, Penistone Road, Sheffield S6 2DE <b>Tel: 0114 285 5888 Fax: 0114 233 1178</b> <b>Email: sheffield@formnet.org</b>
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<b>Swindon</b>	Gaming International Ltd., Swindon Stadium, Lady Lane, Blunsdon, Nr.Swindon, Wilts SN25 4DN <b>Tel: 01793 706597 Fax: 01793 723038</b> <b>Email: Swindon.racingoffice@virgin.net</b>
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**Section 1 THE GREYHOUND BOARD OF GREAT BRITAIN LIMITED****Rule 1 General Definitions**

Wherever the following terms or abbreviations appear, they shall have the following meanings and effect:

- i** The GBGB shall mean The Greyhound Board of Great Britain Limited. The Greyhound Regulatory Board shall mean the Greyhound Regulatory Board of the GBGB. The Stewards shall mean the Disciplinary Committee of the GBGB. References to the GBGB may be made when the GBGB is acting on behalf of the Greyhound Regulatory Board or the Disciplinary Committee.
- ii** The GBGB Calendar shall be an official publication of the GBGB issued under the title 'GBGB Calendar.'
- iii** 'registered', 'unregistered', 'registration', 'licensed', 'unlicensed' and all related terms, shall unless otherwise stated, refer to registration or licensing by the GBGB acting by the Greyhound Regulatory Board.
- iv** A 'Day' shall mean 24 hours from midnight
- v** 'Month' shall mean calendar Month
- vi** When the last Day stated for compliance under these Rules falls on a Sunday it may be done on the following Monday, unless a Race to which such act relates is appointed for that Day, in which case it shall be done on the previous Saturday.
- vii** Wherever under these Rules notice is required to be given to any person other than the GBGB or any officer, servant, Licensed Official or agent thereof, notice shall be conclusively deemed to have been duly given if sent by pre-paid post to the last-known address of that person.  
  
Where no address is known, notice shall be given by means of an advertisement being placed in one or more national newspapers as the GBGB shall decide and such notice shall be conclusively deemed to have been duly given.
- viii** 'Person' or 'persons' shall be deemed, where the context so requires, to include a body corporate or partnership.
- ix** The term 'Disciplinary Authority' of the Greyhound Regulatory Board shall include;
  - a** Stipendiary Steward,
  - b** Stipendiary Stewards where there is a requirement for more than one Stipendiary Steward to hear a Local Inquiry,
  - c** Director of Regulation,
  - d** The Disciplinary Committee,
  - e** The Appeal Board

**Rule 2 Persons subject to Rules**

Each Director, occupier, or Authorised Representative of a Racecourse Executive (see Rule 5), and every person who is an Owner, Authorised Agent, Licence holder or the holder of a temporary appointment under Rule 104, or who is a subject of Rule 83(v), or is a registered Sales Agent shall be deemed to have read the GBGB Rules of Racing, including the GRB Guidelines, Process and Penalties (Appendix VI) and then and thereafter whether or not he/she subsequently ceases to fall within one of the above categories to submit himself/herself to such Rules howsoever amended and to the jurisdiction of the GBGB, and to authorise and consent to the publication to the British Greyhound Stud Book (National Coursing Club), the British Horseracing Authority, the Irish Coursing Club, the Irish Greyhound Board (Bord na gCon), the Kennel Club, the Press and the public, and the publication in the Press and in the GBGB Calendar and otherwise, of details of any step taken, decision given or order made under these Rules.

When the GBGB, the Greyhound Regulatory Board or the Disciplinary Committee have power under these Rules to make any Inquiry or to make or to give any decision or order relating to any person who at any time has been within any category mentioned above, or have authority under these Rules to publish any decision or order given or made by them relating to such person, they shall have such power and authority notwithstanding that at the time of such Inquiry, decision order or publication such person is no longer within such category.

In exercising its powers the Disciplinary Authority shall have regard to the GRB Guidelines, Process and Penalties (Appendix VI).

Every person subject to the GBGB Rules of Racing shall notify the GBGB in writing of any change of address for that person within 28 Days of any such change in address.

Every person subject to the GBGB Rules of Racing shall have full regard to Greyhound welfare and shall accept the provisions of the Animal Welfare Act 2006.

**Rule 3 Disciplinary Committee quorum**

At any Meeting of the members of the Disciplinary Committee a quorum shall be formed by such number of members of the Disciplinary Committee as the Constitution of the GBGB shall from time to time require to be present to form a quorum at such Meeting.

**Rule 4A GBGB powers and absolution from liability**

**(See also Rule 160(vi) and Rule 174)**

- i The GBGB, acting as appropriate through the Greyhound Regulatory Board or the Disciplinary Committee shall have power;
  - a in accordance with advice from the Greyhound Regulatory Board to repeal, amend, suspend or add to the Rules of Racing and shall advertise every such permanent alteration in the GBGB Calendar with the date of its adoption with the proviso that where the number of repeals, amendments, suspensions or additions to the Rules of Racing are such that the GBGB consider that the publication of each of them in the Calendar would be impracticable, they place a half page advertisement in the Calendar giving notice of changes, the date of their adoption, and

making a copy of the amended Rules of Racing available at the GBGB's registered office.

- b** to grant Licences with or without conditions, to make general directions to Licence holders as they may think appropriate, to grant registrations, and to make directions to Local Stewards regarding persons who may not be temporarily appointed to fill vacancies pursuant to Rule 104 without the prior approval of the Greyhound Regulatory Board or the Director of Regulation acting under its authority.

In determining whether to exercise any of their powers contained within these Rules to grant or renew Licences or registrations, the Greyhound Regulatory Board or the Director of Regulation acting under its authority shall have regard to the following criteria stipulated by the Greyhound Regulatory Board or the Director of Regulation acting under its authority or such other criteria as the - Greyhound Regulatory Board or the Director of Regulation acting under its authority may stipulate and publish from time to time:-

whether the relevant person

is a fit and proper person to hold a Licence

has sufficient experience

is a person of integrity

has adequate facilities

has obtained adequate references

or

has a criminal record

has been the subject of GRB disciplinary action

has been a supporter of any non-GBGB Racecourse in England Scotland or Wales

is ignorant of the Rules of Racing

has interests which would or might conflict with their duties as a Licence holder.

- c** to make Inquiry into and deal with any matters relating to Greyhound racing.
- d** to appoint Stipendiary Stewards to act under their orders and under these Rules.
- e** without prejudice to the Disciplinary Committee's rights under Rule 154(ii) to appoint a person being Counsel or a solicitor to sit with the Disciplinary Committee at any Inquiries held under Rule 160 to Rule 163. The person so appointed shall for the purpose of an Inquiry have the same powers as are conferred on the members of the Disciplinary Committee save that such person shall not be entitled to vote.
- ii** The GRB or the Director of Regulation or the Senior Stipendiary Steward, shall have the power to grant exemption from or temporarily to suspend any of the Rules of Racing or to take any other emergency action in order that:
  - a** a Greyhound can race in a case where there is doubt concerning its bona fide ownership, or
  - b** a Trial or a Race Meeting or any matters connected therewith can proceed.

- iii In the event of any doubt or dispute as to the meaning or interpretation of any of these Rules or any particular or term or condition of any Race, the Greyhound Regulatory Board shall rule thereon and such ruling shall be binding and conclusive. In the event that any relevant matter shall appear not to be covered by these Rules, the Greyhound Regulatory Board may rule thereon and such ruling (which shall as soon as reasonably possible be published in the GBGB Calendar) shall be binding and conclusive.
- iv Subject always to sub-Rule (vi) of this Rule, neither the GBGB nor any officer, servant or agent thereof shall be liable to any person for any act done or omission made in the bona fide discharge or purported discharge of any duties on the part of any such officer, servant or agent under or pursuant to these Rules unless the act or omission is grossly negligent. Every officer, servant and agent of the GBGB acting bona fide within, or purportedly within, their authority shall be indemnified out of the funds of the GBGB against all liabilities incurred by him/her as such officer, servant and agent.
- v Subject to the sub-Rule (vi) of this Rule, neither the GBGB nor any officer, servant or agent thereof shall be liable for the consequences of any error or omission either in or about the issue of any document or in respect of particulars stated therein.
- vi Nothing herein shall exclude liability for death or personal injury resulting from negligence.

#### **Rule 4B Powers of Stipendiary Stewards**

Stipendiary Stewards appointed by the Greyhound Regulatory Board pursuant to Rule 4A (i) (d) shall have the following powers:

- i To inspect and report on all premises licensed by the GBGB on behalf of the Greyhound Regulatory Board pursuant to Rule 6.
- ii To supervise on behalf of the Greyhound Regulatory Board the appointment duties and administration of all Licensed Officials and licensed Trainers pursuant to Rule 7 and Rule 8.
- iii To have free access to all places used for the conduct of Trials and Races, all licensed premises and Kennels and to all records connected with Greyhounds pursuant to Rule 106.
- iv To require to be sent copies of Racecards and details of all Trials and Races pursuant to Rule 151.
- v To conduct Local Inquiries in accordance with Rule 154 to Rule 170.
- vi To order samples to be taken under Rule 173(i).
- vii To exercise any further duties as may be delegated to them from time to time by the Greyhound Regulatory Board.

### **Section 2 LICENSING**

#### **Rule 5 Licensing - Racecourses and Kennels, & Special Licences**

- i The occupier of any Racecourse that is licensed under the Gambling Act 2005 or any amendment or re-enactment thereof or successor thereto may be licensed by the GBGB to conduct Greyhound racing at such Racecourse. The person or persons, a company incorporated under the Companies Acts or other incorporated or unincorporated body in whose name an occupier's

Licence is issued under the said Gambling Act 2005 (as amended) shall be termed the Racecourse Executive of the Racecourse(s) in respect of which the GBGB Licence is/are issued. A Racecourse in respect of which a GBGB Licence is issued shall be termed a GBGB Licensed Racecourse. A non-GBGB Racecourse shall be any Greyhound Racecourse not so licensed by the GBGB.

- ii Before the issue by the Greyhound Regulatory Board of a Licence to a Racecourse Executive such Racecourse Executive shall nominate to the GBGB the name of an individual being an occupier, senior Director or senior employee (hereinafter referred to as the 'Authorised Representative') who, until his/her nomination is terminated, whether by him/her or by the Racecourse Executive or upon his/her ceasing to be an occupier, senior Director or senior employee of the Racecourse Executive, shall be responsible to the GBGB for the Racecourse Executive's due compliance with its obligations and responsibilities under the Rules of Racing. A Racecourse Executive may from time to time revoke the nomination of its Authorised Representative and nominate another Authorised Representative in his/her place.

The Licence of a Racecourse Executive which ceases for any reason to have a properly nominated Authorised Representative shall ipso facto be suspended until a replacement is nominated pursuant to this sub-Rule. All nominations and revocations pursuant to this sub-Rule shall be in writing signed in the case of a Racecourse Executive which is a corporation by a duly authorised officer thereof and in the case of a Racecourse Executive which is not a corporation by an occupier duly authorised in that behalf, and in the case of all nominations by the person nominated.

The Directors of any Racecourse Executive which is an incorporated body and the occupiers of any Racecourse Executive which is other than an incorporated body shall be ultimately responsible to and be within the jurisdiction of the Disciplinary Authority if the Authorised Representative of that Racecourse Executive is found to be in breach of any of the Rules of Racing and is able to prove to the satisfaction of the Disciplinary Authority that had it not been for the direct instructions of the occupiers or Directors (as the case may be) of the Racecourse Executive he/she would not have been so.

- iii Licensed Kennels shall be those areas within which Greyhounds are kennelled and which are subject to Licences issued in respect of a GBGB Licensed Racecourse and/or a Trainer.
- iv The Racecourse Executive of GBGB Licensed Racecourse shall not provide or permit the transmission of any Race without first obtaining a Transmission Licence for that purpose from the Greyhound Regulatory Board. The issue of such a Licence shall be subject to the conditions set out in Rule 186.

"Transmission" for the purposes of these Rules shall include (but not be limited to)

- i any form of television broadcast (whether for public or private viewing and whether on a 'free to air' or 'pay per view' basis)
- ii any webcasting services made available on the Internet, and/or
- iii any video images made available on mobile phone networks or which may be viewed/received on any other form of technology.



**Rule 6 Licensing requirements - premises, facilities**

- i** the Greyhound Regulatory Board shall have power to require that:
  - a** all premises the subject of a Licence are situated, constructed, serviced, equipped and maintained to their satisfaction.
  - b** all Licence holders are provided with such facilities as will satisfy the Greyhound Regulatory Board that such Licence holders can properly carry out their duties.
  - c** all reasonable steps are taken to ensure that Greyhounds kept in Licensed Kennels are maintained in good and healthy condition and are kept secure and that facilities and services necessary to those ends are provided in accordance with the directions of the Greyhound Regulatory Board.
- ii** The Greyhound Regulatory Board may of their own motion take any steps which they deem necessary and proportionate to satisfy themselves that the foregoing requirements are being complied with and in particular may appoint persons to inspect and report to them and may make such order as they deem fit and reasonable and may require that the fees and expenses of such examination and report are borne in whole or in part by the Racecourse Executive and/or Trainer.

**Rule 7 Licensed Officials**

The following persons shall, before taking up any duties as Licensed Officials, obtain Licences from the Greyhound Regulatory Board, and shall be appointed by the Racecourse Executive:

- i** One Racing Manager.
- ii** Two other Local Stewards, of whom one can be a Judge and the other a Timekeeper.
- iii** One Security Officer.
- iv** One Paddock Steward.
- v** One Hare Controller
- vi** One Starter
- vii** One Veterinary Surgeon being a member of the Royal College of Veterinary Surgeons or veterinary practitioner whose name appears on the supplementary veterinary register maintained by the said college.
- viii** One Greyhound Welfare Officer, who shall be a senior member of the Racecourse management and will be a named point of contact for Greyhound welfare on the Racecourse premises.

Any person appointed as a Director of Racing, Kennel Manager or Assistant Racing Manager or any additional Official in any of the above positions shall, before taking up any duties, obtain a Licence from the GBGB.

No person may undertake any duties until he/she has obtained the relevant Licence from the Greyhound Regulatory Board.

Licensed Official shall mean a Licensed Official appointed in accordance with the provisions of this Rule.

**Rule 8 Categories of Licensed Trainers**

The following shall be the categories of Trainers licensed by the GBGB.

- i A Professional Trainer who shall train Greyhounds in any ownership.
- ii A Greyhound Trainer who shall train a maximum of twelve racing Greyhounds in any ownership.

**Rule 9 Licensing - Trainers, Assistant Trainers, Kennelhands and Paraders**

- i Every Trainer and every other person employed in Licensed Kennels, who shall be termed Kennelhand (this includes Head Kennelhand and Assistant Trainer) shall, before taking up any duties, obtain a Licence from the GBGB which shall only extend to the area set out in the Licence. No Trainer shall employ any person as a Kennelhand who has previously been employed as a Kennelhand without referring to the last Trainer to employ him/her and receiving a reply.

Every Racecourse Executive and Trainer shall advise the GBGB of each and every change in the employment of Kennelhands.

- ii A Professional Trainer may licence one Kennelhand as an Assistant Trainer. An Assistant Trainer shall have had at least three years' experience as a Head Kennelhand. This category of Licence does not alter the responsibility of Trainers as set out in the Rules of Racing
- iii A person employed to handle Greyhounds in the charge of a licensed Trainer for Parade duties at Race Meetings shall be termed a Parader and the Racecourse Executive shall, before the Parader takes up any duties, obtain a Parader's Licence from the GBGB.

**Rule 10 Person holding more than one licensed position**

If more than one licensed position is to be held by one person, except as provided for in Rule 7(ii) or Rule 104, the prior permission of the GBGB shall be obtained.

**Section 3 OWNERS****Rule 11 Definition, age and registration of Owners**

- i The term 'Owner' shall include any Part-Owner (as defined by Rule 15) and, to the extent provided by Rule 16, any Lessee; and, save as otherwise expressly appears, all references in these Rules to an Owner or ownership shall be construed accordingly. All Owners shall be registered in accordance with this Rule.
- ii Subject to the Greyhound Regulatory Board's power to refuse registration of any Owner, it shall be the obligation of all Owners or Part-Owners to register the true name or names, as described in Rule 12, when registering a Greyhound in accordance with Rule 24.
- iii The GBGB may provide Owners' names and addresses to animal rescue centres or other relevant organisations for the purpose of returning lost Greyhounds to their Owners.
- iv No Owner shall be under 18 years of age.

**Rule 12 True names of Owners, death of Owner**

- i Subject to sub-Rules (ii) to (iv) below, a Greyhound shall be registered by and run in the true name of its Owner who shall also be registered.
- ii If an Owner has two or more names that he/she considers to be true names, and/or is called or known by two or more names, he/she shall notify the Greyhound Regulatory Board of all such names when first applying to register a Greyhound and shall elect one such name as the name in which, subject to the approval of the Greyhound Regulatory Board, all his/her Greyhounds shall be registered and run.
- iii If an Owner of a registered Greyhound changes his/her name at any time, he/she shall notify the Greyhound Regulatory Board of that Change of Name within 90 Days of the change or (if earlier) at the time when he/she next applies to register a Greyhound. An Owner shall be taken to have changed his/her name if he/she has acquired and/or has come to be called or known by any name or names different from or additional to the name in which his/her Greyhounds are registered or the names notified to the Greyhound Regulatory Board in accordance with sub Rule (ii) above.
- iv If, following the Change of Name, an Owner has two or more names that he/she considers to be true names, and/or is called or known by two or more names, he/she shall elect one such name as the name in which, subject to the approval of the Greyhound Regulatory Board, all his/her Greyhounds shall thereafter be registered and run. In the case of Greyhounds already registered, the register shall be amended to give effect to the provisions of this sub-Rule.
- v Without prejudice to the generality of the foregoing sub-Rules, a married woman shall always register and run Greyhounds in her married name unless the Greyhound Regulatory Board expressly authorise otherwise.
- vi If the Owner of a registered Greyhound dies, Rule 88 and Rule 89 shall apply and notice of the Owner's death shall be given to the Greyhound Regulatory Board by any Authorised Agent of the deceased, by any Part-Owner of a registered Greyhound of which the deceased was a Part-Owner, or by the personal representatives of the deceased. Such notice shall be given within 90 Days of the Owner's death or (if earlier) before the running of any Greyhound registered in the name of the Owner at the time of his/her death.

**Rule 13 Persons ineligible as Owners**

A Licence holder in respect of an GBGB Licensed Racecourse, except for the Racecourse Executive (Rule 11(iv) applies), shall not be an Owner of a Greyhound which races at that Racecourse.

**Rule 14 Owner's Authorised Agent**

Subject to the approval of the Greyhound Regulatory Board an Owner may appoint and register an Authorised Agent, empowering such agent to act for him/her in all matters connected with the Rules of Racing.

**Rule 15 Part-Ownership, Syndicates**

Any persons who have an interest in, responsibility for and/or benefit from the same Greyhound, except in the case of a Lease or a Syndicate, shall be termed Part-Owners of that Greyhound. No registered Greyhound shall have more than four Part-Owners. The respective interests of Part-Owners in any Greyhound shall

be shown on the registration and Change of Ownership forms in respect of that Greyhound unless notice of those interests is given to the GBGB in writing and the GBGB authorises in writing the omission of that information from those forms or either of them.

All Part-Owners shall be jointly and severally responsible for compliance with these Rules, and where action may be taken under these Rules in relation to any Part-Owner or any Greyhound registered in the name of such Part-Owner that action may be taken notwithstanding that it may affect other Part-Owners.

A Syndicate shall be a group of more than 4 persons given a collective name, or a company or business or other body, registered as the Owner of a Greyhound. One person shall be nominated to the GBGB as solely responsible for all matters relating to the Syndicate as an Owner under these Rules, and shall act accordingly.

#### **Rule 16 Lease of Greyhound**

An Owner may assign the whole, or part of, his/her interest in a registered Greyhound for a period to a Lessee, provided that the Lease, registered by a document obtained from the GBGB, satisfies the Greyhound Regulatory Board.

In this case, the lessor shall retain the Greyhound in his/her name in the appropriate Stud Book(s) and the granting of the Lease and its termination shall not be treated as normal changes of ownership. After registration of the Lease and until its termination, the Lessee shall be treated as the Owner, and neither the lessor nor the Lessee shall dispose of the whole or part of his/her interest in the Greyhound.

#### **Rule 17 Change of Ownership**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identify Books - see Rule 197 and Rule 205).***

Subject to the Greyhound Regulatory Board's power to refuse registration of any Owner or Greyhound, every Change of Ownership of a registered Greyhound, except as in Rule 20, shall be registered on the application of the proposed transferee. Such application for registration shall be preceded by registration of the change with the appropriate Stud Book(s) and the appropriate document(s) shown under Rule 24(ii)(a) shall be produced to the Racing Manager of a GBGB Licensed Racecourse or a qualified employee thereof appointed by him/her. The latter shall forward such document(s), the completed GBGB Change of Ownership form and the amended Identity Book to the GBGB.

The registered Owner shall make available all documents necessary for registration of the change and shall ensure that the GBGB are notified of the change.

Registration of the change shall not be deemed effective until the application is received and approved by the GBGB.

**Rule 18 Responsibility of Owner**

- i The Greyhound Regulatory Board shall hold the last registered Owner to be responsible for the welfare of a Greyhound and also for making acceptable arrangements for his/her retired Greyhound as follows :-
  - a The Greyhound be retained as a pet, or
  - b the Greyhound be boarded at a Licensed Kennel, or
  - c the Greyhound be boarded at a Kennel licensed by the local authority, or
  - d the Greyhound be found a home through the Retired Greyhound Trust, or
  - e the Greyhound be sold or found a home, responsibly, or
  - f if it is necessary for the Greyhound to be euthanased either on humane grounds or because none of the above options are available, the Owner ensuring, subject to Rule 58, that such euthanasia is carried out by a registered Veterinary Surgeon.
- ii A registered Owner shall inform the GBGB if there is any change in ownership of a registered Greyhound, and whether or not it is intended to enter it in any Trial or Race again (see Rule 20).

A registered Owner shall also report, or arrange for a licensed Trainer to report on his/her behalf, the retirement of a Greyhound which it is not intended to enter in any Trial or Race again, or any subsequent "Comeback" from a previously reported retirement, to the Racing Manager of any GBGB Licensed Racecourse and shall be given and retain a receipt in the form of a copy of a Greyhound Detail Report prepared under Rule 195(ii) and Rule 194(vii).
- iii Failure to give notification under Rule 18(ii) within 28 Days of the Change of Ownership shall result in a penalty being levied and may result in the Greyhound Regulatory Board, Director of Regulation or if the matter is referred to it, the Disciplinary Committee prohibiting an Owner from registering Greyhounds unless the Owner is able to satisfy the Greyhound Regulatory Board, Director of Regulation or if the matter is referred to it, the Disciplinary Committee that any future retirement of a Greyhound in his/her charge will be strictly in accordance with Rule 18.

**Rule 19 Greyhounds of Owner or Trainer subject of Inquiry**

- i In the event of an Owner or Trainer being the subject of a Preliminary Investigation, Local Inquiry or GRB Inquiry, Greyhound(s) owned by such Owner or the particular Greyhound(s) trained by such Trainer being the subject of the investigation or Inquiry shall be ineligible for registration of Change of Ownership or Lease and may not be removed from the Kennels of the Trainer concerned pending the result of such investigation or Inquiry except with the approval of the Greyhound Regulatory Board or if appropriate the Disciplinary Committee. The Local Stewards shall inform the Owner if any of his/her Greyhound(s) are the subject of any investigation or Local Inquiry.
- ii The power of the Disciplinary Committee under Rule 160(vii) to suspend the Affected Person pending the outcome of the investigation or Inquiry shall apply.

**Rule 20 Change of Ownership of retired Greyhound**

A Change of Ownership of a registered Greyhound which it is not intended to enter in any Trial or Race again need not require payment of a fee to register the same, provided that Rule 18(ii) is complied with.

**Rule 21 Ownership documents not evidence of title**

All registration certificates and other GBGB documents shall be issued solely for the purposes of the GBGB and shall not be documents of title.

**Section 4 GREYHOUNDS****Rule 22 Greyhound - definition**

The term Greyhound shall include dog or bitch.

**Rule 23 Greyhound - age calculation, minimum age to run, Puppy definition**

The age of a Greyhound shall be reckoned as beginning on the first Day of the Month in which it is whelped. A Greyhound under the age of 15 Months shall not run in any Race or Initial Trial; (e.g. a January whelp not before 1st April the following year).

A Greyhound shall also be termed a Puppy for a period of 24 Months from and including the Month of whelping; (e.g. a January whelp until the end of December the following year).

**Rule 24 Greyhound - earmarking, qualification for registration**

The Greyhound Regulatory Board shall have the power to make arrangements for the earmarking of Greyhound litters. An earmark shall be a tattoo of a unique combination of letters and/or numbers located on the inside of the ear pinnae, which shall be registered in the GBGB Registry Database. They shall also have power to order the earmarking and/or Microchipping of adult racing Greyhounds prior to registration and to appoint staff to carry out such work and to charge fees therefor. The earmarks of litters and adult Greyhounds shall be duly recorded on the appropriate registration forms.

Subject to the Greyhound Regulatory Board's power to refuse the registration of any Owner or Greyhound, a Greyhound shall be eligible for registration provided that;

- i it is already registered by name, in the name of the Owner, in at least one of the following:
  - a The British Greyhound Stud Book
  - b The Irish Greyhound Stud Book
  - c Another Greyhound Stud Book of which the GBGB approve.
- ii the following documents are produced.
  - a Either the British Greyhound Stud Book certificate or Identity Card, or the Irish Identity Card, or in the case of (i)(c) above, a certificate of pedigree, stating the name, Month and year of whelping, sire and dam, sex and colour of the Greyhound, and any marks by which it may be distinguished, endorsed by the official authority of the Stud Book concerned.

- b** A completed registration form showing the detailed markings of the Greyhound, which are compatible with the details on any accompanying document and with Stud Book records, and the details as appropriate of the earmarkings of the litter and adult Greyhound, authorised by the appropriate authority, drawing attention to any discrepancy.
  - c** A microchip barcode corresponding with the inserted microchip attached to one of the above.
- iii** it shall not be so eligible if any Owner remains warned off and/or disqualified by the Disciplinary Committee, or has, or has had, custody of the Greyhound when such warning off and/or disqualification was ordered or at any time thereafter.
- iv** every registration of a Greyhound shall also require registration by the Greyhound Regulatory Board of the Owner concerned.
- v** a Part-Owner shall identify all existing and prospective Part-Owners or Lessees or other persons having an interest in the Greyhound.

## Rule 25 Greyhound name

The name of a Greyhound shall have the same spelling and separation into words as that in which it is registered in the appropriate Stud Book(s). The GBGB may however authorise its publication using a different case for any letter(s), and with any amended punctuation, as they may decide. In the case of duplication of names in the Stud Book(s), the name of the second and any subsequent Greyhound registered with the GBGB shall have its name changed under Rule 27. Any Change of Name at or after registration shall be preceded by the registration of the change with the appropriate Stud Book(s).

## Rule 26 Change of Name of Greyhound

An Owner may apply for a Change of Name of a registered Greyhound by submitting to the GBGB the appropriate form duly completed, which form shall include details of existing and/or prospective Part-Owners of the Greyhound, where relevant. Any such application shall include confirmation that the prior permission of the appropriate Stud Book authority has been obtained by the Owner and/or Part-Owners.

## Rule 27 GBGB requiring Change of Name of Greyhound

The Greyhound Regulatory Board shall have the power to require that a Greyhound's name be changed for registration or before the Greyhound races again if it is already registered. In this case the GBGB fee for Change of Name may be waived.

## Rule 28 Advertising connotation in Greyhound name

If the name of a Greyhound appears to the Greyhound Regulatory Board to have any advertising connotation, they shall have power either to charge an extra fee for registration or to require the name to be changed.

## Rule 29 Length of Greyhound name

The name of any Greyhound which includes more than 16 letters shall not be acceptable for registration and the name shall be changed. For purposes of this Rule a space between words or a punctuation mark shall count as a letter.

**Rule 30 Publication of Change of Name of Greyhound**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).***

After the name of a Greyhound is changed, the name under which it was previously registered with the GBGB and/or in the appropriate Stud Book(s) shall be shown prominently in addition to the new name on the Racecard and the published programme, and deemed to be part of its name until the Greyhound has subsequently raced five times.

The Change of Name shall be inserted by the GBGB in the Identity Book and published in the GBGB Calendar.

**Rule 31 Microchip - location uncertain**

In the event that a microchip cannot be located, but registration documentation proves that an identifiable microchip was inserted, then the greyhound can race or trial as planned, but a replacement microchip must be inserted following that race or trial, provided three clear days exist before its next race or trial. The Racing Manager shall provide the Registry with the replacement microchip barcode.

**Rule 32 Identity Books and cards - Definition**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).***

The term Identity Book where used without further qualification shall mean that issued by the Greyhound Regulatory Board. The term Irish Identity Card shall mean that issued by the Irish Coursing Club.

**Rule 33 Greyhound registration and markings**

***(This rule will be affected by the introduction of computer Graphics Files to record greyhound markings - see Rule 204 and Rule 205).***

- i The colour, sex and markings, including earmarks, of a Greyhound shown on the registration application forms shall be a true copy of details obtained by careful examination of the Greyhound by the Racing Manager or a qualified Licensed Official of an GBGB Licensed Racecourse appointed by him/her, and details of such colour, sex and markings shall be sufficient for that Greyhound to be distinguished from all others. These details shall be copied into the Identity Book by the Racing Manager of a GBGB Licensed Racecourse responsible for obtaining them as above or by a qualified employee thereof appointed by him/her. The person completing the Identity Book shall forward the appropriate Stud Book document(s) shown under Rule 24(ii) (a) and the Identity Book with the registration application form, current microchip barcode and the current inoculation certificate, to the GBGB. If the GBGB register the Greyhound, they shall seal the Identity Book and the Greyhound shall not be deemed registered until such sealing.
- ii The details of such registrations shall be registered on the GBGB Registry Database as defined in Rule 188(i).



**Rule 34 Discrepancies, change of appearance of Greyhound**

***(This rule will be affected by the introduction of computer Graphics Files to record greyhound markings - see Rule 204 and Rule 205).***

If any discrepancy is discovered between any documents in respect of the same Greyhound, or between any document or microchip and the Greyhound itself, the person discovering such discrepancy shall immediately report the facts to the Racing Manager of GBGB Licensed Racecourse, who shall immediately notify the authority/authorities issuing such documents.

In the event of any permanent change in appearance of a registered Greyhound, the Racing Manager of a GBGB Licensed Racecourse shall be informed. He/she shall inform the Area Stipendiary Steward who shall cause the Greyhound to be re-identified. The GBGB shall arrange for the Identity Book to be endorsed subject to a satisfactory report by the Stipendiary Steward.

**Rule 35 Prohibited alterations to Identity Books**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).***

Except as provided by Rule 17, Rule 34 and Rule 36(i)(b) no alteration or addition or deletion, shall be made to or from any previous entry in an Identity Book without the permission of the GBGB.

**Rule 36 Entries in Identity Books/cards**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).***

- i** The Racing Manager of a GBGB Licensed Racecourse shall be responsible to the Greyhound Regulatory Board for arranging that the following details are entered in the Identity Book:
  - a** Details of inoculation, from written evidence from a Veterinary Surgeon, of a Greyhound while in Licensed Kennels, or notified to him/her under Rule 60.
  - b** The name of the licensed Trainer who currently has charge of the Greyhound and the date of its last entering his/her charge.
  - c** Attachment of a correctly identified microchip barcode, unless this has previously been attached to a registration document under Rule 24.
  - d** Details of any Withdrawal (with the weight at Kennelling if withdrawn under Rule 52).
  - e** The date and details of a Greyhound's arrival in or departure from Licensed Kennels.
  - f** Details notified to him/her under Rule 57 of the date of a bitch coming in season or being spayed or whelping a litter, or where season has been suppressed or postponed, **in red**.
  - g** Details of any disqualification of the Greyhound for Deliberate Interference at the Racecourse and any reinstatement, **in red**.
  - h** Upon registration with the GBGB of Greyhounds registered with the Irish Coursing Club, at least 3 recent lines of Irish form, and any other Irish form

which the Racing Manager considers important, to cover all distance ranges.

- ii The Racing Manager shall also be responsible to the Greyhound Regulatory Board for arranging that for any Greyhound not registered with the Greyhound Regulatory Board the details of any Race or Trial except Schooling Trials run by that Greyhound at that Racecourse shall be entered:
  - a in the Irish Identity Card as shown in the headings thereof if the Greyhound is registered by name in the Irish Greyhound Stud Book
  - b in the Identity Card of the British Greyhound Stud Book as shown in the headings thereof if the Greyhound is registered by name in the British Greyhound Stud Book.

### **Rule 37 Possession of Identity Book property of GBGB**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).***

An Identity Book shall be the property of the GBGB and no person except a member of the Disciplinary Authority, Racing Manager, Assistant Racing Manager, Local Steward, Paddock Steward, Judge, or person authorised by the GBGB or Racing Manager may be in possession of it.

### **Rule 38 Availability of Identity Books**

***(This rule will be affected by the introduction of computer Graphics Files to record greyhound markings - see Rule 204 and Rule 205).***

The Racing Manager of a GBGB Licensed Racecourse shall be responsible for arranging that the Identity Book of each registered Greyhound to run in a Trial or Race at that Racecourse is available for the prior identification of the Greyhound, and for the dispatch as appropriate and without delay of any Identity Book in his/her charge requested by another GBGB Licensed Racecourse or the GBGB.

### **Rule 39 Identification Official**

The Racing Manager of a GBGB Licensed Racecourse shall appoint one or more Officials licensed in respect of that Racecourse to be responsible for the identification of each Greyhound as required by Rule 113 and Rule 115. Such Officials shall have been instructed in identification procedure.

### **Rule 40 Identification of registered Greyhound**

***(This rule will be affected by the introduction of computer Graphics Files to record greyhound markings - see Rule 204 and Rule 205).***

A registered Greyhound shall be identified by comparison with its Identity Book and microchip, except that, if the Identity Book has gone astray the Racing Manager may provide instead either:

- i a copy of the markings taken at that Racecourse and used for registration, or
- ii sufficiently detailed markings of that Greyhound as obtained from the GBGB or from the Racing Manager of another GBGB Licensed Racecourse, as to satisfy him/her that they will distinguish that Greyhound from all others.

**Rule 41 Identification of International Entry**

An International Entry for an Open Race shall be registered and shall be identified with the Irish Identity Card or other appropriate document(s) and an identifiable microchip, issued and recorded by a recognised Greyhound Authority.

**Rule 42 (currently unused)****Rule 43 Named Unregistered Greyhound**

A Greyhound not registered with the GBGB but registered by name in a Stud Book shown under Rule 24(i), shall be termed a Named Unregistered Greyhound.

A Named Unregistered Greyhound shall be identified as far as possible with documents which shall be produced at Kennelling and which are shown under Rule 24(ii)(a).

The person entering a Greyhound for a Trial shall be responsible for informing the Racing Manager whether or not it is registered. In case of doubt, the Racing Manager shall enquire of the GBGB.

**Rule 44 Definition of Trial & Schooling Trial**

The term Trial shall include any test run on a GBGB Licensed Racecourse other than a Race.

A Schooling Trial on a GBGB Licensed Racecourse shall be a Trial for one or more registered or Named Unregistered Greyhounds, identified according to these Rules, such Trial being held at the discretion of the Racing Manager for:

- i such Greyhounds not exceeding 15 Months of age to ascertain whether they will properly chase the artificial hare, or
- ii such Greyhounds, which lack hurdling experience, to be tried over Hurdles.

A Schooling Trial shall not be regarded as a Trial under Rule 47. A full record of a Schooling Trial shall be maintained by the Racing Manager but shall not be used for qualification purposes.

**Rule 45 Qualification to Trial**

A Greyhound shall not run in any Trial; other than a Schooling Trial or a Sales Trial:

- i unless it is registered and microchipped.
- ii if it has been and still is disqualified except for Deliberate Interference, or declared a Confirmed Offender.
- iii if it has been disqualified for Deliberate Interference and not reinstated under Rule 54 except that it may run in solo Trials or Clearing Trials.
- iv unless it fulfils the requirements stated in paragraphs (i) and (ii) of Rule 24.
- v unless it is in the charge of a licensed Trainer or a registered Sales Agent when running in a Sales Trial, who shall be deemed to be the licensed Trainer for such Sales Trial.

**Rule 46 Qualification to Race**

A Greyhound shall not run in any Race:

- i unless it has successfully completed a Trial or Race at a GBGB Licensed Racecourse or a Racecourse recognised by the GBGB at which it has competed as an International Entry, such Trial or Race having taken place within a period of twenty-nine Days prior to the Race in question. A "no trial" or "no race" does not constitute a successfully completed Trial or Race for the purposes of this Rule.
- ii unless it is either registered or an International Entry for an Open Race
- iii unless it is qualified under these Rules and the conditions, terms and particulars of the Race.
- iv if it has been and still is disqualified or declared a Confirmed Offender.
- v unless it fills the requirements stated in paragraphs (i) and (ii) of Rule 24.
- vi unless it has an identifiable microchip inserted.

The Local Stewards and/or Greyhound Regulatory Board and/or, where appropriate, the Disciplinary Committee shall have power to call for proof that a Greyhound is qualified and in default of such proof being given to their satisfaction they may treat the Greyhound as disqualified and the Greyhound Regulatory Board and/or, where appropriate, the Disciplinary Committee may declare it disqualified.

**Rule 47 Trials**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).***

- i Excluding any Open Race in which it is to run, or has run, as an International Entry, a Greyhound to run in its first Race at a GBGB Licensed Racecourse shall have run at least 3 Trials on separate Days, save that any Open Race in which it has run as an International Entry within a period of 8 weeks before the Race in question may count in place of one of the Trials required, but a maximum total of 2 Trials may be so replaced. A Trainer may request the first Trial that an unraced Greyhound shall have at a GBGB Licensed Racecourse to be a solo Trial.
- ii The Trainer having charge of a Greyhound for its first Race as above shall have had continuous charge of it for at least 7 Days prior to its final Trial, which shall have been run within 21 Days prior to the Race.
- iii A Greyhound shall have three Trials, at least two of which shall be with at least two other Greyhounds which are registered.

**Rule 48 Trials and qualification for Graded Races**

*(Amended 10.02.12)*

A Greyhound to run in its first Graded Race under GBGB Rules of Racing shall run at least 3 satisfactory Trials at the GBGB Licensed Racecourse where it is to grade on separate Days, and at least two of the Trials shall be over the distance it is to initially run and a minimum of two trials shall be with at least two other greyhounds.

A Greyhound to run in its first Graded Race at a particular Racecourse when transferring from one GBGB Licensed Racecourse to another GBGB Licensed Racecourse, with the same Trainer and established Race form, shall be required to run at least 2 satisfactory Trials over the distance which it is to initially run on separate days. A minimum of one of these trials shall be with at least two other greyhounds.

If the Greyhound has previously raced at that GBGB Licensed Racecourse, any Race it has run at that Racecourse, while in the charge of a licensed Trainer, within 12 weeks of the Race in question, may count in place of one of the Trials required. All Trials may be so replaced, provided that the condition below is complied with. In any case a Trainer having charge of a Greyhound for such first Graded Race shall have had continuous charge of it since at least 7 Days prior to its final Trial or replacement Trial or Race as above, which shall have been run within 21 Days prior to the Race.

If a Greyhound is qualified for a Graded Race at a particular Racecourse, but since qualifying has run in a Graded Race elsewhere, it shall first re-qualify by completing either a Trial or an Open Race there.

#### **Rule 49 Trainer's charge and responsibility**

- i** A Greyhound shall be deemed to be in the charge of a licensed Trainer only while under
  - a** his/her control, or
  - b** the control of a Kennelhand licensed in respect of that Trainer's Kennels or the Racecourse where the Greyhound is running and under the instructions of the Trainer.
  - c** where circumstances deem it necessary, and with the prior permission of the Racing Manager, a Trainer may enlist the assistance of another Trainer and/or his/her Kennelhand(s) to parade a Greyhound at Race and Trials Meetings only. The Trainer shall accept full responsibility for the actions of such person(s) enlisted to assist.
- ii** A Greyhound shall have been in the continuous charge of a licensed Professional or Greyhound Trainer for a period of at least 7 Days prior to any Race in which it runs.
- iii** If a Greyhound is taken out of the charge of a licensed Professional or Greyhound Trainer for however short a period, it shall run at least one Trial before it is entered for a Race again, except when it is directly transferred from the charge of one licensed Professional or Greyhound Trainer to that of another.
- iv** A licensed Trainer shall use his/her best endeavours to ensure that the performance of any Greyhound in his/her charge in a Trial or Race shall be to the satisfaction of the Local Stewards and/or the Greyhound Regulatory Board who shall take into consideration the running of all the Greyhounds in such Trial or Race.
- v** Except in the case of an International Entry for an Open Race under Rule 83, a Trainer shall not enter a Greyhound for a Trial or Race unless he/she has been in possession of a Trainer's Licence continuously for at least the 7 Days preceding the Day of making the entry.

**Rule 50 Distance - qualification, Standard Long Distance, RST**

*(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).*

- i A Greyhound to run in a Graded Race over a distance over which it has not run at that Racecourse within 8 weeks of the Race in question, shall first be given a Trial over that distance, except that a Greyhound which has run in at least 4 Trials or Races in the previous 12 weeks, one of them within 28 Days over the Standard Long Distance at a Racecourse, may be entered for a Race over any longer distance at that Racecourse without such a Trial. The Standard Long Distance shall be approved by the GBGB and such distance shall not be changed without their prior permission. Where any Rule, or particular or condition of a Race, requires qualification over a certain distance, qualification over that distance on the flat or over a number of Hurdles shall be separate and no qualification for each other or for that distance over a different number of Hurdles.
- ii Where a Greyhound, during the course of a Trial or Race over a distance which commences at the same starting position, reaches the winning line more than once, and provided that automatic or photo-timing equipment is used to record separately its time from the start to the winning line on the first circuit, that time may be shown in the Form Line as a qualification for that lesser distance in addition to the form for the full distance. Application of this Rule shall be recorded, in full detail, as a separate Form Line in Identity Books and Racecards, clearly marked 'Relative Sectional Timing' (RST). It shall not diminish the requirements of Rule 47 and Rule 48.
- iii A Greyhound shall have at least one satisfactory Hurdle Trial before being accepted for a Hurdle Race.

**Rule 51 Trial after Withdrawal or Running Out**

Any Withdrawal under Rule 69A(ii)(a) and Rule 113(iii) shall run at least one Trial before it races subsequently. A Greyhound which is disqualified for Running Out under Rule 137 shall have at least one Trial before it races subsequently.

**Rule 52 Weight variation, & weight in No Race/No Trial**

*(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).*

A Greyhound whose weight at the time of Kennelling prior to a Race varies by more than 1 kilogram from its weight as shown in the Identity Book for its previous Trial or Race, shall be withdrawn and shall run at least one Trial before it races subsequently. Any Greyhound that participates in a 'No Race' or 'No Trial' not re-run under Rule 145 shall have its weight in that 'No Race/Trial' recorded in the Identity Book as its weight for comparison under this Rule.

A Greyhound whose weight varies as above at Kennelling prior to a Trial may run in that Trial, but shall run at least one further Trial before it races subsequently.

**Rule 53 Deliberate Interference - Definition, disqualification for, notice to Trainer**

The term 'Deliberate Interference' shall refer to the intentional interference by a Greyhound with one or more Greyhound(s) during a Trial or Race. The Local

Stewards shall disqualify any Greyhound which deliberately interferes and the Owner of the Greyhound shall forfeit rights in that Trial or Race as specified in Rule 95. Such decision shall be final for the purpose of such Trial or Race.

The Local Stewards shall make a public announcement of any disqualifications and shall ensure that written notice of the disqualification of a Greyhound shall be given to the Trainer, or his/her representative under Rule 49(i)(b), and signed acknowledgement of receipt of the notice obtained, before authority is given for the Greyhound to be removed from the Racing Paddock under Rule 117.

The Trainer shall inform any other Racecourse, at which such a Greyhound is already entered in a Race or Trial, of the issue of such notice.

#### **Rule 54 Clearing Trials, re-instatement, reporting of disqualifications, etc.**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).***

Any Greyhound disqualified under Rule 53 may subsequently be reinstated provided that it runs at least three Clearing Trials on separate Days. Rule 45(iii) applies.

A Racecourse Executive where a Greyhound is disqualified shall provide reasonable facilities for Clearing Trials but the Owner or his/her Authorised Agent of the disqualified Greyhound shall first obtain the permission of the Owners of the other Greyhounds necessary for such Trials.

A Clearing Trial for a Greyhound shall be with at least three other registered Greyhounds of approximately equal grade with the disqualified Greyhound or handicapped to that end, run over a distance of at least 370 metres.

The Racing Manager shall report to the Greyhound Regulatory Board details of all such disqualifications and any reinstatements and confirm that the Identity Book of any such registered Greyhound has been endorsed under Rule 36. Disqualification or reinstatement of a Named Unregistered Greyhound shall be endorsed by the Racing Manager on the appropriate Stud Book documents shown in Rule 24 (ii) (a).

#### **Rule 55 Confirmed Offenders**

A Greyhound disqualified a second time under Rule 53 shall immediately be reported to the GRB or Director of Regulation who shall declare it a Confirmed Offender, warn off such Greyhound from running on any GBGB Licensed Racecourse and publish such warning off in the GBGB Calendar.

#### **Rule 56 Bitch - in season, not to run**

A bitch may not run in any Trial or Race after coming in season, for a minimum period of 21 Days nor until, in the opinion of the Racecourse Veterinary Surgeon, it is fit to do so subsequently.

#### **Rule 57 Reporting of season details, spaying, whelping litter**

***(This Rule will be affected by implementation of the BGRDb - see Rule 197).***

A licensed Trainer having a bitch in his/her charge, or an Owner having a bitch kennelled other than with a licensed Trainer, shall inform the Racing Manager at any GBGB Licensed Racecourse within 7 Days when that bitch comes in season, has a false heat, is spayed, or whelps a litter, or is treated with medication that

could alter the normal oestrus cycle, and shall be given and retain a receipt in the form of a copy of a Greyhound Detail Report prepared under Rule 195(iv) and Rule 194(vii).

Rule 36(i)(f) shall continue to apply for the time being.

#### **Rule 58 Greyhound - euthanasia, urgent operation on, treatment of**

If in the opinion of the attending Veterinary Surgeon the euthanasia of, an urgent operation on, or the urgent treatment of a Greyhound in the charge of a licensed Trainer is necessary for the Greyhound's welfare the Veterinary Surgeon shall be entitled to proceed with such euthanasia or operation or treatment without the permission of the Owner.

A Greyhound in the charge of a licensed Trainer shall not be euthanased except with the approval of and under the direct supervision of a Veterinary Surgeon unless in the Veterinary Surgeon's absence immediate euthanasia is obviously essential, in which case the circumstances shall afterwards be reported by the Trainer to the GBGB.

#### **Rule 59 Disease in Kennels or at Racecourse**

Any case of a contagious or infectious disease of a Greyhound discovered within the precincts of a GBGB Licensed Racecourse and/or of Licensed Kennels of an attached Trainer shall be immediately reported by the Trainer and Veterinary Surgeon to the Racecourse Executive concerned who shall immediately report it to the GBGB. In the case of an Unattached Trainer's Licensed Kennels the Trainer shall report it direct to the GBGB and to any Racecourse Executive which might be affected.

#### **Rule 60 Inoculations**

***(This Rule will be affected by implementation of the BGRDb - see Rule 197).***

The Racecourse Executive shall arrange that documentary evidence of any previous inoculations in the form of a previous entry in the Identity Book or a certificate issued by a Veterinary Surgeon, shall be required of the Owner of a Greyhound entering the Licensed Kennels of attached Trainers. An Unattached Trainer shall make similar arrangements.

A licensed Trainer shall be provided on request, by the Racing Manager of any GBGB Licensed Racecourse, with details of any inoculations held within the BGRDb for any specified Greyhound. A licensed Trainer shall produce, or arrange for a Veterinary Surgeon to produce, within 7 Days, to any such Racing Manager, documentary evidence of inoculation of any Greyhound while in his/her charge, and shall be given and retain a receipt in the form of a copy of a Greyhound Detail Report prepared under Rule 195(v) and Rule 194(vii).

A Greyhound in Licensed Kennels shall be required to have had such inoculations as may be prescribed by the Greyhound Regulatory Board. Such inoculations shall be by vaccines which are licensed for use in the United Kingdom.

A Greyhound in Licensed Kennels shall be required to have had full inoculations against:

- i** Distemper;
- ii** Viral Hepatitis;
- iii** Leptospira Canicola



- iv Leptospira Icterhaemorrhagiae;
- v Parvovirus;

and any other required vaccination as shall be notified from time to time. Booster inoculations shall be required at twelve-Monthly intervals from the date of the initial Puppy inoculations. Before any Puppy is earmarked by the GBGB it shall be duly inoculated at eight weeks of age by a Veterinary Surgeon against, in particular, Distemper, Viral Hepatitis and Leptospirosis (first dose) and Parvovirus.

Earmarking shall normally be carried out at ten weeks of age to enable the immune responses to vaccination to become more effective. It is necessary for each Puppy to be inoculated with a second dose of the multiple vaccines at twelve weeks of age against all the diseases mentioned above.

#### **Rule 61 Report of sickness, injury, etc.**

- i Any case of sickness, lameness or other indisposition of a Greyhound discovered after Kennelling for, or immediately after, a Race or Trial, shall be reported to the Local Stewards without delay. Any other case of indisposition of a Greyhound due to or likely to be due to run shall be reported by the Trainer to the Racing Manager(s) concerned without delay.
- ii When a greyhound is injured when participating in a race, trial or sales trial the attending Veterinary Surgeon must make a record which the Racecourse Executive must keep at the racecourse, setting out;
  - a the nature of the injury sustained;
  - b either the microchip number or earmark of the Greyhound;
  - c details of any treatment administered to the Greyhound;
  - d the distance of the race, trial or sales trial in which the injury occurred; and
  - e the date of the injury.

The information referred to in sub-paragraph (ii) must be kept for at least 10 years from the date of the injury.

#### **Rule 62 Death of a Greyhound**

In the event of the death from whatever cause of a registered Greyhound, the Owner or his/her Authorised Agent, or a Veterinary Surgeon, or a licensed Trainer on behalf of the Owner, shall immediately report the death, and the cause thereof, to the Racing Manager of any GBGB Licensed Racecourse and shall be given and retain a receipt in the form of a copy of a Greyhound Detail Report prepared under Rule 195(ii) and Rule 194(vii).

### **Section 5 ORGANISATION OF RACES AND TRIALS**

#### **Rule 63 Race - definition, & particulars, terms, conditions of**

The term Race shall normally mean a simultaneous contest between a maximum of eight Greyhounds on a GBGB Licensed Racecourse, over a distance of not less than 210 metres and not more than 1105 metres.

The term Race may also be used to describe an event consisting of a series of Races as defined above, but it shall be so interpreted in these Rules only where stated or implied.

The particulars and/or terms and/or conditions of a Race shall have the force of Rules and a breach thereof shall be deemed a breach of these Rules, and words and phrases therein shall have the same meaning as in these Rules.

## **Rule 64 Categories and types of Race**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).***

- i** The different categories of Races shall be as follows;
  - a** An Open Race shall be a Race or series of Races, the particulars of which are advertised in the GBGB Calendar under Rule 81, and for which entries are normally received for Greyhounds in the charge of any Trainer, but the conditions of an Open Race may restrict entries in this or any other respect.
  - b** A Graded Race shall be a Race for which the Greyhounds are selected by the Racing Manager from those in the charge of any Professional or Greyhound Trainer.
  - c** An Intertrack Race shall be a Race between two or more teams of Greyhounds, each team representing a GBGB Licensed Racecourse and organised by the respective Racing Managers. Each Greyhound to be included in such a team shall as a minimum qualification be eligible to contest a Graded Race, not necessarily over the same distance, at the Racecourse it represents.
  - d** No Rule
  - e** A Match shall be a Race between two Greyhounds in the charge of different licensed Trainers, unless previously approved otherwise by the GBGB, and the property of different Owners, on terms agreed by the latter. A Match and its terms shall require the approval of the GBGB before its inclusion in a programme.
  - f** A Private Sweepstakes shall be a Race between three or more Greyhounds in the charge of different licensed Trainers unless previously approved otherwise by the GBGB, and the property of different Owners, on terms agreed by the latter. A Private Sweepstakes and its terms shall require the approval of the GBGB before its inclusion in a programme.
  - g** A Handicap Race shall be a Race wherein each Greyhound, under the conditions of the Race or by the Racing Manager, is allotted a Handicap. A Greyhound allotted a Scratch Handicap shall start from a Starting Trap at the normal starting position for the nominal distance of the Race, and a Greyhound allotted a Handicap of a number of metres start shall start from a Starting Trap placed that number of metres in front of such normal starting position; all Starting Traps to open simultaneously.
  - h** A Substitute Race shall be a Race included in the programme and shown on the Racecard to replace any Race not run at that Meeting. Greyhounds engaged in a Substitute Race shall be treated as if the Race were going to be run, until the Meeting is completed.
- ii** The term Sweepstakes may be applied to any Race where the Entry Fees or subscriptions paid by the Greyhound Owners are given as prizes for that Race.

- iii The following shall be particular types of Races in one or more of the above categories:
  - a An Invitation Race shall be a Race in which specific Greyhounds are invited to take part according to the conditions and which is approved by the GBGB who may for the purposes of an international or other Invitation Race, waive any Rules as necessary.
  - b A Produce Race shall be an Open Race for Greyhounds of defined origin, usually members of a litter originally entered as such for the Race, each Greyhound to run having been included in the entries at each subsequent acceptance stage. A Greyhound to run in a Produce Race shall be registered and its Identity Book sealed before the date stipulated in the conditions. For the purpose of Produce Races, a British-bred Greyhound shall be defined as one whose dam is registered in the British Greyhound Stud Book and subsequently the progeny.
  - c A Hurdles Race shall be a Race run over at least three separate flights of Hurdles.

#### **Rule 65 Definition of Reserves and Withdrawals**

A Reserve shall be a Greyhound held in reserve possibly to replace a Withdrawal in Races as permitted under Rule 67. A Withdrawal shall be a Greyhound withdrawn from:

- i an Open Race after the time of closing, or
- ii from a Match or Private Sweepstakes after the time of its approval by the GBGB, or
- iii from a Graded Race or Intertrack Race after the time of transmission of the final or only version of the programme under Rule 194(ii), or
- iv from a Trial under Rule 69(ii)(a) or Rule 113(iii).

#### **Rule 66 Alterations to Races**

Provided that the Racecard incorporates such alterations, the Racing Manager may up to the time of transmission of the final or only version of the programme under Rule 194(ii), substitute Greyhounds or alter Handicaps after publication of the original programme in Graded Races or Intertrack Races, and a Greyhound so replaced or substituted shall not be thereby deemed a Withdrawal or a Reserve.

The Racing Manager in making such alterations shall revise any allocated or drawn Trap Numbers of the remaining runners in a Graded or Intertrack Race.

Where a Reserve replaces a Withdrawal in an Open Race before the printing of the Racecard, references to such replacement shall be indicated in the Racecard and in the final or only version of the programme transmitted under Rule 194(ii).

#### **Rule 67 Eligible Reserves**

**(see also Rule 146)**

One or more Reserves may be nominated by the Racing Manager, for any Graded Race, Intertrack Race, or the first or only round of an Open Race. Except under Rule 90, a Reserve for an Open Race shall be subject to Rule 79(ii). No seeded Wide Runner may be placed on the inside of a Middle Runner or Railer

and no seeded Middle Runner shall be placed on the inside of a Railer or on the outside of a Wide Runner (except any with different Handicaps).

Reserves may only be included for rounds of an Open Race other than the first as provided for by Rule 90, or, for the final of an Open Race at a Racecourse where the number of runners in any Race is necessarily confined to five, the conditions of such Race may provide that a single one of the remaining Greyhounds qualifies to be included as Reserve, by finishing in a specified place in the previous round.

No Reserves shall be permitted for a Match or Private Sweepstakes, without permission of the Greyhound Regulatory Board and terms for these Races shall make provision for one or more Withdrawals occurring.

#### **Rule 68 Treatment of Reserves**

A Reserve shall be treated in every respect as if it were due to run in the Race until it is known that it will not be called upon to run. A Reserve which is not available to run when called upon to do so shall be subject to all Rules relating to Withdrawals.

The conditions of a Race, or the Racing Manager, may provide or decide that a Reserve shall not be called upon to travel or to run unless the Trainer is notified by a stated time.

#### **Rule 69A Withdrawals – causes of**

A Withdrawal shall be decided as such by the Local Stewards either:

- i** where required or authorised elsewhere in these Rules, or
- ii** where made necessary by circumstances, or if
  - a** a Veterinary Surgeon's certificate is produced stating that the Greyhound is unfit to run, giving precise details of the indisposition, the time and place of examination and colour, sex and earmarking of the Greyhound.
  - b** in the event of an Owner or Authorised Agent wishing to withdraw a Greyhound from any Race, except a Match or Private Sweepstakes, for any reason other than one which would normally lead to its Withdrawal in any case under these Rules, he/she shall not be allowed to do so unless he/she has:
    - 1** handed a statement in writing of reason(s) for the Withdrawal to the Racing Manager not later than 8 hours prior to the time of the first Race, and
    - 2** given a deposit of £50 to the Racing Manager by such time, and
    - 3** obtained the Racing Manager's consent to such Withdrawal, who shall report the Withdrawal to the Director of Regulation who may make such order as he thinks fit.
  - c** Rule 148 applies.

#### **Rule 69B Withdrawal - fees in respect of, not eligible for delayed Race**

Any Entry Fee and other contribution in respect of a Race shall still be due in respect of a Withdrawal, except where otherwise stipulated in the terms of a Match or Private Sweepstakes, or except when such Withdrawal is replaced by a Reserve.

A Withdrawal from a particular Race at its advertised time and date shall not be eligible to run in that Race if it is for any reason postponed or re-opened.

**Rule 70 Trap Number - definition**

The term Trap Number shall mean the number drawn for or allocated to a Greyhound, corresponding to the number subsequently shown against it on the Racecard in the case of a Race, and to the number on the Racing Jacket worn by it for the Race or Trial concerned.

**Rule 71 Starting position of Trap Numbers**

Except by permission of the Greyhound Regulatory Board or the Director of Regulation acting under its authority a Greyhound drawing or allocated Trap Number 1 shall start from the Starting Trap or compartment of a Starting Trap nearest to the inner rail/fence, the runners starting in numerical order of Trap Numbers outwards across the course, except as provided for in Rule 75.

**Rule 72 Trap Numbers for Handicaps - starting position, & draw for**

In a Handicap Trial or Race the Greyhound(s) handicapped nearest to Scratch shall start from the Starting Trap(s) or compartment(s) nearest to the side of the course on which the hare passes the start, the runners starting in ascending order of Handicap allotment as described in Rule 64(i)(g) across the course from that side.

Where two or more Greyhounds have the same Handicap, the Rules relating to the draw for or allocation of Trap Numbers shall apply between those Greyhounds for the group of Trap Numbers applicable to them collectively.

**Rule 73 Vacant traps - starting positions**

In the case of there being less than the advertised number of runners in a Trial or Race for any reason, the runners shall start from the same positions on the course according to their Trap Numbers, subject to Rule 75, as they would if the vacant Trap Numbers were occupied.

**Rule 74 Reserves - Trap Numbers, order of inclusion**

In the case of one or more Withdrawals from a Race, and subject to Rule 67 and Rule 146, Reserve(s) in the order of inclusion, which order may be at the option of the Racing Manager unless the Reserves are designated, shall be allocated the vacated Trap Numbers in the order of their becoming vacant, and shall not be transposed subsequently.

**Rule 75 Starting position of Reserves, and Parade order**

A Reserve permitted under Rule 67 to run shall start from the same position relative to the other runners as the Withdrawal it replaces would have done, across the course, except that;

If, in a Handicap Race, a Reserve which is allotted a different Handicap from that of a Withdrawal replaces the latter so that it thereby becomes impossible under Rule 72 and Rule 74 to comply fully with Rule 71. Rule 71 shall be waived only as far as necessary, and a public announcement shall be made that the Reserve(s) will run wearing the Trap Number(s) of the Withdrawal(s) but that the runners will start

from positions according to their Handicap allotments under Rule 72. In any case, the runners shall be paraded in the order in which they will start across the course.

#### **Rule 76 Eliminating round draw, & general allocation of/draw for Trap Numbers**

- i Unless specified otherwise in the conditions of an Open Race with the approval of the Greyhound Regulatory Board, the separation of Greyhounds into the individual Races in any eliminating round thereof shall be by draw, subject to guarding under Rule 87. For the first round only, where the number of Greyhounds to be separated does not divide exactly by the number of Races, the number of runners in each Race shall be as nearly as possible equal, and a separate draw shall first decide which Race(s) shall have less runners than the remainder
- ii The Trap Numbers of runners in an Open Race and the Trap Numbers for those Greyhounds allotted the same Handicap in an Open Handicap Race shall be drawn for, and Wide and Middle classifications shall apply.
- iii The Trap Numbers in an Intertrack Race shall be allocated in accordance with any conditions of the Race by the Racing Manager(s) concerned. The Trap Numbers in a Match or Private Sweepstakes shall be allotted by the agreed terms of the Race which may provide for a draw or otherwise.
- iv The Trap Numbers for runners in a Graded Race, including a Handicap Race, shall either be allocated by the Racing Manager in a manner conducive to good racing, or shall be drawn for.

#### **Rule 77 Allocation of vacant Traps**

Where there are fewer runners than the specified number for any Race, the Racing Manager shall decide which trap(s) shall be left vacant; except that if the depletion of runners is due to the provision of Rule 91, or a Greyhound being withdrawn, the Trap Number(s) to be left vacant from those which would have been used shall be decided by the inclusion of the appropriate number of blanks in the draw corresponding to the classification of the disqualified or withdrawn runner(s). Blanks shall be guarded to provide equal distribution of vacant Traps.

#### **Rule 78 Wide, Middle and Railer - definitions**

- i A Greyhound classified as a seeded Wide Runner in a Graded Race or originally so classified in an Open Race under Rule 79(ii), indicated on the Racecard and published programme by a 'W' against its name or against the Trap Number it occupies, shall be a Greyhound which in the opinion of the Racing Manager is best suited to starting outside runners not so classified, across the course.
- ii A Greyhound classified as a seeded Middle Runner in a Graded Race or originally so classified in an Open Race under Rule 79(ii), indicated on the Racecard and published programme by a 'M' against its name or against the Trap Number it occupies, shall be a Greyhound which in the opinion of the Racing Manager is best suited to starting on the inside of Wide Runners and outside of a railer.
- iii A Greyhound not defined in (i) or (ii) shall be classified as a Railer. A Railer is a Greyhound which in the opinion of the Racing Manager is best suited to starting on the inside of Middle and/or Wide runners.

**Rule 79 Classification of Wide and Middle Runners in Graded and Open Races**

- i The Racing Manager may classify a Greyhound in a Graded Race as a Wide or Middle Runner. An Owner may object to the Local Stewards to such classification for the purposes of further Races and they shall decide the matter
- ii An Owner, Trainer or Authorised Agent, when making an entry for an Open Race, may request that the Greyhound be classified as a Wide or Middle Runner for such Race. Notwithstanding whether or not such request is made, the Racing Manager shall consider all entries for such a Race as to whether, in his/her opinion and on information available to him/her, he/she may classify a Greyhound as a Wide or Middle Runner under Rule 78 for the first or only round of such Race. He/she shall not alter or add to such classifications for any further round of such Race except that in a Race of four or more rounds he/she may revise them for the second round only in which case the revised classifications shall stand for the remaining rounds. Classifications shall thus not be altered for the semi-final or final of any Race.

Any request for, or any classification of, a Greyhound as a Wide or Middle Runner for an Open Race shall apply to that Race only and shall not in itself prejudice any subsequent decision or classification.

**Rule 80 Draw procedure**

*(Amended 02.04.12)*

A draw under Rule 76 for Graded Races shall be made either in public or in the presence of independent witnesses. The draw under Rule 76 for an Open Race shall be made either in public or by the GBGB. Owners shall be entitled to be informed of the time and place of the draw on request and to be present. The draw for Trap Numbers shall take place as follows:-

The first classified Wide Runner drawn shall receive the highest Trap Number to be used in the Race and the first non-classified (Railer) runner drawn shall receive the lowest Trap Number to be used in the Race. The draw shall proceed with each successive classified Wide Runner receiving the next highest Trap Number and each non-classified (Railer) Greyhound receiving the next lowest Trap Number.

Should the Race feature classified Middle Runner(s) they shall be set aside in the order drawn. The classified Middle Runner(s) shall then be allocated a Trap Number(s) in ascending order from the starting positions that remain, e.g. 2 - 3 - 4.

**Rule 81 Advertised particulars of Open Races in GBGB Calendar**

Particulars of an Open Race shall be advertised by the Racecourse Executive in an issue of the GBGB Calendar so as to allow at least 7 Days between such issue and the date of closing of the Race or the date of making the first entry when the particulars or conditions specify more than one such date.

The particulars shall include:

- i The name of the Racecourse(s) where the Race is to be run.
- ii The address and telephone number to which entries should be made.
- iii The date(s) of the Race.
- iv The distance(s) over which the Race is to be run.

- v The number of entries and Reserves required, and the Entry Fee(s), if applicable.
- vi The title of the Race.
- vii The method of qualification from any qualifying rounds.
- viii The prize money and other prizes.
- ix The time and date of closing of entries, the time and date and venue of any draw under Rule 76.
- x Reference to whether the Standard Conditions of Entry for Open Races apply in full, or subject to amendments listed (see Rule 82).
- xi Reference to whether the Standard Conditions for an International Entry for an Open Race apply. (To be included only for a Race for which such entries would be eligible under Rule 83).
- xi Details of any special restrictions on entries.
- xiii Any special conditions of entry required or approved by the GBGB. (e.g. published conditions for any Race for veteran Greyhounds shall make it clear that a requirement of acceptance is that a Greyhound has recent Race form).

No alteration shall be made in the particulars or conditions of any Open Race after the last advertisement, except where special reasons in writing are submitted to the Greyhound Regulatory Board and their permission is given.

## **Rule 82 Standard Conditions of Entry for Open Races**

The following Standard Conditions of Entry for Open Races shall apply to all Open Races except as amended when the Race is advertised under Rule 81, and reference to them by title as above shall imply reference in full:

- i Entries shall either be made on an entry form obtainable from the Racecourse Executive completed and signed by the Owner or his/her Authorised Agent or by the licensed Trainer of the Greyhound and forwarded to be in the hands of the Racing Manager before the time of Kennelling for the Race or notified orally to the Racing Manager who shall enter details of all entries received in a register maintained specifically for this purpose. The person making the original entry shall be responsible for promptly informing the Racecourse Executive subsequently up to the time of the Race of any relevant change in circumstances affecting the Greyhound or the registered Owner or licensed Trainer thereof of which he/she becomes aware.
- ii No alteration or addition to entries shall be made after the published time of closing.
- iii The Racecourse Executive reserve the right to refuse any entry. The Racing Manager may select entries and may nominate Reserves from excess entries. If less than the requisite number of entries is accepted, the Racing Manager may cancel the Race or alter the method of qualification and/or adjust the prize money according to the number of entries accepted. If more than the requisite number of entries are accepted, the Racing Manager may divide any Race advertised for a maximum of six Greyhounds into two or more divisions of the same Race by draw or by selection at his/her option. If a Greyhound entry is not accepted the Racecourse Executive shall inform the Trainer at the earliest opportunity.
- iv In the event of a postponement being necessary the Race may be re-opened subject to the approval of the GBGB.



- v A Greyhound shall be entered at Owner's risk. No responsibility will be taken for sickness or loss of, or injury to, a Greyhound while on the premises of the Racecourse Executive or subsequently.
- vi A Trainer or Kennelhand intending to parade a Greyhound shall provide attire deemed suitable by the Racing Manager and conduct him/herself in a suitable manner, otherwise the Greyhound shall be paraded by a Kennelhand or Parader appointed by the Racing Manager.
- vii Either on the entry form, or separately, the person making the original entry shall inform the Racing Manager of the name of the Racecourse where the Greyhound was last accepted for a Trial or Race and the date, and of details of any Trial or Race the Greyhound is due to run after entry and prior to the Race.

### **Rule 83 International Entry for Open Race - Definition and conditions**

A Greyhound trained in a country other than England, Scotland or Wales shall be eligible to run in an Open Race in which the first prize is at least £500, or such other higher sum as may be determined by the Greyhound Regulatory Board and published in the GBGB Calendar from time to time, provided that it complies with the conditions shown below, and in this case, if entered, it shall be termed an International Entry for an Open Race.

A Racing Manager receiving such an entry shall immediately inform the GBGB, who shall obtain the necessary authority for the Greyhound to run from the appropriate recognized Greyhound authorities. The following Standard Conditions for an International Entry for an Open Race shall apply to all Open Races mentioned above if referred to accordingly in the advertisement for such Race under Rule 81; reference to them by title as above shall imply reference in full.

- i The Racing Manager, who shall be in possession of the current racing form, may accept an International Entry subject to the Greyhound having completed at least 1 satisfactory Race or Trial at a GBGB Licensed Racecourse or in Ireland within 28 Days of the Race.
- ii The Greyhound shall continue from the time of entry until after the Race to be trained by and in the continuous charge of its Trainer or Permit Holder and/or Kennelhand licensed by the Irish Greyhound Authorities (Bord Na gCon, Irish Coursing Club) or other recognised Greyhound Authority. Such Licence, incorporating a photograph of the licensee, or photo identification (e.g. Passport or Driving Licence), and the Greyhound's Irish Identity Card, or similar documents issued by other recognised Greyhound authorities, shall be submitted to the Racing Manager before each Trial and Race.
- iii While in England, Scotland or Wales during the period from the time of entry until after the Race, the Greyhound shall be housed in Kennels licensed and nominated by the GBGB, and qualified veterinary supervision shall be provided by a Veterinary Surgeon licensed and nominated by the GBGB.
- iv A Veterinary Surgeon's certificate showing that the Greyhound has been inoculated in accordance with Rule 60 shall be produced as required thereunder and before the first Trial or Race in any case.
- v The Owner, Trainer or Permit Holder, and/or Kennelhand, shall agree to abide by and submit themselves to the GBGB Rules of Racing and the Owner shall be deemed the registered Owner, the Trainer, or Permit Holder shall be deemed a licensed Trainer, and a Kennelhand shall be deemed a licensed Kennelhand, for the purposes of such Rules, for this Race and related Trial(s).

**Rule 84 Notice of Greyhound competing in Ireland**

Notice of at least seven Days in advance of the Irish Race, of a Greyhound in the charge of a Licensed Trainer competing in Ireland, shall be forwarded by the Licensed Trainer to the GBGB Director of Regulation, detailing :-

- i the name of the Greyhound,
- ii the name of the Trainer and/or Kennelhand accompanying the Greyhound,
- iii the name of the Irish venue and Race,
- iv the address of the Irish Kennel to be occupied and the arrival date.

The GBGB Director of Regulation shall arrange that the details be sent as appropriate either to the Irish Greyhound Board or the Irish Coursing Club, Ireland's statutory controlling authorities, under international agreement.

**Rule 85 (currently unused)****Rule 86 Double Conflicting Entry**

A Greyhound engaged in two unrelated Races advertised to take place on the same Day shall be disqualified for both Races and any Entry Fees shall be forfeited.

Where the rescheduled running of a postponed Race causes a Double Conflicting Entry a Greyhound shall be withdrawn from one of the Races and may run in the other Race, as mutually agreed between the Owner and the Racing Manager(s) concerned or, failing this, as decided by the GBGB.

**Rule 87 Guarding**

Except as provided by Rule 90, two or more Greyhounds entered for a Race with eliminating rounds, or which is to be divided, shall be guarded in any draw and in any division whether drawn or otherwise, so that as far as possible they do not meet in the same eliminating Race or division of a Race, if both/all of them are in the same sole ownership or in the same Part-Ownership.

Only for the above purposes of guarding, ownership by a husband, by his wife, and by them alone in Part-Ownership shall be deemed identical.

No guarding other than as above shall take place in any draw.

**Rule 88 Death of Owner of Greyhound in Match or Private Sweepstakes**

If any Owner of a Greyhound engaged in a Match or Private Sweepstakes dies before the Race is due to be run, the Race shall be cancelled.

**Rule 89 Death of Owner of Greyhound in other types of Race**

If any Owner of a Greyhound engaged in any Race other than a Match or Private Sweepstakes dies before the Race is due to be run, the Greyhound shall be withdrawn, unless it is specifically requested by the next of kin and/or the executors of the late Owner that the Greyhound be allowed to be run, when, if such request is approved by the GBGB, the Greyhound may run in the name of the late Owner in any Race for which it is otherwise eligible and is engaged at the time of his/her death.

**Rule 90 Dead-heat for qualifying place**

When two or more Greyhounds dead heat for a place in an eliminating round for a Race, which qualifies a lesser number of them for the next round, either:

- i Subject to there being at least three clear Days after the Race concerned before the first or only Day of the next round, the Greyhounds shall run off in a Trial or Match Race within 2 Days after the Race concerned over the same course and distance from the same starting positions. (For example if the Race is on a Monday and the next round does not commence before Friday, the run-off may take place on the Tuesday or Wednesday).

Only if all runners engaged in the run-off are of the same Seeding classification under Rule 79, shall the trap draw for the next round take place prior to the run-off, in which case blanks shall be included in the draw. Otherwise the trap draw shall be made after the run-off.

or

- ii If the Owners of the Greyhounds in the dead heat agree and request it, the Local Stewards shall decide by lot which Greyhound(s) shall go forward.

The loser(s) of the run-off or such lottery shall be allowed to stand as Reserve(s) for the ensuing round, and may be allowed to compete if their classification permits their inclusion.

**Rule 91 Disqualification from qualifying place**

- i If a Greyhound is disqualified for any reason, in or after an eliminating round of a Race in which it finishes in an outright qualifying place, Rule 95 and Rule 140 shall apply but no other Greyhound shall become qualified for the next round as a result of such disqualification. Rule 77 shall apply to any draw made subsequent to such disqualification.
- ii A Greyhound involved in a dead-heat under Rule 90, and disqualified for Deliberate Interference, shall forfeit its rights of qualification thereunder to the other Greyhound(s) involved in the dead-heat.

**Section 6 PRIZES, POINTS, ENTRY FEES, OTHER PAYMENTS, ARREARS, FORFEIT LIST****Rule 92 Added Money - definition, & Prizes not in money**

Added Money shall be prize money given for a Race excluding any contribution from the Owners of the Greyhounds engaged. Prizes not in money for an Open Race shall be advertised.

**Rule 93 Payment of prizes and prize money**

- i The full amount of all prize money and other prizes for a Race, as advertised, or shown on the Racecard in the case of a Race not previously advertised, shall be paid to the Owners, Lessees, Authorised Agents, or other persons entitled to it, only when a 21 Day period has elapsed after the completion of the Race or Competition, but within 28 Days of the end of the Month of the Race, except that, pending the determination of an Objection or Inquiry, the prize in respect of the Greyhound concerned may be withheld.

- ii Subject to the provision of Rule 94 the payment or award by a Racecourse Executive or by any other donor, of prize money or any other prize in a Race, in accordance with the Result of such Race as known at the time of such payment or award, shall operate as a full, complete and unconditional discharge of such Racecourse Executive or donor in respect of all liability for such prize money or prize and, in the event of any subsequent alteration in the Result of the Race, whether in consequence of an Objection or for any other reason, neither the Racecourse Executive nor such donor shall be under any further liability or obligation in respect of such prize money or prize.

#### **Rule 94 Repayment on disqualification**

Any person who has been paid any prize money or other prize in respect of a Greyhound in a Race shall, if such Greyhound be subsequently disqualified for that Race for any reason, repay and return such prize money and prize to the Racecourse Executive or donor thereof as the case may be, who on receipt thereof shall pay or award the same in accordance with the amended Result of the Race under Rule 95.

The expression "the Result of a Race" shall have the meaning set out in Rule 140.

#### **Rule 95 Forfeit upon disqualification of Greyhound**

The Owner of a Greyhound that has been disqualified for Deliberate Interference in a Race shall only receive prizes and competition points as designated for the last placed Greyhound. The Owner of a Greyhound that is disqualified in a Race for any other reason shall forfeit all prizes and competition points. A Greyhound disqualified for any reason shall forfeit all other rights in respect of that Race, which shall be allocated in accordance with the Result, subject to Rule 91.

#### **Rule 96 Division on dead-heat**

When a dead-heat is run in a Race, the Owners of the Greyhounds dead heating shall share equally all prizes in respect of the places they jointly shared in the Race. When a prize other than money cannot be so divided, it shall be allocated either by mutual agreement between the Owners concerned, or failing this by the Racing Manager, or otherwise if the conditions of the Race so provide.

The Owners of Greyhounds dead-heating and dealt with under Rule 90 shall divide any prizes in respect of the eliminating Race in which the dead-heat occurred, as above, irrespective of which Greyhound goes forward.

#### **Rule 97 GBGB stakeholders**

The Greyhound Regulatory Board may become stakeholders of all prize money and other prizes in respect of all such Race Meetings as they may decide. In such case they may appoint the Racing Manager or other Licensed Official as agent, whose duty it shall be to dispatch all prize money and other prizes to the GBGB within 24 hours of the conclusion of any such Meeting.

#### **Rule 98 Owners' liability for fees, right to prizes**

The Owner of a Greyhound at the time of entry shall be liable for any Entry Fee and any other contribution in respect of a Race. Prizes for a Greyhound in a Race shall belong to the Owner of the Greyhound at the time of such Race.

**Rule 99 Entry Fees, etc., - payable to Racecourse Executive**

Entry Fees and other contributions in respect of a Race shall be paid to the Racecourse Executive concerned, unless otherwise specified in the conditions of the Race.

**Rule 100 Payments - in cash, & when due**

Payment of Entry Fees, GBGB fees, fines, costs and expenses and Arrears due to the GBGB or to a Racecourse Executive may be required by them to be paid in cash and in this case shall so be paid.

Entry Fees and contributions to prize money for an Open Race shall be due at the time of closing, or when more than one time is specified for making entries, at such times. Contributions of Owners in respect of a Match or Private Sweepstakes shall become due and be paid under Rule 99 before the approval of the GBGB is sought for such Race. A GBGB fee shall be due at the time of the application to which the fee relates. GBGB fines shall be due immediately they are imposed; GBGB costs and expenses immediately they are decided.

**Rule 101 Arrears, Forfeit List - definitions**

Arrears shall be any sums unpaid in respect of Entry Fees, GBGB fees, GRB fines, GRB costs and GRB expenses.

The Forfeit List shall be a record of such Arrears published by the Greyhound Regulatory Board in the GBGB Calendar.

**Section 7 TRIALS MEETINGS AND RACE MEETINGS****Rule 102A General arrangements for Meetings**

The Racecourse Executive shall be responsible for the general arrangements for a Trials Meeting and a Race Meeting. The Local Stewards shall be responsible for the conduct of Trials and Race Meetings and shall have full regard for the welfare of Greyhounds and its duties and responsibilities under the Animal Welfare Act 2006. A Trials Meeting may be held before and/or after but not during a Race Meeting. Each shall be treated as a separate Meeting. The Racing Manager shall be responsible for arranging the programme of Trials or Races.

**Rule 102B Sales Trials**

All persons or organisations who conduct Greyhound sales on premises licensed by the GBGB shall first be registered with the GBGB. The fees for registration shall be decided by the Greyhound Regulatory Board who shall have power to refuse the registration of any such person or organisation without assigning a reason. The right of appeal against such a decision exists within current Appeal Procedures.

A Sales Trial shall be a Trial run at a Trials Meeting composed entirely of Sales Trials, each run in connection with a subsequent offer for sale at the Meeting of any Greyhound contesting such a Trial.

Except where explicitly stated otherwise in this or any other Rule, a Greyhound Sales Trials Meeting shall be treated as a normal Trials Meeting under these Rules.

The following provisions shall apply specifically to Sales Trials:

- i No dispensation from veterinary attendance at Kennelling under Rule 113(vi) shall be granted, nor any such existing dispensation apply, in respect of a Sales Trials Meeting.
- ii A Sales Trial shall not count as an Initial Trial under the provisions of Rule 47 and Rule 48.
- iii All Greyhounds to run in a Sales Trial shall have in-date inoculation records in accordance with those specified in Rule 60 or shall be inoculated in accordance with Rule 60 at the Meeting by the Veterinary Surgeon at the expense of the person in charge of the Greyhound. In respect of Greyhounds from Ireland, inoculations shall be entered in the Irish Identity Card and the inoculation certificate shall also be produced.
- iv A separate Kennel shall be provided for each Greyhound to run in a Sales Trial. Any Kennels other than Racing Kennels, which shall be in a secure area, may only be used subject to prior agreement with the area Stipendiary Steward but in each case only one Greyhound shall be accommodated in each Kennel. Greyhounds shall not be kept in any other form of accommodation such as cars, vans etc.
- v Copies of the sales catalogues shall be sent, to arrive at least 7 Days prior to the date of the Sales Trials Meeting, to the GBGB and to the Area Stipendiary Steward. The following notice shall be incorporated in the condition of sales published in the sales catalogue:

“Intending purchasers are advised that the acceptance by the GBGB for registration of any Greyhound offered in this catalogue is subject to the Greyhound being eligible for registration under the Rules of Racing.”
- vi All agents or persons who supply Greyhounds to the persons or organisations conducting sales at a Sales Trials Meeting shall be registered with the GRB - registered sales organisation, which shall keep a register of such persons, which shall be open to inspection by the Area Stipendiary Steward and all such registered Agents shall be subject to the Rules of Racing.
- vii Suitable transport for the conveyance of Greyhounds to/from a Sales Trials Meeting shall be to the satisfaction of the persons or organisation conducting the sales and in accordance with any code of practice as may be determined by the Greyhound Regulatory Board.
- viii All other arrangements for the feeding, watering and exercising of Greyhounds brought to a Sales Trials Meeting shall be to the satisfaction of the Greyhound Regulatory Board.
- ix The Racecourse Executive in conjunction with the registered sales organisation may arrange to take random urine samples from Greyhounds at a Sales Trials Meeting and these may, by financial arrangement with the GBGB, be analysed by the GBGB - appointed analyst.
- x In respect of unsold Greyhounds, the persons or organisations responsible for the sales Trials shall make reasonable arrangements for the welfare of such Greyhounds until their eventual sale or disposal.
- xi Irish transfer forms for Greyhounds sold at a Sales Trials Meeting shall only be accepted by the GBGB for registration purposes if they are appropriately stamped by the sales organisation. The stamp shall show the name of the registered sales person or organisation, the GBGB Licensed Racecourse at which the sale was held, and the date.

**Rule 103 Required presence of Local Stewards and Officials**

At least 3 Local Stewards shall be present from before the first Race, 2 of them from the start of Kennelling, until after the last Race of a Race Meeting. At least 2 Local Stewards shall be in the Stewards' Box during a Race.

At least 2 Local Stewards shall be present from the start of Kennelling until after the last Trial of a Trials Meeting.

A Racing Manager or Assistant Racing Manager shall be present at a Race Meeting and at a Trials Meeting, otherwise the Local Stewards shall appoint one of their number to assume the necessary duties for such Meeting and shall inform the GBGB of the circumstances.

**Rule 104 Temporary appointments of Local Stewards and Licensed Officials**

If there are less than the required number of Local Stewards present for a Race Meeting or Trials Meeting or if any other Licensed Official required by these Rules to be present is absent, the Local Stewards shall appoint suitably experienced persons to fill such vacancies and shall report the circumstances to the GBGB.

No person in respect of whom a direction has been made by the Greyhound Regulatory Board or the Director of Regulation acting under its authority pursuant to sub-Rule (b) of Rule 4A(i) shall be so appointed without the prior approval of the Greyhound Regulatory Board or the Director of Regulation acting under its authority.

**Rule 105 Local Stewards to control and supervise conduct**

The Local Stewards shall control and supervise the conduct of all Licensed Officials, Trainers and Kennelhands, of all Owners and of all unlicensed persons, connected with the Greyhounds and/or the conduct of Trials and Races.

**Rule 106 GBGB, Stipendiary & Local Stewards - access**

The GBGB, its Chief Executive, members of the Greyhound Regulatory Board, the Director of Regulation, Members of the Disciplinary Committee, duly authorised representatives of any of them and GBGB Stipendiary Stewards shall have free access to all places used for the conduct of Trials and Races, to all Licensed Kennels, and to all records connected with Greyhounds. The Local Stewards shall have similar powers in respect of the Racecourse for which they are licensed and in respect of the Licensed Kennels of that Racecourse's attached Trainers and of any other Trainers who enter Greyhounds for Races at that Racecourse.

**Rule 107 Variation of arrangements for Meetings**

The Local Stewards shall have full power to make, and, if necessary, to vary, all such arrangements for the conduct of a Trials Meeting or a Race Meeting as they deem fit provided that such variation does not conflict with the requirements of the Rules. They shall, for example, have power to abandon the Meeting, or to abandon any Trial(s) or Race(s), or to alter the order of running Trials or Races, or the time of Kennelling. Any such variation for a Race Meeting shall be notified to the GBGB or the Area Stipendiary Steward concerned. Such notifications shall be made as soon as practicable and not later than 24 hours after the date of the Race Meeting concerned.

**Rule 108 Racecard**

The Racecourse Executive shall publish an official Racecard for a Race Meeting and shall as a minimum publish thereon:

- i** The name of the Racecourse and the date of the Meeting.
- ii** That such Meeting is held under GBGB Rules of Racing.
- iii** The names of all Licensed Officials to act at that Meeting.
- iv** If any Graded Race is included at such Meeting, a notice stating whether the Trap Numbers in such Races under Rule 76 have been allocated or drawn for.
- v** A notice showing the name(s) of the person(s) making or witnessing any draw under Rule 80 and the date(s) of such draw(s), in respect of any Race at that Meeting.
- vi** For each Race to be run at that Meeting and any Substitute Race:
  - a** The distance and whether flat or Hurdles; a Handicap to be prominently shown as such.
  - b** All prizes in respect of that Race.
  - c** The title, if any has been advertised.
- vii** For each Greyhound to run in a Race, except a Reserve:
  - a** The Trap Number allocated or drawn except where the Greyhounds for a Race are decided during a Meeting from those appearing elsewhere on the same Racecard.
  - b** If a Handicap Race, the Handicap allotment prominently displayed.
  - c** A letter 'W' in the case of a classified Wide Runner and a letter 'M' in the case of a classified Middle Runner.
  - d** Its name, colour, sex, sire and dam, and Month and year of whelping.
  - e** If a bitch, the date on which it last came in season or whelped a litter or was spayed or where season has been suppressed or postponed.
  - f** The name(s) of the Owner(s), or where a Greyhound is Leased, the names of the lessor(s) and Lessee(s).
  - g** The name of the licensed Trainer
  - h** At least three Form Lines in respect of Trials or Races at GBGB Licensed Racecourses and for an Irish Greyhound participating in its first Race at least one additional Form Line shall be included to show the Irish form most relevant to this Race in the opinion of the Racing Manager; (see Rule 36(i)(h)).

At least the 2 most recent Trials and/or Races shall be shown in the required Form Lines and the most recent Form Line applicable to the Racecourse and distance in question or, failing this, the third most recent Trial or Race. Form Lines should include for each Trial or Race; the date, distance, whether over Hurdles, weight at Kennelling, Winning Time, 're-run' if applicable, 'hand-timed' if applicable, Trap Number and/or Handicap allotment, position in the Order Of Finish, the distance the Greyhound won by or finished behind the winner; and the name of any other Racecourse where such Trial or Race took place.



A Form Line shall indicate if it is for a No Race or a No Trial not re-run and such Form Line shall include date, distance, whether over Hurdles, weight at Kennelling, Trap Number and/or Handicap allotment.

- viii** For each Reserve, all items shown under (vii) except that the word, or abbreviation for, Reserve, shall replace the Trap Number under item (a) and Form Lines other than the most recent under item (h) may be omitted according to available space.

Any Form Line required by (vii)(h) and omitted from the Racecard, either in respect of a Reserve called upon to run, or in respect of any Greyhound because it was not available when the Racecard was printed, and any corrections to errors or omissions shall be published on notice boards in each public enclosure and announced publicly before any Race affected thereby.

The Racing Manager shall be responsible to the GBGB for the accuracy of the Racecard and shall ensure that all abbreviations used thereon are defined on the Racecard or by public notices.

### **Rule 109 Racing Paddock and Kennels – definition**

- i** The Racing Paddock, containing the Racing Kennels, shall be a securely enclosed area at a GBGB Licensed Racecourse. It shall be adequately lit to allow safe examination and handling of a Greyhound.
- ii** Each racing kennel must;
  - a** if installed after 6 April 2010, be at a minimum 136cm long, 87cm wide and 110cm high internally;
  - b** be occupied by no more than one Greyhound;
  - c** have walls and floors with a cleanable surface;
  - d** be cleaned between use;
  - e** have a comfortable area for the Greyhound to lay;
  - f** allow a Greyhound to be observed when inside;
  - g** be constructed so as to minimise any risk of injury to a Greyhound;
  - h** have a regular flow of clean air, whether by natural or artificial means, to allow sufficient ventilation for a Greyhound;
  - i** have an ambient temperature suitable for Greyhounds just raced;
  - j** be disinfected and dried between days on which races, trials or sales trials take place.

### **Rule 110A Security of Racing Paddock**

A Local Steward, Paddock Steward or Security Officer shall examine each individual Racing Kennel and materials\* to be used therein for a Meeting, and all other parts of the Racing Paddock immediately before the Greyhounds enter for Kennelling and shall ascertain that all is secure and in satisfactory condition.

\*Bedding used in Racing Kennels shall be supplied by the Racecourse Executive, except where a dispensation has been granted by the Greyhound Regulatory Board or the Director of Regulation acting under its authority. Trainers shall not bring bedding for their Greyhounds, but shall make arrangements before the Race Meeting with the Racing Manager for bedding to be supplied, should it be required.

From the time of such examination until after the last Trial or Race at least one Paddock Steward shall be present in the Racing Paddock, and shall ensure that all entrances are, except while in use under the surveillance of a Paddock Steward or Security Officer, kept locked.

An additional Paddock Steward or other Licensed Official shall be appointed where necessary to assist the Paddock Steward in carrying out his/her duties under this and succeeding Rules.

### **Rule 110B Veterinary Treatment Room**

- i** The attending Veterinary Surgeon must have access to either a room or a mobile facility which;
  - a** is clean and has walls and floors with an impervious and easily cleanable surface;
  - b** is lockable;
  - c** is well lit;
  - d** has heating and ventilation;
  - e** has hot and cold running water;
  - f** has an examination table suitable for examining Greyhounds;
  - g** has a lockable cupboard suitable for the storage of veterinary medicines;
  - h** has a fridge suitable for the storage of veterinary medicines;
  - i** is located close enough to the area where a race or trial or sales trial is being undertaken to allow quick access in the case of an emergency;
  - j** has a freezer suitable for the storage of a Greyhound carcase;
  - k** the Racecourse Executive shall ensure is kept clean and tidy at all times.
  - l** contains a kennel, which complies with the Racecourse Executive's requirements in relation to kennels and which is within reasonable proximity to the room or mobile facility used for emergency veterinary cases;
  - m.** has instruments, steriliser, drugs, dressings, cadaver bags, sharp disposal container etc. which shall be provided by the Veterinary Surgeon and paid for by the Racecourse Executive;
  - n** shall offer a telephone link between the treatment room and the Stewards' Box;
  - o** unless dispensation is requested by the Racecourse Executive and granted by the Greyhound Regulatory Board or the Director of Regulation acting under its authority, shall have a monitor connected to the track's closed circuit system (so that the Veterinary Surgeon is able to view replays and thus be more able to ascertain the cause of an injury), together with a speaker connected to the public address system.
- ii** The facilities described in sub-paragraph i must be for the sole use of the attending Veterinary Surgeon at all times.
- iii** The Veterinary Surgeon shall be responsible for disposal of all sharps and surgical waste.

**Rule 111 Admission to Racing Paddock**

Only the following persons shall be admitted to the Racing Paddock:

- i Officials licensed in respect of the Racecourse.
- ii Holders of temporary appointments under Rule 104.
- iii Members of the Disciplinary Committee, the Chief Executive of GBGB, the Director of Regulation, the Head of Security of GBGB, GBGB Sampling Stewards and GBGB Stipendiary Stewards.
- iv Licensed Trainers and Kennelhands having charge of any Greyhound at the Meeting
- v Any person specifically authorised to be admitted on a particular occasion by the Racing Manager or the appropriate Members of the Disciplinary Committee or Local Steward and accompanied by a Local Steward throughout.
- vi Registered sales persons and their registered agents at a Sales Trials Meeting.

**Rule 112 Kennelling time**

Kennelling procedure under Rule 113 shall not commence prior to 1 hour 45 minutes, and shall be completed at least 30 minutes before the first Trial or Race of a Meeting, but each Greyhound shall be kennelled at least 45 minutes before its Trial or Race.

When more Greyhounds are due to take part than can be accommodated in Racing Kennels at once, any surplus Greyhound(s) may be kennelled later, but at least 45 minutes before their Trial or Race.

The Trainer of a Greyhound who fails to have such Greyhound in the Racing Kennel by the time appointed shall be reported to the Local Stewards, who may at their discretion disqualify the Greyhound from running at that Meeting and who shall in any case report the circumstances to the GBGB.

**Rule 113 Kennelling and weighing procedure**

Kennelling procedure for a Trial or Race shall be as follows:

- i Each Greyhound shall be weighed by a Licensed Official, to the nearest 100 grams. Either immediately prior to being weighed or immediately afterwards, it shall be identified and the greyhound scanned to ensure the presence of an identifiable microchip. The Licensed Official shall, on completion of Kennelling, hand to the Racing Manager a record of the weights and the name(s) of the official(s) responsible for identification at that Meeting under Rule 39.
- ii At the same time of identification and weighing, a separate specific Racing Kennel shall be allocated without set pattern to each Greyhound, recorded, and explicitly intimated to the Trainer or Kennelhand attending it and to the Paddock Steward or Security Officer supervising the Racing Kennels and such allocation shall not be notified to any unauthorised person.
- iii After identification and weighing the Greyhound shall be inspected by the Veterinary Surgeon, who shall not pass at this time or any other inspection any Greyhound as fit to run which in his/her opinion is unfit to do so, or which has been treated in any way with any substance which in his/her opinion might affect its, or any other Greyhound's, normal performance.

- iv After weighing of a Greyhound has been completed it shall not have access to any food, drink or other substance prior to its Race or Trial.
- v Immediately after the veterinary inspection, a Greyhound not withdrawn shall be placed in the appropriate Racing Kennel by the Trainer or Kennelhand under the surveillance of the Paddock Steward, Security Officer or other Licensed Official. The Kennel shall then be locked and all Kennel keys shall be retained by the Paddock Steward.
- vi At the Greyhound Regulatory Board's sole discretion, dispensation from veterinary attendance at Kennelling may be granted provided the following conditions are met:
  - a The Veterinary Surgeon shall be in attendance for Race Meetings and Trials Meetings at least 30 minutes before the first Race or Trial.
  - b All Greyhounds shall be inspected by the Veterinary Surgeon prior to their Race or Trial.
  - c Following the veterinary inspection the Greyhounds shall not be returned to their Kennels but shall be kept in a secure situation.

Any dispensation given shall be reviewed annually. Apart from the dispensation factor, the Rules of Racing, specifically for Kennelling, shall remain unaltered.

Authorised Representatives of Racecourse Executives seeking dispensation shall write to the GBGB Director of Regulation.
- vii All weighing apparatus must be re-calibrated at least once annually by an accredited person.

#### **Rule 114 Access to Racing Kennels**

After a Greyhound has been kennelled no person shall have access to the Racing Kennel except with the authority of and under the surveillance of the Paddock Steward or Security Officer or Veterinary Surgeon, and in the presence of the Trainer or Kennelhand, except in an emergency.

#### **Rule 115 Paddock procedure before Trial/Race, Jacket/Muzzle inspection**

- i A Greyhound shall be brought out of the Racing Kennel to take part in a Trial or Race by the Trainer or Kennelhand under the surveillance of the Paddock Steward or Security Officer and identified by a Local Official.
- ii The Paddock Steward shall allocate the Racing Jackets in accordance with Rule 118. The Greyhound shall be identified and scanned for the presence of an identifiable microchip after the Racing Jacket and muzzle are placed on it. The Trainer shall be entirely responsible for ensuring that the correct Greyhound wears the correct Racing Jacket and muzzle on leaving the Racing Paddock to take part in the Trial or Race.
- iii The Paddock Steward or other Licensed Official appointed by the Racing Manager shall examine all Racing Jackets and muzzles on the Greyhounds immediately prior to their leaving the Racing Paddock, or while on Parade, in order to ensure that each is correctly fitted and adjusted, and in suitable condition.

**Rule 116 Veterinary Surgeon's further examination, and attendance**

- i A register of attendance of Veterinary Surgeons shall be kept at the racecourse, recording;
  - a the date of the race, trial or sales trials; and
  - b the name, Royal College of Veterinary Surgeons membership number and signature of the attending Veterinary Surgeon.
- ii The attending Veterinary Surgeon must attest in the register that, before each race, trial or sales trial, he or she inspected every Greyhound which took part.
- iii The information referred to in sub-paragraphs (i) and (ii) must be kept for at least 3 years from the date of the race, trial or sales trial.
- iv Every Greyhound to take part in a Trial or Race shall again be inspected by a Veterinary Surgeon on leaving the Racing Paddock prior to such Trial or Race.
- v The Veterinary Surgeon shall remain at the Racecourse throughout a Trials or Race Meeting, shall as far as possible watch every Trial and Race and shall inspect each Greyhound prior to or on its return to the Racing Paddock after a Trial or Race, and shall carry out all reasonable requests made to him/her by the Local Stewards.

**Rule 117 Racing Paddock procedure after Trial or Race**

Each Greyhound shall be taken back to the Racing Paddock after any Race and shall be kept under the surveillance of a Paddock Steward, Security Officer or Veterinary Surgeon and not given access to any substance other than uncontaminated water until the authority of the Local Stewards has been obtained by the Paddock Steward. The above shall apply to a Trial at the discretion of the Local Stewards.

No person shall remove such a Greyhound from the Racing Paddock until such a time as the Local Stewards may decide.

**Rule 118 Racing Jackets**

Each Greyhound in a Trial or Race shall wear a Racing Jacket of a pattern approved by the GBGB and the Local Stewards, with the colour of each jacket and numeral to correspond with the Trap Number as follows:

- 1 Red jacket with white numeral
- 2 Blue jacket with white numeral
- 3 White jacket with black numeral
- 4 Black jacket with white numeral
- 5 Orange jacket with black numeral
- 6 White and black stripes with red numeral
- 7 (Leaf) green jacket with red numeral
- 8 Yellow (front) and black halved jacket with white numeral positioned on black portion

The Trap Number shall be shown on each side of the Racing Jacket in the above designated colour. A Racing Jacket worn by a Reserve called upon to run shall bear an additional letter 'R' prominently on each side.

The jackets used in any Race or Trial may be all of the traditional design or all of a new design, but the types shall not be mixed.

Only jackets with buttons (on the offside of the jacket) or ribbon fastenings are acceptable. Jackets with Velcro fastening, logos or coloured borders/piping are not permitted.

#### **Rule 119 Muzzles**

Each Greyhound in a Trial or Race shall wear a safety muzzle preventing biting and complying with the specification published in the Appendix to these Rules. The Paddock Steward shall have available suitable muzzles of all sizes and shall provide one for any Greyhound on request.

#### **Rule 120 Starting Traps - use of, specification, spare, examination of**

Greyhounds taking part in Schooling Trials under Rule 44 may at the discretion of the Racing Manager be handslipped. Otherwise, every Greyhound in a Trial or Race shall start from a Starting Trap or compartment thereof, of a pattern approved by the GBGB, and sufficient spare Starting Traps to cover breakdowns shall be provided by each Racecourse Executive. A Local Steward or Security Officer shall examine all Starting Trap(s) to be used in a Meeting immediately prior to such Meeting.

#### **Rule 121 Distance measurement**

The distance(s) in use for any Trial or Race shall have been measured one metre from the inside rail and marked by a qualified surveyor, from the winning line, and certificates lodged with the GBGB accordingly.

#### **Rule 122 Starting Traps for Handicap to be checked**

A Licensed Official other than the Starter shall check that the Starting Traps have been correctly set upon the course for a Handicap Trial or Race.

#### **Rule 123 Automatic/hand-start**

A mechanism shall be fitted to the hare equipment so that the Starting Trap(s) in use for a Trial or Race is/are opened automatically to become fully open at the time the hare is approximately 11 metres ahead of the front of the Starting Trap(s) except that:

in a Handicap Trial or Race, the Starting Traps shall become fully open when the hare is approximately 11 metres ahead of the front of the Starting Trap at the highest Handicap allotment in use, and if this cannot be arranged automatically permission shall be obtained from the GBGB to start such Trials or Races by hand in similar manner.

#### **Rule 124 Starter**

Greyhounds in a Trial or Race shall be started under the supervision of a licensed Starter who shall give all necessary orders and signals for securing a fair start, and shall also be responsible for any hand-starting under Rule 123 and for arranging any necessary removal of the Starting Trap(s) and ancillary equipment from the course expeditiously after the start.

**Rule 125 Parade for Race**

Unless otherwise directed by the Local Stewards, because of adverse weather or exceptional circumstances, every Greyhound to take part in a Race shall be paraded in public before going to the Starting Trap(s).

**Rule 126 Exhibition of Trap Numbers**

The Trap Numbers of Greyhounds to take part in a Race shall be exhibited in view of all public enclosures at least 10 minutes before the Race is run.

**Rule 127 Late Withdrawal**

Once the Parade has commenced, no alteration to the runners for a Race shall be permitted except that, until the hare signal is given for the start of the Race, a Greyhound may be withdrawn if it appears to be, and is confirmed by the Veterinary Surgeon to be, unfit to run. A Greyhound so withdrawn shall be termed a Late Withdrawal and the Race shall be postponed until a minimum period of 10 minutes has elapsed since the Late Withdrawal occurred.

**Rule 128 Starting time**

The Racing Manager or their Deputy must check that their timepiece, used for determining the Off-Time, is accurate before racing begins.

A public announcement or signal shall be made at least two minutes before the Greyhounds enter the Starting Trap(s) for a Race. The Greyhounds shall not be held stationary for more than one minute behind the Starting Trap(s) before being placed therein. A Race shall normally start no earlier than the time advertised or shown on the Racecard subject to Rule 107.

It is the responsibility of the Local Stewards to ensure that any and all off-times, or times relative to any other incident, recorded in race meeting data, are accurate.

**Rule 129 Greyhounds in Starting Traps**

A Greyhound shall be placed in the appropriate Starting Trap or compartment thereof by the Trainer or Kennelhand or Parader or Local Official, under the orders and surveillance of the Starter; or by him/her.

When all the Greyhounds are in the Starting Trap(s), all persons other than the Starter and his/her assistants shall retire from the course to wherever directed and shall give no further care or attention to the Greyhounds prior to the Race unless recalled.

If a Greyhound appears to the Starter to have become unfit or to have damaged its Racing Jacket or muzzle, he/she shall make the appropriate adjustments and, if necessary, recall the appropriate persons. He/she shall have all the Greyhounds removed from the Starting Trap(s) if any delay appears likely.

The Veterinary Surgeon shall be called to examine any Greyhound thought to be unfit.

**Rule 130 Start signal**

When the Starter is satisfied that all is in order under Rule 129 and all Greyhounds are facing forward, he/she shall give a public signal for the hare to be started.

After that time, the Greyhounds shall receive no further attention and the start shall not be deliberately prevented or postponed except when permitting the start would lead to declaration of 'No Trial' or 'No Race' under Rule 145.

#### **Rule 131 Failure to start or complete course**

Once the Starting Trap(s) open(s), any failure of a Greyhound to start shall not in itself be reason for declaration of 'No Trial' or 'No Race'.

Every effort shall be made to detain or remove a Greyhound that fails to start or stops or turns back during a Trial or Race.

#### **Rule 132 Starting Trap or hare failure**

If a Starting Trap fails to open, the hare shall be stopped as quickly and as safely as practicable and, if possible, out of sight of the Greyhounds. After any hare or Starting Trap failure, if the hare is to be moved, the Greyhounds shall be taken back to the Racing Paddock or other approved designated area under the surveillance of the Veterinary Surgeon or Local Official while necessary adjustments and tests are carried out.

#### **Rule 133 Hare not to pass twice**

Under no circumstances shall the hare be allowed to pass the Starting Trap(s) twice before the Greyhounds therein are released or removed, whatever the cause of the Starting Trap(s) failing to open the first time it passes.

#### **Rule 134 Hare Controller**

The hare shall be controlled for a Trial or Race by a licensed Hare Controller.

The Hare Controller shall be responsible for starting the hare from a position out of direct vision of Greyhounds in the Starting Trap(s), for maintaining a constant speed of the hare as it passes the starting point in each Trial or Race, and for keeping it within a reasonable distance of the leading Greyhound throughout a Trial or Race except where otherwise necessary in the interests of safety.

#### **Rule 135 Hurdles specification**

Hurdles shall be of a type and size approved by the GBGB.

#### **Rule 136 Hurdle damaged or knocked down**

If a Hurdle is damaged or knocked down during a Trial or Race it shall be replaced if time permits without distraction of the Greyhounds, but a Greyhound shall be entitled to take advantage of any gap so caused.

#### **Rule 137 Running Out**

If a Greyhound runs out of the defined course, whether it returns and crosses the winning line or not, it shall be regarded as not completing the course. It shall be disqualified for, and the Owner shall forfeit all rights in, the Trial or Race concerned.



**Rule 138 Timing, Timekeeper**

Timing for all Trials and Races shall be by photo- or ray-timing apparatus. A licensed Timekeeper shall record by hand held stopwatch a time for the apparent first Greyhound in the Order Of Finish and shall draw the attention of the Local Stewards to any unusual discrepancy between this and any time recorded as above; the Local Stewards shall, if they have reason to suspect a fault in any apparatus normally used, return such hand-time for that Trial or Race, shall make an announcement accordingly and instruct that this is also indicated in all records of such Trial or Race. The official Winning Time for a Trial or Race including a Handicap shall be that recorded by the first Greyhound(s) in the Order Of Finish, from the time the Starting Trap(s) front(s) reached 45 degrees from the perpendicular to the time the nose(s) of such Greyhound(s) reached the winning line on completing the course, whatever method of timing is used.

**Rule 139 Beaten distance, Calculated Time**

All details recorded by photo-finish apparatus shall be ascertained therefrom by a Local Steward. Where photo-timing apparatus is used, the distances between Greyhounds shall be calculated from the times thus recorded at the rate of 1 length per 0.08 of a second, except that very small distances may be determined from the image of the greyhounds. Where handtiming or other apparatus is used, which records a time for the apparent first Greyhound in the Order Of Finish only, the distances between Greyhounds shall be ascertained from the photo-finish film, and Calculated Times of the other Greyhounds computed therefrom. For a Handicap Trial or Race, the Calculated Time published for each Greyhound, including the winner, shall be the recorded or Calculated Time as above, with the addition of 0.08 of a second for each metre of Handicap allotment from the Scratch position. Where qualification is determined by times, the times calculated as above shall be those used.

**Rule 140 Order of Finish, Result, definitions**

The Order of Finish shall mean the order in which the noses of the Greyhounds which have completed the course in a Trial or Race reach the winning line.

The Result of a Race shall mean the Order of Finish subject to amendment, by any disqualification. For the purposes of competition points and prizes, a Greyhound disqualified for Deliberate Interference shall be treated as finishing last and the remaining Greyhounds moved up in place accordingly subject to Rule 91. A Greyhound disqualified for any other reason shall be treated as completely unplaced and shall forfeit all rights and competition points in respect of that Race, and the remaining greyhounds moved up in place.

**Rule 141 Officials and Stewards to watch Races and Trials**

The Racing Manager, Assistant Racing Manager and Local Stewards shall watch every Trial or Race at a Meeting at which they are acting under Rule 103 and as far as possible shall watch every Greyhound therein.

**Rule 142 Judge, post-Race procedure, No Race declaration**

The Judge shall occupy a suitable position in the Local Stewards' Box or Judge's Box throughout the Race. Unless the Local Stewards decide otherwise, the Judge shall indicate to them the Order of Finish and when this has been approved by them the Trap Numbers of the Greyhounds occupying the first three places shall be publicly announced and displayed. When Order of Finish has been confirmed

the Local Stewards shall announce 'Order of Finish Official' (and where available, display a green light) and the Order of Finish shall then be final.

If the Local Stewards decide to declare 'No Race', an immediate announcement 'No Race' made (and where available, display a red light).

If anything happens in a Race which causes the Local Stewards to give consideration to the declaration of 'No Race' they shall defer giving to the Judge their approval of any Order of Finish and shall cause an announcement to be made that a Local Inquiry is to be held.

### **Rule 143 Judge for Trials**

The Racing Manager, Assistant Racing Manager or a Local Steward may act in place of the Judge for Trials Meetings, and in this case shall be responsible for deciding the Order of Finish subject to the approval of the other Local Steward(s).

### **Rule 144 Photo-finish, Judge's decision final**

Where photo-finish apparatus is used by the Judge or person deciding the Order of Finish under Rule 142 or Rule 143 to assist him/her, it shall in no way alter his/her responsibilities and his/her decision shall be final in this respect.

### **Rule 145 No Trial, No Race - declaration of - permitted circumstances**

The Local Stewards may declare 'No Trial' or 'No Race' in the following circumstances only:

- i A mechanical or other defect of the hare equipment or Starting Trap(s).
- ii Any outside interference with the Trial or Race, with the proviso that the Local Stewards can within their discretion declare a Result or Part Result of a Race that is subject to outside interference in circumstances when a leading Greyhound has (or two or more leading Greyhounds have) run on and crossed the winning line.
- iii If the hare is not kept within a reasonable distance of the leading Greyhound.
- iv If no Greyhound completes the course within a reasonable time.
- v When the hare is stopped in the interests of safety before the Trial or Race has been completed.
- vi Where the Local Stewards consider, as a consequence of any error by a Licence holder, that the Result of a Race may be unfairly affected.

### **Rule 146 Re-run**

***(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).***

A 'No Trial' or 'No Race' may be re-run at the same Meeting or subsequently subject to Rule 147, Rule 148 and Rule 149 but at least 15 minutes shall have elapsed between declaration of 'No Trial' or 'No Race' and the re-run.

The word 're-run' shall be included in Form Lines entered in Identity Books and on subsequent Racecards, and on published records in respect of such re-run.

If a re-run results in a further 'No Trial' or 'No Race' such Trial or Race shall be abandoned, except in the case of an eliminating round of any Race, which shall be brought to a conclusion in such manner as the Local Stewards at their

discretion shall determine subject to Rule 147 and Rule 149. A Reserve shall not contest a re-run Race unless it was included in the runners for the No Race.

#### **Rule 147 Greyhound not to run more than twice in Day**

Under no circumstances shall a Greyhound run more than twice on any one Day.

#### **Rule 148 Re-run, Owner's option**

It shall be optional on the part of Owners whether their Greyhounds take part in a re-run Trial or Race if they are present at the Meeting, and an Owner or Authorised Agent or the Trainer in the former's absence may withdraw a Greyhound from such re-run provided that, in the case of a Race, notice is given to the Local Stewards before the Trap Numbers are announced publicly and before the Parade commences. A Match or Private Sweepstakes shall be re-run only with the mutual agreement of all the Owners concerned in the 'No Race', failing which the Race shall be abandoned.

#### **Rule 149 Qualifiers from 'No Race'**

In the event of a 'No Race' being declared in an eliminating round of a Race the following procedures apply in order of priority:

- Priority 1. There being only sufficient runners available for a re-run on the same Day to provide the required number of qualifiers from that Race for the next round, the eliminating Race need not be re-run, and those Greyhounds available and passed fit by the Veterinary Surgeon to take part in a re-run may be treated as qualifying for the next round.
- Priority 2. Failing resolution by Priority 1 and subject to there being at least three clear Days after the No Race and the Day of the next round, the Greyhounds shall re-run within 2 Days of the No Race over the same course and distance from the same starting positions.
- Priority 3. Failing resolution by Priorities 1 or 2, the Racing Manager will make a public draw to determine the qualifiers for the next round.

#### **Rule 150 Walk Over**

If a Greyhound is the only one not withdrawn from a Race and subject to other Rules is therefore eligible to 'walk over' to be deemed the first in the Result for the purpose of qualification and/or prizes, it shall be inspected by the Veterinary Surgeon prior to leaving the Racing Paddock and if pronounced fit to race shall be led past the Local Stewards' Box and thereupon deemed to have so walked over.

A Walk Over shall be treated and recorded as the Result of a normal Race and shown as such in Form Lines.

#### **Rule 151 Records of Trials and Races, Going Allowances**

*(This rule will be affected by the replacement by the BGRDb of the functions of Identity Books - see Rule 197 and Rule 205).*

The Racing Manager shall be responsible for arranging the making of and collation of records of Trials and Races necessary for the completion of Identity Books and Racecards, payment of prizes and reports to the GBGB.

The Racing Manager shall provide that time allowances made for the 'going' conditions of Greyhound running surfaces of the Racecourse for either Trials or Race Meetings be expressed mathematically as plus or minus from normal. The quantification of the Going Allowances shall be included in all records of Trials and Race Meetings.

Records of Trials and Races shall be made available at least to the Owners and Trainers of Greyhounds participating therein, on request or otherwise, within a reasonable time after the Meeting.

The Racing Manager shall arrange for a copy of each Racecard and details of records of all Trials and Races to be sent to the GBGB and to the Stipendiary Steward appointed to the area including that Racecourse, as soon as practicable after each Meeting and in any event by not later than 3 Days from the date of the Trials or Race Meeting.

## **Section 8 INQUIRIES, TESTS & SAMPLES, DISCIPLINARY POWERS & CONSEQUENCES**

### **Rule 152 Breach of Rules - how committed**

A person commits a breach of these Rules if the Disciplinary Authority find:

- i that person to have been wholly or partly responsible for taking any action expressly or impliedly forbidden by these Rules or for failing to take any action which, under these Rules, he/she was expressly or impliedly required to take; and/or
- ii that person to have acted in a manner prejudicial to the integrity, proper conduct or good reputation of GBGB Greyhound racing.

### **Rule 153 Attention to be brought to breach of Rules, etc**

Any person subject to these Rules under Rule 2 shall bring to the attention of the Local Stewards, the appointed GBGB Area Stipendiary Steward and/or the Disciplinary Authority any breach of these Rules or any conduct prejudicial to the integrity, proper conduct or good reputation of GBGB Greyhound racing of which he/she becomes aware.

### **Rule 154 Inquiry procedure, legal advice, presentation of evidence**

- i In holding any Inquiry the GBGB Area Stipendiary Stewards and/or the Disciplinary Committee shall, save where a procedure is expressly laid down by Rule 157 or any other of these Rules, adopt such procedure as they consider fair and appropriate. No Inquiry held or decision or order made shall be invalidated by reason of any immaterial non-compliance with the procedure laid down by these Rules.
- ii The GBGB Area Stipendiary Stewards and the Disciplinary Committee may, before, during or after any Local Inquiry or GRB Inquiry, seek legal advice on any question of law, construction or practice relating to that Inquiry.
- iii The Disciplinary Committee may at any GRB Inquiry direct the evidence to be presented by any person, not being a member of the Disciplinary Committee, they may nominate.

**Rule 155A Preliminary Investigation, initial assessment**

- i The purpose of a preliminary investigation is to assess whether there has been a breach of a rule. The role of the Local Stewards is to gather, within 28 days of the incident, all available evidence, which can include written, but is not limited to, statements from witnesses, documentary evidence and CCTV evidence.
- ii Within this period an assessment of the evidence can take place and the Local Stewards, two of which will constitute a quorum can decide that an inquiry is not required. Once the Local Stewards have decided that an inquiry is not required they will consult with the Area Stipendiary Steward. The Area Stipendiary Steward if he/she agrees with their decision will ratify it and forward the case papers to the Senior Stipendiary Steward. There will be no requirement to publish this decision in the racecard.
- iii If the area Stipendiary Steward disagrees with the assessment of the Local Stewards a Local Inquiry will be held and that procedure followed.

**Rule 155B Preliminary Investigation, urgent decision**

- i Whenever the Local Stewards are not satisfied with the performance of a Greyhound in a Trial or Race or whenever they shall consider any matter within the Rules fit to be inquired into they shall hold a Preliminary Investigation as soon as practicable. If they are not satisfied with the outcome of such Preliminary Investigation then subject to sub-Rule (ii) of this Rule a Local Inquiry shall be held. At a Preliminary Investigation, two Local Stewards shall constitute a quorum.
- ii If a Preliminary Investigation by the Local Stewards is into whether a Trial or Race should be declared 'No Trial' or 'No Race' or into any other matter requiring an urgent decision for the purposes of a particular Trial or Race or the conduct of a particular Meeting, the Local Stewards shall consider all available material evidence and reach a decision thereon and implement it as soon as practicable subject to Rule 169 in the case of an Objection. Such decision shall be final for the purposes of such Trial or Race or Meeting. (See also Rule 165).

**Rule 156 Local Inquiries - reasons for, notification of**

A Local Inquiry shall be held in connection with any matters under Rule 155B(i) that do not fall within Rule 155B(ii) or whenever the Local Stewards or GBGB Stipendiary Steward have reason to believe that a breach of these Rules may have been committed or a Complaint or Objection or breach of the Rules of Racing may have been notified to them or whenever a Stipendiary Steward is ordered by the GRB or the Director of Regulation pursuant to Rule 161(i) to hold an Inquiry or whenever the Local Stewards or GBGB Stipendiary Steward feel that such Inquiry should be held in connection with any matter. All parties concerned shall be notified of the reasons for such Inquiry.

**Rule 157 Local Inquiry procedure**

- i A GBGB Stipendiary Steward shall conduct each Local Inquiry. The Racing Manager of the GBGB Licensed Racecourse concerned shall make available all material evidence to the Stipendiary Steward conducting the Inquiry and shall be responsible for notifying all the parties concerned of the date of any such Inquiry. The Stipendiary Steward shall invite evidence from any person

who has been or in his/her opinion may have been in breach of the Rules of Racing. All parties involved in the Inquiry shall be interviewed separately or together by the Stipendiary Steward who shall be authorised to conduct all investigations concerning the matter under inquiry as he/she may consider appropriate.

- ii When conducting a Local Inquiry a Stipendiary Steward shall have power subject to sub-Rules (iii) and (iv) of this Rule to make findings of breaches of any of the Rules of Racing other than sub-para (i) of Rule 174 and if satisfied that a person has been in breach thereof he/she shall have power to impose on that person in respect of each breach any one or more of the following penalties, namely a caution, a reprimand and/or a fine not exceeding £1000.
- iii At the commencement of a Local Inquiry the Stipendiary Steward shall notify the parties concerned whether the matters under inquiry appear to fall within the powers delegated to him/her under this Rule or whether they are to be referred to the GRB or the Director of Regulation and of the right of any of the parties concerned in any event to require at any time prior to the making of a finding pursuant to sub-Rule (ii) of this Rule that the matters under inquiry are referred to the GRB or the Director of Regulation. If at the commencement of or at any time during the Inquiry the matters under inquiry appear not to fall within such powers of the Stipendiary Steward or if they do but any of the parties is not prepared to submit him/herself to the judgment of the Stipendiary Steward or if in the opinion of the Stipendiary Steward there are reasonable grounds for believing that any person has committed a breach of the Rules of Racing which notwithstanding his/her powers ought to be considered by the GRB or the Director of Regulation, he/she shall make a full report on the matter to the GRB or the Director of Regulation, and the Local Inquiry shall proceed further only for the purpose of collecting information for the purpose of such report.
- iv At a Local Inquiry no person shall in any circumstances whatsoever be represented by Counsel or solicitors or appear otherwise than personally and the provisions of Rule 163 shall not apply to any findings made pursuant to sub-Rule (ii) of this Rule.

### **Rule 158 Local Inquiry report**

Within three Days of a Local Inquiry being held the Stipendiary Steward concerned shall send a written report thereof to the GRB Director of Regulation, who shall promulgate in writing any findings made and penalties imposed by the Stipendiary Steward pursuant to Rule 157(ii) or bring to the attention of the GRB any matters which the Stipendiary Steward refers to them for consideration.

The results of the Local Inquiry together with brief reasons shall be communicated in writing to the person or persons who are the subject of the Inquiry as soon as possible after the conclusion of the Inquiry.

### **Rule 159 GRB consideration of Local Inquiry report or Complaint**

The GRB Director of Regulation or such other of the regulation department as is nominated by him/her shall consider any report forwarded to them under Rule 158 or Complaint made to them under Rule 168 and may:

- i dismiss any Complaint: or
- ii decide to take no action, in which event any person directly affected by the report, shall be forthwith notified of their decision: or

- iii order a GRB Inquiry to be held into the subject matter of the report or Complaint.
- iv refer back to a Stipendiary Steward a matter that has already been the subject of a local inquiry and for him/her to reconvene the local inquiry; or where it is considered that the case is more appropriately dealt with by that Stipendiary Steward or appoint another Stipendiary Steward.

#### **Rule 160 GRB Inquiry - notification, procedure, penalties**

- i If the GRB or Director of Regulation order an Inquiry to be held in accordance with Rule 159(iii), they shall notify any person or persons liable to be the subject of an adverse decision by such Inquiry (hereinafter called 'the Affected Person' which expression shall include the plural) of the time, date and place appointed for the holding of such Inquiry. The date so appointed shall be not less than 28 Days after the giving of such notification.
- ii After receiving notification under sub Rule (i) of this Rule the Affected Person may apply to the Director of Regulation for, and upon such application he/she shall be supplied with, a copy of any report forwarded by Stipendiary Stewards to the Director of Regulation under Rule 158 and/or a copy of any Complaint.
- iii At any Inquiry to be held under this Rule, the Affected Person shall be entitled to attend the Inquiry in person and/or to be represented by Counsel and/or Solicitors and/or by any other person.
- iv Unless the Director of Regulation directs otherwise, no later than 14 Days before any Inquiry to be held under this Rule, the Affected person shall:
  - a Notify the Director of Regulation in writing of his/her intention to attend the Inquiry and the name(s) of any Counsel, Solicitors or other person who shall represent the Affected Person at the Inquiry;
  - b Provide to the Director of Regulation a copy of each document upon which the Affected Person intends to rely at the Inquiry;
  - c Provide to the Director of Regulation a copy of any statement in writing from any witness upon which the Affected Person intends to rely at the Inquiry. Each witness statement shall state the name and address of the proposed witness and shall be signed and dated;
  - d Provide to the Director of Regulation a written summary of the submissions of fact and/or law that the Affected Person intends to make at the Inquiry.
- v At an Inquiry to which this sub-rule applies the Disciplinary Authority:
  - a shall receive all relevant material evidence related to the Inquiry;
  - b may examine any witness themselves
  - c may limit the cross-examination of any witness giving oral evidence at the Inquiry and/or the oral submissions made to the Disciplinary Authority at any Inquiry

(Subject to any direction of the Disciplinary Authority, the general rule is that the evidence of any witness is to be given orally at the Inquiry.)

The Racing Manager or other person nominated by the Racecourse Executive shall be entitled to attend any GRB Inquiry emanating from that Racecourse when it is being considered by the Disciplinary Authority.

- vi The Disciplinary Authority, when determining a breach of the Rules of Racing, must determine a penalty only in accordance with the GRB Guidelines, Processes and Penalties attached at Appendix VI.

If the Disciplinary Authority shall at an Inquiry held under this Rule decide that the Affected Person has committed any breach of these Rules they may order such person

- a be subject to no further action; cautioned; reprimanded or severely reprimanded,
- b have his/her Licence withdrawn and no such further licence be granted for a minimum period of six months/or greater period, including indefinitely, as the Disciplinary Authority thinks fit,
- c if he/she is an Owner, Authorised Agent or Licence Holder to be disqualified for any specific period or indefinitely from owning or being the Authorised Agent for that Owner of a registered Greyhound and the provisions of Rule 177 shall have effect: or
- d to be warned off for any specific period or indefinitely, namely to be excluded from any premises licensed by the GBGB, and the provisions of Rule 178 shall have effect. In any case where the Disciplinary Committee are minded to make an order warning off any person who is not otherwise subject to these Rules by virtue of Rule 2, that person shall have the right to show cause at an Inquiry why he/she should not be warned off; the provisions of Rule 1 (vi) shall apply.

provided that in addition to any of the above orders, the Disciplinary Committee may order such person to pay to the GBGB a fine of any sum not exceeding £5,000 or postpone or suspend any penalty for such time or times whether in whole or in part as the Stewards may think fit, and such decision and order shall subject to Rule 163 be final.

- vii If at any time it appears to the GRB or Director of Regulation that, having regard for the seriousness of the alleged matters, the circumstances justify the temporary suspension of a Licence or temporary warning off of the Affected Person or temporary suspension of a Greyhound until an Inquiry held under this Rule, unless thereafter revoked, or until such earlier date as may be appropriate, pending the outcome of the Inquiry, the GRB or Director of Regulation shall have power to make such temporary order with immediate effect and any Affected Person or Owner or Authorised Agent in the case of a Greyhound may apply upon reasonable notice in writing to the GRB or Director of Regulation for the revocation of such order, and the GRB or Director of Regulation shall have power to revoke such order.

The procedures laid down by sub-Rules (i) to (v) above shall not apply to the making of an order under this sub-Rule, but the making of such an order shall be without prejudice to the conduct and outcome of an Inquiry. An order under this sub-Rule shall remain in effect until an Inquiry is held, save that no order shall remain in effect for a period exceeding 56 Days unless an Inquiry has been fixed to be heard within that period and has subsequently been adjourned at the request of the Affected Person or for some reason beyond the reasonable control of the Disciplinary Authority.

## **Rule 161 Inquiry initiated by the GRB or Director of Regulation**

- i The GRB or Director of Regulation may at any time of his/her own motion and without any Complaint being made to the GRB or Director of Regulation or any report being forwarded to them order either a GRB Inquiry or a Local



Inquiry to be held into any matter which in his/her opinion merits such an Inquiry.

- ii Sub-Rules (i), (iii) (iv), (v) (vi) and (vii) of Rule 160 shall apply to an GRB Inquiry held under this Rule (save that the reference to Rule 159(ii) shall be taken to be replaced by a reference to sub-Rule (i) of this Rule) and no decision or order adverse to the Affected Person shall be made at a GRB Inquiry held under this Rule unless the Affected Person has a reasonable opportunity
  - a to consider the effect of the evidence given against him/her; and
  - b to meet any evidence given or allegations made against him/her.

#### **Rule 162 GRB Inquiry - Racecourse circumstances, personnel to attend**

The GRB or Director of Regulation may hold an Inquiry into any restriction placed on a Licence holder by a Racecourse Executive or other Licence holder and into the circumstances of the dismissal or resignation of a Licence holder where they suspect that such dismissal or resignation may have been due to a refusal to carry out any instructions contrary to these Rules or to circumstances preventing or impeding compliance with these Rules.

At any Inquiry held under any of the GBGB Rules all Officials and Authorised Representatives and/or the occupier, and/or the Directors of the occupier and/or any other person employed by the occupier of the Racecourse concerned whom the GRB or Director of Regulation consider necessary to the Inquiry shall be required to attend and to give evidence before the Disciplinary Committee should the GRB or Director of Regulation so direct.

#### **Rule 163 Disciplinary Committee discretion to reopen Inquiry / Appeals against Disciplinary Committee decisions**

- i Notwithstanding any decision or order made at the conclusion of any GRB Inquiry, the Disciplinary Committee may order a reopening of any Inquiry if they have reason to believe that, because of the receipt of pertinent information not previously available or for any other reason, a just decision or order may not have been made or that other changes in circumstances make it just for a decision or order to be reviewed.

Such re-opened Inquiry can be a re-hearing or a continuation of the former Inquiry as the Disciplinary Committee deem fair and appropriate and at the conclusion thereof the Disciplinary Committee shall make such decision and order (subject to Rule 160(vi)) as they think fit.

- ii Any person the subject of a Disciplinary Committee Inquiry who is aggrieved by the decision at an Inquiry or re-opened Inquiry held under section (i) of this Rule shall be entitled to appeal to the Appeal Board subject to and in accordance with the provisions of Appendix III to the Rules of Racing.

#### **Rule 164 Inquiry costs and expenses, Complaint and Objection deposits**

- i The Disciplinary Committee may order the costs and expenses relating to a Local Inquiry and/or A GRB Inquiry to be paid by any person or persons in such sum or in such proportions as the Disciplinary Committee may direct.
- ii The Disciplinary Committee may order that whole or part of the deposit paid by any person making a Complaint or Objection shall be forfeited to the GBGB if that Complaint or Objection is dismissed.

**Rule 165 Announcement of Preliminary Investigation decision treated as final**

A decision of the Local Stewards taken and to be treated as final for the purposes of a particular Trial, Race or Meeting under Rule 155B(ii) shall be announced by them (as final in such respect) as soon as practicable.

**Rule 166 Investigations**

Racecourse Executives shall ensure that only persons authorised by the Greyhound Regulatory Board and / or Director of Regulation and/or the police shall make investigations under the Rules of Racing. Enquiries from outside agencies about matters which are the subject of an investigation shall be referred to the GRB.

**Rule 167 Publication of decisions, orders, recommendations, Inquiry findings**

The Disciplinary Authority shall have power to publish any decision or order made by them relating to any person, any Greyhound, and any Racecourse, in the GBGB Calendar and/or otherwise as they may think fit. The findings of the Disciplinary Authority so published in respect of an Inquiry shall be displayed publicly and published in their Racecards by the Racecourse Executive at any Racecourse where such Inquiry originated. Racecourse Executives shall also publish in their Racecards the recommendations of the Local Stewards in relation to Preliminary Investigations held under Rule 155B(i) and the results of all Local Inquiries as notified to them by the GBGB. Where local circumstances do not permit such publication, the specific approval of the GBGB shall be obtained for any alternative arrangements.

A summary of any Local Inquiry shall be published in the GBGB Calendar and displayed publicly by the Racecourse Executive concerned as soon as possible after the conclusion of such Inquiry.

**Rule 168 Complaints**

Any Complaint against a Licence holder shall be made to the appropriate Local Stewards where applicable or otherwise to the GBGB, the Greyhound Regulatory Board or the Director of Regulation, and in either case in writing, signed by the complainant, and accompanied by a deposit of £25.

**Rule 169 Local Inquiry into Objections, Objection sustained**

An Objection shall be to a Greyhound's qualification to run in a Race, or to qualify for competition points or a prize in and/or further rounds of such Race. An Objection shall be made to the appropriate Local Stewards, in writing, signed by the Owner of another Greyhound in the same Race or another heat of the same Race or by his/her Authorised Agent, and accompanied by a deposit of £25, or an Objection may be made by a Licensed Official of the Racecourse concerned.

An Objection once made shall not be withdrawn without the leave of the Local Stewards.

An Objection on the grounds of fraud or wilful or negligent mis-statement may be made at any time, provided that the Local Stewards are satisfied that there has been no unnecessary delay on the part of the objector.

An Objection on any other grounds shall be received within 3 Days of the Race concerned.

**Rule 170 Objection - permitted time to make**

- i The Local Stewards shall not reach a decision on whether an Objection should be sustained unless qualification for a further round of the same Race or for a subsequent Race is involved, but shall proceed in respect thereof by referring the matter to the Stipendiary Steward in the manner provided by Rule 156.
- ii If qualification for a further round of the same Race or for a subsequent Race is involved, the Local Stewards shall reach a decision on whether an Objection should be sustained and shall consult the Director of Regulation, who shall have power to authorise that the decision of the Local Stewards, as originally made or as amended after such consultation, shall be final for the purposes of such further round or subsequent Race only but the Local Stewards shall thereafter proceed in the manner provided by Rule 155B.
- iii If an Objection is sustained the Greyhound shall be deemed disqualified for the Race concerned (see Rule 91).

**Rule 171 (currently unused)****Rule 172 (currently unused)****Rule 173 Examinations, tests, samples - procedure**

***(This rule will be affected by the introduction of computer Graphics Files to record greyhound markings - see Rule 204 and Rule 205).***

- i The Local Stewards or the licensed Veterinary Surgeon or the GBGB Stipendiary Steward shall have power at any time to order any examination of and/or test and the taking of samples for test and/or analysis from, any Greyhound which is due to take part in or has taken part in any Trial or Race at, or which is in any Licensed Kennels. Samples shall be taken only when so ordered. The taking of a sample for analysis may or may not result in a Preliminary Investigation.
- ii The Local Stewards shall have power to order that a Greyhound be kept under surveillance for as long as necessary for such examination and/or test to be made and/or sample to be obtained. The taking of any sample of vomit or urine or blood or other body fluid or substance from any Greyhound shall be in the presence of the Owner and/or Trainer and/or Kennelhand, if present at the Racecourse or Kennels where such sample is to be taken, otherwise in the presence of a Local Steward. All samples shall be kept secure by a person appointed by the Local Stewards. No other samples from the Greyhound concerned may be taken at that time except as authorised nor shall samples be divided for any purpose. Any sample taken in connection with an Inquiry shall be dispatched to such analyst as the Greyhound Regulatory Board may direct.

The result of any test or analysis of any sample taken from a Greyhound shall be made available to the Local Stewards, the Greyhound Regulatory Board, and the Owner, Trainer and Veterinary Surgeon concerned.

A Greyhound shall be Disqualified from a Race or Competition at the stage of the Race or Competition at which a Sample was taken and subsequently found positive. Rule 95 applies.

- iii The current code of practice as appended to these Rules shall be applied to the sampling procedure when urine samples are to be sent for analysis to the designated laboratory approved by the GBGB.

- iv In the case of sudden death of a Greyhound at a GBGB Racecourse relevant samples will be taken by a Veterinary Surgeon and submitted to the relevant laboratory and a post mortem examination shall be arranged in accordance with the GBGB policy as issued to all Racecourses.
- v A refusal to provide a sample for analysis for a greyhound as described above will result in disciplinary action being taken. The greyhound shall be disqualified from a race or Competition at the stage of the Race or Competition at which a Sample was refused to be given. Rule 95 applies.

#### **Rule 174 Persons liable to disciplinary action**

The Disciplinary Authority shall have power at any Inquiry to make such order as is contained in Rule 160(vi) if they are satisfied that such person

- i
  - a has administered or attempted to administer or has allowed or caused to be administered or connived at or procured the administration to a Greyhound of any quantity of any substance which by its nature could affect the performance of the Greyhound or could prejudice the well being of a Greyhound; or
  - b has had in his/her charge a Greyhound which showed the presence on or in its tissues, body fluids or excreta of any quantity of any substance which by its nature could affect the performance of the Greyhound or could prejudice the well being of the Greyhound.
  - c No person shall be found in breach of Rule 174(i)(a) or (b) if that person satisfies the Disciplinary Committee that:
    - i the presence of the substance in question was the result of normal and ordinary feeding or care of the Greyhound; or
    - ii the substance in question is a medicinal produce which has been authorised by the Veterinary Medicines Directorate for the suppression of a bitch's season and which has been prescribed for the Greyhound by a Veterinary Surgeon; or
    - iii the substance in question is a medicinal product which has been authorised by the Veterinary Medicines Directorate as an anti-parasitic drug for internal and/or external parasites or as a vaccine for such purpose.
- ii has corruptly given or offered or promised directly or indirectly any bribe in any form to any Licence holder or to any employee of a Racecourse Executive, and/or to an Owner or Authorised Agent, and/or to any person having access to a Greyhound; or
- iii has, being a Licence holder, and/or being the employee of a Racecourse Executive, and/or being an Owner or Authorised Agent, and/or being any person having access to a Greyhound, corruptly accepted or offered to accept a bribe in any form; or
- iv has entered, or caused to be entered, or run or caused to be run, in any Race a Greyhound which he/she knew or believed or had cause to know or believe to be disqualified for such Race; or
- v has been guilty of or has conspired with any other person for the commission of, or has connived at any other person being guilty of, any corrupt or fraudulent practice in relation to Greyhound racing anywhere; or

- vi** has wilfully or by neglect made any false statement in or in connection with any document relating to Greyhound racing, or has knowingly signed or caused to be signed a false signature to any such document; or
- vii** has wilfully or by neglect made any false statement whether expressly or impliedly regarding the identity of a Greyhound or has removed or attempted to remove or otherwise interfered with an inserted microchip, or causes, attempts to cause the removal of a microchip by whatever means, by themselves or another, except by a qualified veterinary surgeon if the welfare of the greyhound is at risk, or
- viii** has been made a disqualified person by any other authority recognised by the GBGB; or
- ix** has acted in any official capacity on, or has been concerned with the promotion or sponsorship of Races at, or has entered a Greyhound to run at, or has owned or had charge of a Greyhound at the time such Greyhound ran at any non-GBGB Racecourse in England, Scotland or Wales, or
- x** being an Owner or Authorised Agent, or a Licence holder, has by advertisement, circular letter or other means offered to give information concerning any Greyhound in return for monetary consideration, or has connived at such practice; or
- xi** has treated any Greyhound or caused or permitted any Greyhound to be treated with cruelty or in such a manner as causes or is likely as to cause the Greyhound unnecessary suffering.
- xii** has acted in any violent or improper manner on any land or premises:
  - a** used by the GBGB, the Greyhound Regulatory Board or the Disciplinary Committee or owned, used or Licensed by the GBGB, the Greyhound Regulatory Board or the Disciplinary Committee; or
  - b** on which the person is present in their capacity as a licensee or permitted person or for training relating to an activity connected with Greyhound Racing.
- xiii** has aided or abetted the commission of any breach of these Rules.
- xiv**
  - a** has refused to supply any information or record within a reasonable period of time (and in any case within 28 Days), requested by the GBGB, the Greyhound Regulatory Board or the Disciplinary Committee, or a person duly approved and authorised by the GBGB, the Greyhound Regulatory Board, reasonably believing the said information or record to be relevant to any investigation or Inquiry in connection with Greyhound Racing; or
  - b** has hindered or obstructed the GBGB, the Greyhound Regulatory Board or the Disciplinary Committee or a person duly approved and authorised by the GBGB, the Greyhound Regulatory Board or the Disciplinary Committee engaged in any investigation or Inquiry in connection with Greyhound Racing.

## **Rule 175 Inquiry-connected Greyhound**

The Disciplinary Committee shall have power to disqualify any Greyhound which they decide was related to or connected with any matters giving rise to any Inquiry held under Rule 160 (see Rule 160(vii)) and Rule 161, namely to prohibit the running of such a Greyhound in any Trial and/or Race at any GBGB Licensed

Racecourse for a defined or for an indefinite period and to take such steps as may be necessary to publicise and enforce such prohibition.

#### **Rule 176 Disqualification of relative's or associate's Greyhounds**

The Disciplinary Committee shall have power, if the circumstances appear to them to justify same, to disqualify any Greyhound registered in the name of a relative or associate of a person who is warned off and/or disqualified, and to refuse the registration of any Greyhound in the name of such relative or associate. The power conferred by this Rule may be exercised at any time during the period of warning off and/or disqualification and shall apply in relation to any person who, at the time when the power is exercised, is or is believed by the Disciplinary Committee to be a relative or associate of a person who is warned off and/or disqualified.

#### **Rule 177 Effects of disqualification of person**

For so long as any Owner, Authorised Agent or Licence Holder is disqualified under Rule 160(vi)(e) or any person is disqualified under Rule 178 or Rule 179.

- i his/her Licence shall automatically be suspended and
- ii he/she may not operate in any licensed capacity or be an Owner or Authorised Agent, and
- iii all Greyhounds registered in his/her name shall automatically be disqualified.

#### **Rule 178 Exclusion of warned off persons**

A person warned off by the Disciplinary Committee under Rule 160(vi)(f) or Rule 160(vii) shall be disqualified and the provisions of Rule 177 shall apply and they shall also be excluded by the Racecourse Executive from any GBGB Licensed Racecourse and all places under their control, and by the licensed Trainer from any other premises the subject of a Licence.

#### **Rule 179 Person on Forfeit List disqualified**

A person while included on the Forfeit List shall be automatically disqualified and the provisions of Rule 177 shall apply.

#### **Rule 180 Lists of persons warned off, disqualified and Forfeit Lists**

The Racecourse Executive, the Racing Manager and the Authorised Representative shall have in their possession for the information of all Local Stewards and Officials a list of persons warned off and of disqualified persons, and a copy of the Forfeit List.

#### **Rule 181 Effects of suspension of person and/or Greyhound**

- i While a person's Licence is temporarily suspended by an order under Rule 160(vii), save with the prior approval of the GRB or Director of Regulation, he/she shall not carry out any duties or engage in any activities in any licensed capacity and no Greyhound of which he/she is the Owner or Trainer shall be entered for or run in any Trial or Race.

- ii While a Greyhound is temporarily suspended by an order under Rule 160(vii), save with the prior approval of the GRB or Director of Regulation it shall not be entered for, or run in, any Trial or Race.
- iii While a person's Licence is suspended or withdrawn by virtue of any other provision of these Rules, save with the prior approval of the GRB or Director of Regulation he/she shall not be employed or carry out any duties or engage in any activities in any licensed capacity or otherwise in connection with GBGB Greyhound racing at any GBGB Licensed Racecourse or Licensed Kennels, and no Greyhound of which he/she is the Owner or Trainer shall be entered for or run in any Trial or Race or be kept in any Licensed Kennels.

## Section 9 BETS

### Rule 182 No Betting on Trials

Betting shall not take place on any Trial.

### Rule 183 Betting patterns, disputes and claims

To protect the integrity of the Sport, the Local Stewards and the GBGB, the Greyhound Regulatory Board and the Disciplinary Committee shall take cognisance of information of unusual betting patterns.

The Local Stewards and the GBGB, the Greyhound Regulatory Board and the Disciplinary Committee shall take no cognisance of, and shall not entertain, any disputes or claims with respect to bets.

### Rule 184A Licensed Official attached to Racecourse not to bet there

A Licensed Official shall not make any bets on any Race at any Racecourse to which he/she is attached.

### Rule 184B Owner, Licence Holder not to bet

It shall be a breach of these Rules of Racing for:

- i a Trainer to lay any Greyhound, under his/her care or control
- ii a Kennel Employee, who is or has been registered under Rule 9, to lay any Greyhound, under the care or control of the Trainer for whom he/she is or was employed, whilst so employed and for a period of 21 Days after ceasing to be so
- iii an Owner to lay any Greyhound he/she owns
- iv any other person, who has provided a service or services connected with a Trainer's business of training Greyhounds and within 21 Days of his/her having done so, to lay any Greyhound under the care or control of the Trainer in question

to lose a race with a Betting Organisation or to instruct any person on his/her behalf to do so or to receive the whole or any part of the proceeds of such an act.

## Section 10 GBGB FEES, SPECIAL LICENCES

### Rule 185 GBGB fees

The GBGB shall have the power to charge a fee for any Licence or registration provided by them, and to fix and to vary the amounts of such fees at any time notwithstanding the amounts shown in the latest printed issue of these Rules or on any related document.

### Rule 186 Transmission Licences - conditions, fees

The following conditions shall be attached to any Transmission Licence issued by the Greyhound Regulatory Board under the provisions of Rule 5(iv) and Rule 185.

- i No dispensation shall be granted in respect of any GBGB Rules.
- ii The Racecourse Executive shall pay Transmission Licence fees related, as appropriate, to each Race Meeting held where a transmission (as defined in Rule 5(iv)) is provided or permitted to be provided.

The rates so payable by way of a Transmission Licence fee shall be published by the Greyhound Regulatory Board in the GBGB Calendar from time to time.

- iii Such other conditions as may be prescribed by the Greyhound Regulatory Board.

### Rule 187 Current fees

Current fees, including VAT, at the time of publication of this issue of these Rules were as follows:-

GBGB and ICC GREYHOUND REGISTRATION FEES						
GBGB fees	Number of Owners				Companies & Syndicates	Per Transaction
	1	2	3	4		
Registration or Transfer*	£26	£26	£26	£26	£26	
Lease						£50
Change of Greyhound's Name						£81
<b>ICC Fees</b>						
Transfer	£13	£18	£18	£18	£38 initial £13 others	
Change of Greyhound's Name						£30

GBGB ANNUAL LICENCE FEES expiring 31st December	
Professional Trainer	£50 including GBGB Calendar
Greyhound Trainer	£40 including GBGB Calendar
Assistant Trainer	£10
Head Kennelhand	£10
Kennelhand	£8
re-registration of Kennelhand in current year	£2
Parader	£10
Licensed Officials	£10



<b>GBGB EARMARKING FEES (See Rule 24)</b>	
Litter earmarking of 1-4 Puppies	£25
Litter earmarking of 5 or more Puppies	£5 per Puppy
Adult Greyhound earmarking	£10

<b>GBGB CALENDAR published fortnightly</b>	£45 per annum
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\*The GBGB Fee for a Transfer of Ownership of a greyhound registered after 01.01.10 will be free if within 56 days of the date of registration. This does not affect the fees payable to the relevant Stud Book.

## Section 11 BRITISH GREYHOUND RACING DATABASE

### Rule 188 System and database definitions

- i The Registry System shall be the licensing and registration computer system installed at the offices of, and managed by the GBGB. The Registry System will contain the following information in relation to each Greyhound registered;
  - a the name and address of the owner, or owners where there is joint ownership;
  - b a contact telephone number for each Owner;
  - c the name of the Greyhound;
  - d the microchip number, which shall be a unique number that complies with either ISO standard 11784-1996 or Annex A to ISO standard 11785-1996 of the International Standards Organisation's standards for microchips;
  - e the earmark, which shall be a tattoo of a unique combination of letters and/or numbers located on the inside of the ear pinnae;
  - f the sex of the Greyhound;
  - g the year of birth of the Greyhound; and
  - h a reference to any other Greyhounds registered under the Owner's name on the database.

The GBGB Registry must record or update this information within 5 working days of receipt of the information. (A 'working day' is any day other than a Saturday, a Sunday, Christmas Day, Good Friday or a day which in England is a bank holiday).

- ii Racing Office Systems shall be the computer systems installed in the Racing Offices of GBGB Licensed Racecourses, each managed by the respective Racing Manager, such management being subject to these Rules.
- iii The Form Database shall be a computer system which obtains, and shall permanently retain a database of, the record of every Trial and Race run at GBGB Licensed Racecourses from 1st May 2000 onwards, and which has access to records of Trials and Races run at Racecourses in Ireland held by the Irish Greyhound Board, and to information originating from the Greyhound Stud Book and the Irish Coursing Club. The Form Database shall also record other information enabling it to compile at any time a similar life history of a Greyhound to that historically recorded in an Identity Book. This information shall include a history of the Owners, Trainers, Breeders, Seeding, vaccination and season details of each Greyhound.

The Form Database shall be managed under contract by a specialist company. A security copy of the Form Database shall be maintained under the contract on a connected system installed at the offices of the GBGB.

- iv The British Greyhound Racing Database, referred to elsewhere in these Rules as the BGRDb, shall be the overall term for the management and combination of the above three systems using defined operations, responsibilities and procedures. Its operation, where such operation is the responsibility of persons subject to the jurisdiction of the Greyhound Regulatory Board, shall be subject to these Rules.

#### **Rule 189 Provision of facilities for, staffing of, and use of, Racing Office Systems**

Whether or not hardware, software, support or training is provided by any other parties, it shall ultimately be the responsibility of the Racecourse Executive that adequate facilities are provided, that sufficient competent staff are employed, and that adequate arrangements are made for attendance at any suitable training provided within the industry, for the Racing Manager and his/her staff to properly operate the Racing Office System and carry out other duties required of them for the BGRDb.

It shall be the responsibility of the Racing Manager to familiarise himself/herself with all aspects of the Racing Office System, including instructions for its use, to supervise its proper efficient use by his/her staff, and to liaise and co-operate as necessary with those managing the Registry System, the Form Database, and all other Racing Office Systems.

#### **Rule 190 Communication methods and facilities**

Communication of data in the BGRDb normally being by email, the Racecourse Executive shall maintain adequate arrangements for Internet access for email communications from and to the Racing Office System, and make adequate arrangements for alternative communication in case of email failure.

#### **Rule 191 Communication deadlines and receipts**

Each form of communication defined in these Rules shall meet the deadline defined for it. The Racing Manager shall ensure arrangements for, and shall retain a record of receipt by the addressee of, any email communication from the Racing Office System under these Rules. Such receipts for Race or Trials Meetings programmes or records shall be kept for at least 12 weeks; those for Greyhound Detail Reports shall be kept for at least 1 year.

#### **Rule 192 IDs for Greyhounds**

Greyhounds shall be uniquely referenced in BGRDb communications by the use of alphanumeric identity codes, referred to as IDs. An unregistered Greyhound shall be referenced by an ID formed from an ID allocated to it by the appropriate Stud Book. Upon GBGB registration the Greyhound's ID shall become that allocated by the Registry System, which shall promulgate the details and draw attention to the change in a file distributed to Racecourses and to the Form Database at least weekly. The file shall contain ID data for all Greyhounds registered during the current and the nine preceding calendar years.

The Registry system shall record the microchip identification number of each greyhound in a field that shall permit identification of the greyhound details via the microchip number, and vice versa.

**Rule 193 IDs for Trainers**

Trainers shall be uniquely referenced in BGRDb communications by the use of IDs allocated by the Registry System, which shall promulgate details, including numeric IDs, of all Trainers licensed in the current calendar year, in a file distributed to Racecourses and to the Form Database at least weekly.

**Rule 194 Communications from Racing Office Systems, and Deadlines**

The following communications shall be transmitted from Racing Office Systems:-

- i A schedule of Race and Trials Meetings which the Racecourse requires to "book" for the following Month, giving details of the anticipated start and finish times for all Meetings on each Day. This shall reach the Registry System and the Form Database at least 14 Days prior to the start of the Month.

In exceptional circumstances a Meeting may be added to the schedule late, but at least 24 hours prior to the start of the Race Meeting, or at least 4 hours prior to the Trials Meeting.

No Race or Trials Meeting shall be run unless it has been booked as above.

- ii An Advance Programme for each Race Meeting giving details of the Races and the Greyhounds taking part as required by the Form Database. This, except for a Standby Meeting (one which will only take place in circumstances not definite at the time), shall reach the Form Database before 1500hrs 2 Days prior to the Meeting date, except that Sunday programmes shall arrive by 1600hrs on Thursday and Monday programmes by 1600hrs on Friday.

A further final version of the programme, or the only version for a Standby Meeting, shall arrive before 1400hrs on the Day prior to the Meeting date, except one for a Sunday Meeting, which shall arrive before 1500hrs on Friday.

- iii An Advance Programme for each Trials Meeting giving details of the Trials and the Greyhounds taking part as required by the Form Database. This shall reach the Form Database in time for the latter to confirm (or otherwise) each Greyhound's qualification to Trial according to data held by it, to the Racecourse, before the start of the Trials Meeting.

No Greyhound shall run in any Trial until such confirmation has been received.

- iv Details required by the Form Database of the record of each Race which shall include any Withdrawals. This shall reach the Form Database before the following Race is run, and in the case of an Open Race or the final Race of a Meeting within 15 minutes of announcement of the Result. Going Allowances may be omitted, but in that case a further transmission of such records including Going Allowances, shall reach the Form Database within 30 minutes of announcement of the Result of the last Race at the Meeting.
- v Details required by the Form Database of the records of all Trials. These shall reach the Form Database within 2 hours of the running of the last Trial at the Meeting.
- vi Details of amendments to a scheduled Race Meeting, such as timing or order of running of Races. These shall reach the Form Database within 30 minutes of the decision being taken and before the running of any Race affected.
- vii Greyhound Detail Reports, comprising information originating at or reported to a Racecourse under Rule 195. These shall reach the Registry System and the Form Database (which shall each extract the details appropriate to that

System), by the earliest deadline appropriate to any individual entry in the Report.

### **Rule 195 Greyhound Detail Reports - procedure, content**

The Racing Manager shall arrange that a Greyhound Detail Report is prepared whenever necessary to communicate changes to individual Greyhound data held by the Registry System and/or the Form Database. The detail may originate at the Racecourse, or be reported to it by an Owner, a Trainer, or a Veterinary Surgeon, whereupon it shall become the responsibility of the Racing Manager to include it in a Report, and to issue a copy of such report as receipt to each person reporting detail in it.

The report shall give in each case the ID and name of the Greyhound, the type of detail, the actual detail and relevant date, the person reporting each entry, the person producing the report, and the date of its transmission. It may contain any combination of any of the following details, :--

- i** Earmark Discrepancy, being a discrepancy between what is expected to be found according to the available data for the Greyhound, and what is found upon examining the Greyhound. (If the data already records e.g. an illegible character, finding it so shall not be considered a discrepancy). Any Earmark Discrepancy discovered at a Racecourse shall be reported within 24 hours subject to Rule 1(vi). An Earmark Discrepancy shall be reported only by the Racing Manager or his/her deputy.
- ii** Death or retirement shall be reported within 24 hours of being reported to the Racing Manager. Retirement shall be reported only by the Owner or by a Trainer on behalf of the Owner.
- iii** Disqualification for Deliberate Interference in a Race or Trial, or satisfactory participation in a Clearing Trial. This shall be reported within the same deadline as that for the record of the Race or Trial concerned. It shall be reported only by the Racing Manager or his/her deputy.
- iv** A bitch coming into season, being spayed, whelping a litter, being treated to suppress season, or having a false heat. This shall be reported within 24 hours of being reported to the Racing Manager.
- v** Vaccinations under Rule 60. This shall be reported within 24 hours of the official vaccination certificate being lodged with the Racing Manager or his/her Deputy.
- vi** Microchip insertion following microchip failure or replacement as authorised by the Registry.

### **Rule 196 Standardised Form**

Standardised Form shall be an industry-established set of specifications for data used in Racing Office Systems and racecards and other documents, and in the Form Database and BGRDb communications, including the permitted range, formatting, and abbreviation, and the interpretation of such data.

The set of Standardised Form reference files shall be maintained by, and available from, the GBGB.

Amendments to Standardised Form specifications shall be made only by the GBGB, of their own volition or if approving suggestions received. Proposed amendments shall normally be made available for comment by Racing Managers and by Racing Office System suppliers and the Form Database

contractor before being implemented. Approved amendments to Standardised Form shall be published in the Calendar.

Racing Office Systems and the Form Database shall be constructed and amended when necessary, so as to maximise their contribution to compliance with Standardised Form within the BGRDb.

Deliberate or negligent non-compliance with Standardised Form shall be a breach of these Rules.

### **Rule 197 Replacement of functions of Identity Book**

Implementation of the BGRDb shall, when proposed to the Greyhound Regulatory Board and approved by them at each stage, eventually replace functions historically performed by use of the Identity Book, and Rules relating to the latter shall be wholly or partly superseded when so announced in the GBGB Calendar.

The functions are:-

- i** Evidence of GBGB registration, the Greyhound's name, any Change of Name, and GBGB ID. This shall be replaced by the promulgation by the GBGB of data held in the Registry System,
- ii** Details of colour, sex, sire, dam, and Date of whelping and identifiable microchip number. This shall be replaced by data obtained electronically from the appropriate Stud Book and held in and promulgated by the Registry System and/or the Form Database,
- iii** Record of performance in, or withdrawal from, Races and Trials run at an GBGB Licensed Racecourse. This shall be replaced by data transmitted to, with a history held in, and selectively promulgated by, the Form Database.
- iv** Record of disqualification for Deliberate Interference and re-instatement. This shall be replaced by data communicated in a Greyhound Detail Report from the Racing Office System, held in the Registry System and used by the latter to promulgate changes in a Greyhound's status as to qualification for Trials or Races.
- v** Record of vaccinations. This shall be replaced by data communicated in a Greyhound Detail Report , with a history held in, and current details promulgated by, the GBGB Registry.
- vi** Record of Trainers. This shall be replaced by data communicated in Advance Programmes for Meetings from Racing Office Systems, with a history held in, and current details promulgated by, the Form Database.
- vii** Record of Owners. This shall be replaced by a history held in the Registry System, with current details promulgated to the Form Database and/or the GBGB Registry and thence to Racing Office Systems by the latter.
- viii** Record of a bitch coming in season, having a false heat, being spayed, or whelping a litter, or where season has been suppressed or postponed. This shall be replaced by data communicated in a Greyhound Detail Report , with a history held in, and current details promulgated by, the Form Database.
- ix** Record of retirement or death. This shall be replaced by data communicated in a Greyhound Detail Report from the Racing Office System, held in the Registry System and used by the latter to promulgate changes in a Greyhound's status as to qualification for Trials or Races.

**Rule 198 (currently unused)**

**Rule 199 (currently unused)**

**Rule 200 (currently unused)**

**Rule 201 (currently unused)**

**Rule 202 (currently unused)**

**Rule 203 (currently unused)**

## **Section 12 GREYHOUND IDENTIFICATION, IDENTITY BOOK REDUNDANCY**

### **Rule 204 Greyhound Identification using Graphics Files**

The use of the Identity Book for a record of markings and other detail providing identification of a registered Greyhound shall be replaced, when proposed to the Greyhound Regulatory Board and approved and announced by the GBGB in the GBGB Calendar, by the use of computer Graphics Files.

The following existing Rules shall then be amended or superseded as shall be detailed at the time:- Rule 33, Rule 34, Rule 38, Rule 40 and Rule 173.

### **Rule 205 Redundancy of Identity Books**

If and when the implementation of the BGRDb and the use of Graphics Files for Greyhound Identification can be shown to have replaced all historic functions of the Identity Book as covered by Rule 197 and Rule 204, to the satisfaction of the Greyhound Regulatory Board, and they so announce in the GBGB Calendar, further use of the Identity Book shall be discontinued.

Those of the following existing Rules which at that time still refer to Identity Book use shall then be amended or superseded as shall be detailed at the time: Rule 17, Rule 20, Rule 30, Rule 32, Rule 33, Rule 34, Rule 35, Rule 36, Rule 37, Rule 38, Rule 40, Rule 47, Rule 50, Rule 52, Rule 54, Rule 57, Rule 60, Rule 64, Rule 146, Rule 151, and Rule 173.

**Rule 206 (currently unused)**

**Rule 207 (currently unused)**

**Rule 208 (currently unused)**

**Rule 209 (currently unused)**

**Rule 210 (currently unused)**

**Rule 211 (currently unused)**

### **Section 13 TRAINERS, TRANSPORTATION AND RESIDENTIAL KENNELS**

#### **Rule 212 Minimum Requirements for all residential Licensed Kennels and Transportation of Greyhounds**

*(amended 30.11.12)*

The following shall be minimum requirements for all residential Licensed Kennels:-

- i** Each Greyhound shall be accommodated in secure Kennels with not more than two Greyhounds housed in each unit.
- ii** Buildings shall preferably be constructed of Brick, Concrete or Breeze. Timber or other suitable material approved by the Club can be used providing that all walls, partitions and passageways have readily cleanable surfaces and that they are lined with an approved fire resistant material; (N.B. Local planning permission may be required for such construction).

Buildings shall have a minimum of 2 metres (6 feet 6 inches) head room, with adequate enclosed grooming space or enclosed veranda.

- iii** Each double unit shall be at least 2.3 metres (7 feet 6 inches) in depth, with a width of at least 1.5 metres (5 feet) and provided with a removable wooden (or similar insulating material) bench to give a single bedding area of at least 1 metre square (3 feet 3 inches square) with a depth of 20cm (8 inches) from the floor. The distance from the front of the bed to the front of the Kennel shall be at least 1.25 metres (4 feet). Single units shall be at least 2.3 metres (7 feet 6 inches) depth, with a width of at least 1.0 metre (3 feet 3 inches).
- iv** The floor of the Kennels shall be constructed of a non-absorbent material, the surface of which is properly maintained and easily cleaned.
- v** Each Kennel shall be provided with adequate natural or artificial light and regulated ventilation.
- vi** All excreta and soiled material shall be removed at least twice daily and more often if necessary from all living compartments and at least once daily from exercise areas.
- vii** All Greyhounds accommodated on the premises shall be provided with suitable bedding material and be given adequate exercise.

- viii Facilities shall be provided for the collection of all used bedding and other waste material which shall be disposed of in a manner approved by the Local Authority.
- ix All Greyhounds shall be adequately supplied with suitable food and water and visited at suitable intervals.
- x Ample exercise facilities shall be readily available. Greyhounds in all public places shall be muzzled correctly and securely and kept on a lead and shall not be exercised in parks or other public recreation grounds.  
  
When Greyhounds are being transported the Trainer will be responsible for the safety and welfare of those Greyhounds. This will include suitable restraint and adequate air management to ensure their well-being at all times, including when the vehicle is stationary.
- xi Children under the age of 15 years shall not be in charge of a Greyhound.
- xii Double action locking devices shall be fitted to the inside of all doors and windows giving access to the Kennels, except the one giving general entrance, where the locks shall be operated on the outside. Bars shall be fixed over the inside of all windows and skylights or; alternatively, suitable close mesh or gauze shall be fitted to the inside of windows and/or ventilators. All hinges and screws thereof shall be covered or made secure by extra bolts.
- xiii All supplies of food shall be kept in a secure place which shall be kept clean and vermin free at all times.
- xiv All reasonable precautions shall be taken to prevent and control the spread of infectious or contagious diseases.
- xv A Greyhound in Licensed Kennels shall be required to have had inoculations as required by Rule 60.
- xvi Complete cleansing and disinfection of any Kennel shall be carried out when vacated and before any other Greyhound is admitted to that Kennel.
- xvii All heating appliances shall be of such construction as to constitute no risk of fire.
- xviii All premises shall be provided with electric light, telephone; running cold water and access to hot water.
- xix All premises shall be provided with adequate fire fighting equipment.
- xx All premises shall be provided with over-night supervision to the satisfaction of the Area Stipendiary Steward.
- xxi The keeping of any other live animal, bird, rodent, etc that could be used in any way for training, coursing, etc., or the use thereof, is forbidden absolutely at all Licensed Kennels.
- xxii Any breach of the above requirements may result in the suspension of the Trainer's Licence. An inspection fee shall then be payable before any new application for a Licence is considered.

### **Rule 213 Residential Licensed Kennels for more than 4 Greyhounds**

For residential Licensed Kennels capable of housing more than 4 Greyhounds at any time, the following shall apply in addition to the requirements of Rule 212:-

- xxiii Kennel floors shall be sloped to draining channels and drains, removable traps to be fitted between the former and the latter.



- xxiv** Paddocks of approximately 20 metres (65 feet 6 inches) by 8 metres (26 feet 3 inches) shall be provided in the ratio of one paddock to every 12 Greyhounds, and shall be enclosed by chain link or other suitable fencing to a minimum height of 2 metres (6 feet 6 inches).
- xxv** A cook house and food stores shall be provided for preparing food for the Greyhounds and should be separated from the Kennels. These premises shall be kept clean and vermin free at all times. Refrigeration facilities (which shall be kept locked) shall be provided where fresh meat is used. All bulk supplies of food shall be kept in vermin proof containers and locked.
- xxvi** Precautions taken to prevent and control the spread of infectious or contagious diseases shall include the provision of adequate isolation facilities. Isolation Kennels shall be single Kennels of not less than 2.3 metres (7 feet 6 inches) depth by width 1.0 metre (3 feet 3 inches) with a small separate paddock, provided in the ratio of one isolation Kennel to every 12 Greyhounds and shall be entirely separate from the main Kennels.
- xxvii** Where burglar alarms are deemed necessary by the Area Stipendiary Steward, they shall be fitted to his/her satisfaction .
- xxviii** Adequate working and lavatory accommodation shall be provided for staff.
- xxix** The GBGB shall be informed of any extensions or construction of new Kennels (including exercise area) and written approval shall be obtained before works commence. All proposals for such works shall be submitted to and approved by the Local Authority, for both Planning Approval and/or Building Regulations Approval as may be necessary.

#### **Rule 214 Feeding of Greyhounds**

*(amended 30.11.12)*

It shall be the responsibility of the Trainer to ensure that all feed, supplements, and additives fed to the greyhounds in his/her charge are free from any substance that may affect or influence their performance or prejudice their welfare. It will not be a defence in any Disciplinary proceedings, arising from a positive sample, for a Trainer to assert that they were unaware the feed, supplements and additives contained the prohibited substance.

#### **Rule 215 Bread containing Poppy Seed not to be fed to Greyhounds**

Bread containing poppy seed shall not be fed to racing Greyhounds and the following precautions shall be taken:

- i** All bread shall be carefully checked and sorted prior to adding to feed.
- ii** All bread that shows contamination with poppy seed shall be discarded.
- iii** All debris in bags and trays shall be discarded.
- iv** All packaged bread shall be discarded if there is any doubt regarding its ingredients.

#### **Rule 216 Greyhound Treatment Books and Kennel Books**

Trainers shall maintain their GBGB Greyhound Treatment Books and GBGB Kennel Books in accordance with the requirements set out in such books.

**Rule 217 Administration of medicaments to Greyhounds**

A Greyhound when taking part in a Race or Trial must at that time be free of any substance that could affect its performance or well being, the origin of which could not be traced to normal and ordinary feeding. The only permitted exceptions to this Rule are:-

- i medicinal products which have been authorised by the Veterinary Medicines Directorate for the suppression of a bitch's season, prescribed by a Veterinary Surgeon.
- ii medicinal products which have been authorised by the Veterinary Medicines Directorate as anti-parasitic drugs (for internal/external) parasites or as vaccines, licensed for use in canines.
- iii substances included in the GBGB published list or permitted treatments.

The application or administration by the track veterinary surgeon on duty, at their discretion, of a small amount of bandage or tape to a tail tip immediately prior to a race or trial is permitted.

The application of any substance, for any purpose on a Greyhound in the Racing Paddock, unless supplied, administered by and applied under the direct supervision of a licensed Veterinary Surgeon, or included in the GBGB published list of permitted treatments, is prohibited.

Any substances administered or applied to a Greyhound by a Trainer or Veterinary Surgeon shall be duly recorded in the Trainer's Greyhound Treatment Book, and that Greyhound must not Race or Trial for seven Days thereafter.

**Note for information:** Although most prohibited substances will clear the Greyhound's system within seven Days, some products may still be detectable more than seven Days after administration. However, it is the responsibility of Owners and Trainers to satisfy themselves in every case that a Greyhound complies with Rule 217 when taking part in a Race or Trial.

**Rule 218 (currently unused)**

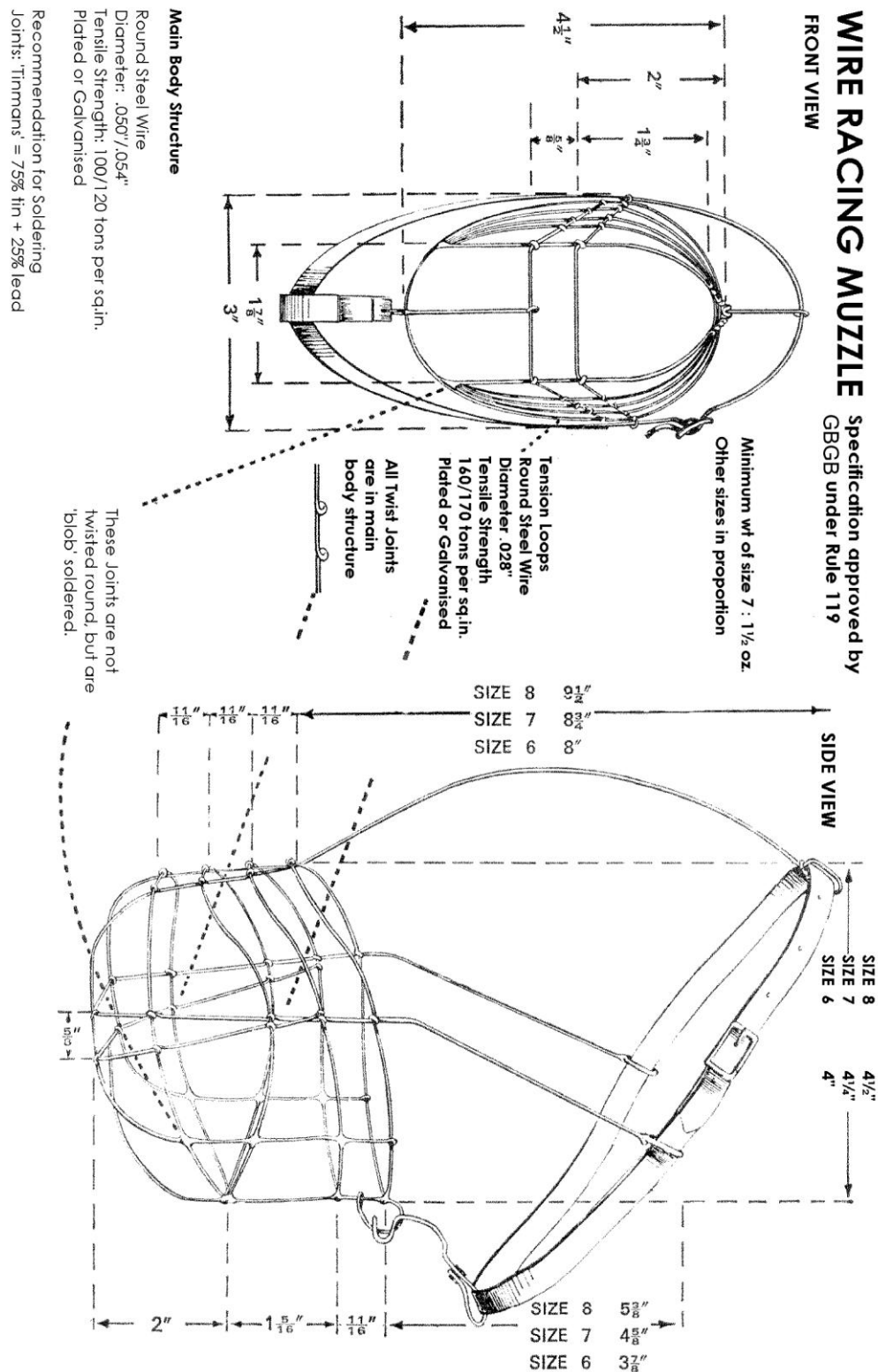
*(amended 30.11.12)*

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## Section 14 APPENDICES

## Appendix I

## WIRE RACING MUZZLE



**Appendix II****GUIDELINES FOR TRANSPORTATION OF GREYHOUNDS**

(Note: These guidelines may be amended as a consequence of more information on the detail of the Animal Welfare Act 2006 becomes available)

1. Introduction: The Transport of Greyhounds is an intrinsic element of the Greyhound Racing industry; dogs rarely live and Race at a single location. Furthermore, the frequency of transport is likely to be greater than for most other dogs and takes place when the animal is likely to be subject to significant physiological stress resulting from Racing or Trialling. It is therefore important that transport conditions are optimal. In addition, Greyhounds are one of the few non-farmed species where there is significant national and international trade. This results in some long distance transport when it is also important that adequate conditions are provided for the dogs.
2. These guidelines are intended to assist persons transporting Greyhounds to provide those conditions. They are not prescriptive except in a small number of areas such as cage sizes.
3. Legislation: The main section of these guidelines is intended to provide information to people transporting healthy Greyhounds. In most instances in the Racing industry it is likely that the law will regard such transport as 'commercial' and consequently subject to the Welfare of Animals (Transport) Order 1997, otherwise known as WATO and also to EU legislation number 1/2005 The conditions suggested in these guidelines should help transporters comply with WATO and 1/2005 but the full document can be found at: [www.defra.gov.uk](http://www.defra.gov.uk)
4. All trainers that transport more than two greyhounds on journeys in excess of 65km (40 miles) should complete and return an Animal Transporter Authorisation form. Copies can be obtained directly from DEFRA.
5. Of course all other animal welfare legislation is also applicable. The Animal Welfare Act imposes a general 'duty of care' on all Owners and Trainers (the term 'keeper' is used in the Act to provide good conditions for their dogs at all times. (Enacted 6.4.07)
6. General Conditions: During any transport by road the driver must be conscious of the fact that he or she is transporting sentient animals and has a duty of care to ensure that the standard of driving and care is commensurate with their comfort (i.e. drive sensibly). Keepers of Greyhounds should ensure that drivers have been trained and shown themselves to be competent in the care of the dogs.
7. The expected journey time from Trainer's Kennels to the attached Racecourse for Racing should be less than 8 hours.
8. Greyhounds should not be loaded for transport for at least 15-30 minutes after Racing or trialling to allow them to cool.
9. Planning: Habituation is vital to reduce transport stress. This should be achieved before 14 weeks of age if possible so that the Greyhound is used to being transported. It will also help to introduce a sapling to the wider world at the same age and well before initial Trials at about 11 months of age.
10. The conditions provided should partly be related to the length of the journey. All journeys should be properly planned and this should include planning in the

event that the expected journey time is exceeded as well as locations of stops for watering, feeding or emptying.

11. Other factors to be taken into account are the mode of travel e.g. car, sea, or air and the opportunity for rest.
12. No segment of a journey should exceed 24 hours and there must be a 12 hour rest period before any subsequent journey. If any part of the journey includes a sea passage this must be included in the journey time.
13. If greyhounds are transported in the back of a car, a dog guard should be used and all greyhounds should be muzzled. Government has not specified a limit to the number of greyhounds that can be carried loose in a car but trainers/drivers are responsible for ensuring that the greyhounds are not subjected to any unnecessary stress or suffering. Whilst it will therefore still be acceptable for small numbers of greyhounds to be carried sensibly and where practical in an estate car without the need for travel cages, it is not likely that larger numbers of greyhounds can be transported safely if loose in either a van or car. It is recommended that a maximum of two greyhounds be transported loose in the back of a vehicle. If more than two greyhounds are to be transported it is recommended that each greyhound be held in a separate travel cage built to the specifications detailed in Appendix II, subsection 17.
14. If it is necessary to move a litter of puppies before weaning they should travel together. If they travel with their dam this should be in a double cage.
15. When travel cages are used cages should be designed, constructed, maintained and operated so as to avoid injury and suffering and to ensure the safety of greyhounds. All such cages should be securely bolted to the transport vehicle. All cages should be built of an impervious, mesh material for maximum ventilation and include a carpeted or cushioned non-slip floor surface. Cages must be kept clean, regularly disinfected and in good condition.
16. Government legislation requires that: *"sufficient floor area and height is provided for the animals, appropriate for their size and intended journey".* Also, *"sufficient space shall be provided inside the animal's compartment and at each of its levels to ensure that there is adequate ventilation above the animals when they are in a naturally standing position, without on any account hindering their natural movement"*.
17. As an absolute minimum, cages must have the following dimensions: **35.56cm/14in width, 101.6cm/40in length, 76.2cm/30in height**. Trainers should note that these are only **MINIMUM** sizes; larger greyhounds require larger cages. Trainers are responsible for ensuring that their travel cages are suitable for the greyhounds being transported. Larger cage sizes still are required for journeys longer than 8 hours. Each transport vehicle should also include a cage of minimum width 76.2cm/30in, or 2 cages with a removable separator, to allow secure and comfortable transport of a particularly large or slightly injured greyhound.
18. For travel by air, IATA rules on cage sizes must be followed. The current IATA Live Animals Regulations are obtainable from The Stationery Office, Tel: 020 7838 8400.

19. Vehicle: The vehicle temperature should be maintained between 10°C and 26°C at all times during transport. When the driver is not in the same space as the Greyhounds a remote monitoring device must display the temperature in the Greyhound cabin and be visible from the driver's seat. If the temperature rises above 26°C it is important that dogs are regularly examined for signs of distress and removed from the vehicle to cool down if necessary. Temperature must be monitored and records kept.
20. Dogs keep themselves cool by evaporating water from their tongues by panting. In conditions of high temperature and high humidity this becomes ineffective and the dog will consequently suffer from heat stroke. It is therefore important that both temperature and humidity are controlled. Consequently wetting a dog to cool it may be counter-productive.
21. As a rough guide, if there is condensation inside a vehicle it is an indication that the humidity is too high and ventilation must be increased to prevent it.
22. The vehicle should be designed to provide a minimum of 12 air changes per hour at all times during transport. Cages in vehicles must have at least 40% of the wall area open to allow adequate air flow. This is best provided by a rust-proof (stainless) steel mesh door and back to the cage as well as additional open areas on its sides to allow ventilation through the cage.
23. Maintenance of temperature control and ventilation must be possible when the vehicle is stationary. This is best provided by full air conditioning. There must be a procedure for the provision of ventilation in an emergency; this may be as simple as opening all vehicle doors.
24. All vehicles carrying dogs must be equipped with a functioning and regularly serviced fire extinguisher. It may be advisable to provide an indication on the outside of the vehicle that live animals are being carried to alert the emergency services.
25. Care during the journey: For any journey over 4 hours adequate rest stops for watering, feeding and emptying must be included. Water must be provided every 4 hours or frequently enough to prevent dehydration. Emptying must be provided every 8 hours and food every 24 hours.
26. Greyhounds should have free access to water until loaded for a journey. No food should be given for 2 hours before travelling and an opportunity to empty should be provided immediately before loading.
27. Slightly ill or injured greyhounds can only be considered to be fit, and therefore able to be transported, in limited circumstances. This would include transport to a nearby veterinary surgery for diagnosis or treatment, or on short journeys where transport would not cause the animal additional suffering. Slightly ill or injured greyhounds are unlikely to be considered fit for any long journeys.
28. Where there is any doubt over the fitness of an animal, **a veterinary surgeon must be consulted before transporting the animal.**
29. Sedatives shall not be used on animals to be transported unless strictly necessary to ensure the welfare of the animals and shall only be used under veterinary supervision.

30. Vehicles should be driven sensibly and carefully to minimise discomfort to greyhounds
31. It is recommended that one lead and collar be carried for each greyhound being transported in a vehicle. This will facilitate the safe removal and restraint of greyhounds in an emergency.

## **Appendix III APPEAL PROCEDURE**

Introduction – The Appeal Board may hear an Appeal under Rule 163(ii) from any person who is aggrieved by the Disciplinary Committee decision at an Inquiry, or re-opened Inquiry held under Rule 163(i).

The conditions of Appeal, procedures for application for Appeal, and conduct of an Appeal are as follows:

### **APPEAL BOARD**

1. There shall be a panel of persons being not less than three and not more than five in number eligible to sit on the Appeal Board which shall be convened to hear appeals from decisions of the Disciplinary Committee to the extent permitted by these Rules.
2. The Nominations Committee of the GRB shall appoint a person eligible to serve as Chairperson of the Appeal Board. No person who has been subject to the Rules of Racing within the previous 5 years nor any current Member of the Disciplinary Committee shall be eligible to be Chairperson of the Appeal Board. Only a member or former member of the judiciary, Queen's Counsel, Barrister or Solicitor of at least 10 years call or admission shall be eligible to be a Chairperson of the Appeal Board.
3. The Nominations Committee of the GRB shall appoint the persons eligible to serve as members of the Appeal Board.
4. The GRB shall make arrangements for the reasonable remuneration of the members of the Appeal Board when serving on an Appeal Board.
5. The members of the Appeal Board shall serve between 1-3 years unless they resign earlier. A member of the Appeal Board (including the Chairperson) may be required to resign from the Appeal Board at the request of a majority of members of the Appeal Board. At the end of his/her term as a member of the Appeal Board a person may be elected for a further term of 1-3 years by the Nominations Committee of the GRB.

### **CONVENING OF THE APPEAL BOARD**

6. Upon notification of receipt by the GBGB of a Notice of Appeal as provided below, the Chairperson of the Appeal Board shall convene an Appeal Board consisting of him/herself and at least two persons chosen by him/her from the persons eligible to serve on the Appeal Board.
7. The GBGB shall in consultation with the Chairperson of the Appeal Board appoint an employee from within the GBGB to provide administrative support to the Appeal Board ("the Secretary").

### **COMMENCEMENT OF APPEAL**

8. A person wishing to appeal a decision of the Disciplinary Committee ("the Appellant") shall lodge a Notice of Appeal with the GBGB Director of Regulation within 28 days after the date on which the decision appealed against was made. Any Notice of Appeal lodged outside this 28 day period will not be considered.
9. The Notice of Appeal must:
  - (i) state the specific decision(s) being appealed;
  - (ii) state the decision(s) being sought from the Appeal Board;



- (iii) set out the ground(s) of appeal and the substantive injustice of allowing the decision appealed against to stand;
  - (iv) set out the facts upon which the appeal is based;
  - (v) attach a copy of every document and witness statement that was placed before the Disciplinary Committee in connection with the decision appealed against;
  - (vi) state whether the Appellant seeks an oral hearing of the appeal and the time estimate for such hearing; and
  - (vii) be signed by the Appellant, or his/her Counsel, Solicitors or other representative.
10. The Appellant shall lodge the sum of £750 as the appeal fee with the Director of Regulation within fourteen days after the decision appealed against was made. An Appellant who seeks relief from the requirement to lodge the appeal fee on account of hardship (which shall be the sole relevant consideration) shall lodge with the Notice of Appeal a statement requesting relief from the appeal fee requirement, setting out the relevant grounds and facts supporting the claim of hardship, and attaching any evidence relied upon. The request for relief shall be considered by the Chairperson of the Appeal Board without an oral hearing and whose decision whether to give partial or total relief shall be final without any requirement to give reasons. The appeal fee or any part of the appeal fee shall be repaid or withheld as the Appeal Board so directs.

#### **NEW EVIDENCE**

11. The Appeal Board shall not consider new evidence as this is dealt with under Rule 163(i) of the Rules of Racing. A person aggrieved at the Disciplinary Committee's decision at a re-opened Inquiry held under Rule 163(i) shall be entitled to appeal to the Appeal Board.

#### **CONDUCT OF APPEAL**

12. The Chairperson of the Appeal Board may upon application of the Appellant or otherwise, make any order, give any direction or instruction considered necessary for the proper conduct of the proceedings, including but not limited to the following:
- (i) lengthening or shortening any time limit;
  - (ii) adapting or dispensing with any procedural steps set out in these conditions;
  - (iii) requiring a record to be made of the proceedings or any part of them;
  - (iv) ordering any person bound by the Rules of Racing to attend a hearing;
  - (v) holding a preliminary hearing;
  - (vi) suspending the decision of the Disciplinary Committee pending the determination of the appeal; and
  - (vii) adjourning a hearing for such a period and upon such terms as he/she considers appropriate.

The decision of the Chairperson in respect of the matters set out above shall be final.

13. Unless the Appeal Board directs otherwise, the appeal shall not operate to suspend the decision of the Disciplinary Committee appealed against.

14. The Chairperson of the Appeal Board may dismiss an appeal with or without giving the Appellant an oral hearing if the Chairperson of the Appeal Board is satisfied that the Appeal is frivolous, vexatious or brought for any improper purpose.
15. Subject to the Appellant requesting an oral hearing in a Notice of Appeal which complies with the provisions regarding commencement of the Appeal as contained in paragraphs 8 to 10 above, and subject to paragraph 14 above, the Appellant shall be entitled to an oral hearing of the appeal. If the Appellant requests an oral hearing of the appeal to which he/she is entitled, the Secretary shall notify the Appellant in writing of the time, date and place of the oral hearing. Such notice shall be provided no later than 28 days before the proposed date of the oral hearing.
16. The Appellant shall lodge with the Secretary of the Appeal Board 3 copies of his/her written submissions summarising his/her case on the facts and the law no later than 14 days prior to the date of the hearing of the appeal notified by the Secretary.
17. If the Appellant fails to comply with paragraph 9(vi) above, or if the Appellant fails to attend a duly notified oral hearing, then the Appeal Board may proceed to consider and determine the appeal in the absence of the Appellant in such manner as it considers appropriate.
18. The appeal shall be considered by the Appeal Board and shall be by way of review of the Inquiry. The Appeal Board may hear oral evidence. The Appeal Board shall conduct the appeal hearing in such manner as it considers fit and otherwise in accordance with the Rules of Racing. Any such hearings shall be in private unless the Appeal Board otherwise directs.
19. The Appeal Board shall reach its decision on any issue on the appeal by a majority, and if the Appeal Board fails to reach a majority decision on any issue, the decision of the Chairperson of the Appeal Board shall be final.
20. The Appeal Board may confirm, reverse or otherwise vary the decision of the Disciplinary Committee. The Appeal Board shall have all of the powers that were available to the Disciplinary Committee in respect of the original decision.
21. The Appeal Board shall announce its decision to the Appellant as soon as is practicable in such manner as it considers appropriate. The Appeal Board shall, upon the receipt of a request in writing of the Appellant (such request to be received by the Secretary within 7 days of the announcement of the decision), give written reasons for the decision and may publish these reasons in such manner as the Chairperson thinks appropriate.

**Appendix IV TESTS, SAMPLES – PROCEDURE**

*(amended 01.01.12)*

Only one Greyhound at any one time shall be in the charge of a Trainer or Kennelhand who is the handler for the purpose of obtaining a sample.

A Licensed Official shall, in the presence of the handler:

- i** identify the Greyhound from its Identity Book or Scan Markings,
- ii** provide disposable gloves that the handler must wear on the bowl carrying hand,
- iii** provide a clean bowl for the handler,
- iv** supervise the obtaining of the sample,
- v** wear disposable gloves on the bowl carrying hand,
- vi** pour the sample from the bowl into the plastic bottle,
- vii** screw the cap on to the bottle, (avoiding gripping the security ring at the base of the cap),
- viii** attach the GBGB bar code label to the body of the bottle,
- ix** place the bottle in the numbered plastic bag, and seal it,
- x** complete the Sample Analysis form,
- xi** Clearly write the plastic bag number on the top copy of the green sample analysis form in the space provided. Ensure the number is readable on all three copies of the green form,
- xii** give the bottom copy of the form to the handler.

Following the above procedure a Licensed Official shall:

- xiii** retain the middle copy of the form,
- xiv** send the top copy of the form to the GBGB,
- xv** place the plastic bag into the individual cardboard box and post by first class mail to the designated laboratory approved by the GBGB.
- xvi** where a greyhound has died in circumstances described in Rule 173(iv) the trainer may ask another licensed trainer or local official to oversee the collection of a sample from the cadaver of the greyhound and sign the sample analysis form on their behalf.

**APPENDIX V (currently unused)**

Appendix V was published in the NGRC Calendar dated 19th December 2008 Volume 37 Number 25 and contained Rule Changes relating to the transfer of business and assets to the Greyhound Board of Great Britain Limited from the National Greyhound Racing Club Limited.

**APPENDIX VI GRB GUIDELINES, PROCESS AND PENALTIES**

*(amended 02.04.12)*

**Section 1: Introduction****Section 2: The Relevant Rules****Section 3: Powers available****Section 4: Purpose of Penalty****Section 5: Proportionality of the Penalty****Section 6: Description of the Penalty****Section 7: Penalty Guidelines****Section 8: Application of the disciplinary process****1 Introduction**

- 1.1 The GRB Guidelines, Process and Penalties are for use by the Stipendiary Stewards, Disciplinary and Appeal Committees when they are considering whether a penalty is appropriate, and if so which penalty to impose upon an individual. For ease of understanding these three parties are collectively referred to as the Disciplinary Authority throughout the remainder of this document.
- 1.2 It is important that those responsible for determining a penalty, and the person liable to the penalty issued under the GBGB Rules of Racing know, prior to any decision being made, which penalties are available and which matters the Disciplinary Authority should take into account when coming to a decision.
- 1.3 The Disciplinary Authority will exercise its own judgment in making decisions but, having regard at all times to the regulatory framework contained in the Rules of Racing, each case will be judged on its own merits.
- 1.4 The Disciplinary Authority should hear from the affected person, personally at a hearing. If attendance at the hearing is not possible, the Disciplinary Authority should receive a full written explanation from the affected person of the circumstances of the event that are the subject of the allegations, together with all matters that person considers are relevant in mitigation. Documentary evidence should, where possible, be submitted to support any assertions made with any supporting testimony. Where such documentary evidence has not been made available, for whatever reason, the Disciplinary Authority will make a judgment on the evidence presented.

**2 Who is affected by these Guidelines?**

- 2.1 Each Director, occupier, or Authorised Representative of a Racecourse Executive and every person who is an Owner, Authorised Agent, Licence holder or the holder of a temporary appointment under Rule 104, or who is a subject of Rule 83 (v), or is a registered Sales Agent shall be deemed to have read the Rules of Racing, including the GRB Guidelines, Process and Penalties contained in Appendix VI and then and thereafter whether or not he/she subsequently ceases to fall within one of the above categories to submit himself/herself to compliance with the Rules.

**3 Powers available**

- 3.1 The Disciplinary Authority must receive and deliberate on all the relevant evidence in a case. Once it has done so it may make any one or more of the following orders

against the affected person or may order that no further action be taken when it determines that none of the following orders is appropriate in the circumstances:

- a. that the affected person be subject to no further action; cautioned; reprimanded or severely reprimanded,
  - b. that the affected person be fined a sum not exceeding £5,000;
  - c. that the affected person's licence be withdrawn and no such further licence granted for a minimum period of six months/or greater period, including indefinitely, as the Disciplinary Authority thinks fit.
  - d. if he/she is an Owner, Authorised Agent or Licence Holder to be disqualified for any specific period or indefinitely from owning or being the Authorised Agent for that Owner of a registered Greyhound and the provisions of Rule 177 shall have effect: or
  - e. to be warned off for any specific period or indefinitely, namely to be excluded from any premises licensed by the GBGB, and the provisions of Rule 178 shall have effect. In any case where the Disciplinary Committee are minded to make an order warning off any person who is not otherwise subject to these Rules by virtue of Rule 2, that person shall have the right to show cause at an Inquiry why he/she should not be warned off; the provisions of Rule 1 (vi) shall apply.
- 3.2 In all cases the Disciplinary Authority may decide that a hearing be adjourned and/or referred to a hearing before a differently constituted Disciplinary Authority.
- 3.3 Rule 163 of the Rules of Racing permits the discretion to reopen any GRB Inquiry.
- 3.4 Appendix III of the Rules of Racing describes the Appeal procedure available to any person who is aggrieved by the Disciplinary Committee decision at an Inquiry, or at a re-opened Inquiry.
- 3.5 The Appeal Board may confirm, reverse or within its discretion change the decision of the Disciplinary Committee. The Appeal Board shall have available to it all the powers that were available to the Disciplinary Committee in respect of the original decision.
- 3.6 If at any time it appears to the GRB or Director of Regulation that, having regard for the seriousness of the alleged matters, the circumstances justify the temporary suspension of a Licence or temporary warning off of the Affected Person or temporary suspension of a Greyhound until an Inquiry held under this Rule, unless thereafter revoked, or until such earlier date as may be appropriate, pending the outcome of the Inquiry, the GRB or Director of Regulation shall have power to make such temporary order with immediate effect and any Affected Person or Owner or Authorised Agent in the case of a Greyhound may apply upon reasonable notice in writing to the GRB or Director of Regulation for the revocation of such order, and the GRB or Director of Regulation shall have power to revoke such order.
- 3.7 Under Rule 164 The Disciplinary Committee may order costs and expenses relating to a Local Inquiry and/or GRB Inquiry.

#### **4 Purpose of Penalty**

- 4.1 The purpose of a penalty issued by the Disciplinary Authority is to:
- a. protect the welfare of the greyhound
  - b. protect the integrity of greyhound racing
  - c. maintain public confidence in the greyhound industry
  - d. maintain proper standards of conduct of licensed persons

or a combination of the above.

- 4.2 The purpose of the Disciplinary Authority is not simply to discipline the affected person for any wrongdoing for which he or she may be responsible but to bring about a behavioural change in a clear and positive manner.
- 4.3 Any penalty issued by the Disciplinary Authority may be accompanied by an advisory notice. This notice will state that further breaches or continuance thereof may render a GBGB licence holder subject to an increased penalty. The objective of the advisory notice is to point out to the license holder what changes in behaviour or attitude are required.
- 4.4 These measures are designed to protect the greyhound, the betting public and to maintain integrity in the greyhound industry. In undertaking this function the Disciplinary Authority aims to maintain public confidence in the industry.

## **5 Consistency and Proportionality of Penalty**

- 5.1 In deciding what penalty is appropriate, the Disciplinary Authority needs to weigh the interests of the greyhound, and the public, against the actions of the licensed person.
- 5.2 Any penalty imposed should; be broadly consistent with previous penalties; be proportional to the seriousness of the breach of Rules; and should also be the minimum penalty necessary to achieve the purpose. To help determine these three guidelines the Disciplinary Authority must take into account all the circumstances of the case.
- 5.3 The Disciplinary Authority will need to consider in particular:
  - a. any relevant aggravating features such as previous breaches, compromising the welfare of the greyhound, violence or threats, associated criminality, gambling-related Rule breaches etc.
  - b. any relevant mitigating factors such as a clean previous record, admission of guilt, understanding of the offence and declared intention not to re-offend etc.
  - c. the personal circumstances of the individual and whether any extenuating circumstances should be taken into account, perhaps through recent bereavement, illness etc.
  - d. any character and/or other references provided in support of the individual.
  - e. whether the affected person could not have known or have taken reasonable steps to have known that the offence was being considered or committed.
  - f. whether the general evidence provided suggests that personal efforts are in line with the Rules of Racing, e.g. the treatment book has been completed properly.

## **6 Description of the Penalty**

- 6.1 The Disciplinary Authority may make any one or more of the following orders, except that a caution, a reprimand and a severe reprimand cannot be combined with each other.

### **No further action**

- 6.2 In all cases, the Disciplinary Authority may decide that it is appropriate to take no further action. For example, where the Rule breach is inadvertent, or the welfare of the greyhound is not jeopardised, the public is not at risk and there would be no purpose served by ordering a penalty.

### **Caution**

- 6.3 This is the lowest penalty that can be applied by the Disciplinary Authority. A caution may be appropriate where the conduct is at the lower end of the spectrum of perceived harm to the industry, but the Disciplinary Authority nevertheless wishes to indicate that the behaviour was unacceptable.

- 6.4 Relevant factors to take into consideration (this list is not exhaustive):
- a. evidence of no risk to the welfare of the greyhound
  - b. evidence of the affected person's understanding and appreciation of failings
  - c. the conduct was an isolated incident, and not deliberate
  - d. genuine regret has been expressed
  - e. previous good history

#### **Reprimand**

- 6.5 A reprimand is appropriate where the misconduct is considered to be of a minor nature and there is no continuing risk to the welfare of the greyhound, or risk to the betting public. There is evidence of the affected person's understanding and appreciation of the conduct found proved.

#### **Severe Reprimand**

- 6.6 A severe reprimand is appropriate where the misconduct is of a serious nature but there are particular circumstances of the case or mitigation advanced which satisfy the Disciplinary Committee that there is no continuing risk to the welfare of the greyhound, or risk to the betting public, and there is evidence of the affected person's understanding and appreciation of the conduct found proved. In effect a severe reprimand is just one step away from withdrawal of the licence, and may be a suitable penalty where the individual has repeatedly breached the Rules of Racing, or where previous reprimands have gone unheeded. No Stipendiary Steward may impose this penalty.

#### **Fine**

- 6.7 The Disciplinary Committee may impose a fine up to £5,000 as the only penalty, or combine a fine with an additional penalty. The Stipendiary Steward may impose a fine up to £1,000 as the only penalty, or combine a fine with an additional penalty. For example, the Disciplinary Authority may feel that a particular penalty is appropriate, but that it should be combined with a fine to reflect properly the seriousness with which it views the conduct. Or, in the case of the Disciplinary Committee, a fine may be appropriate where a higher penalty was being considered; for example, a near withdrawal of a licence might incur a severe reprimand plus a large fine. In other cases, the fine may reflect the amount by which the affected person has, or might have, financially profited from the Rule breach.

#### **Withdrawal of licence**

- 6.8 Withdrawal of licence is appropriate where this is the only means of protecting the welfare of the greyhound, or of protecting the betting public and/or the conduct is so serious as to undermine public confidence in the greyhound industry if the affected person were to remain licensed. A licence may be withdrawn indefinitely or for a specified period (see para. 3.1.c.). No Stipendiary Steward may impose this penalty.

#### **Disqualification**

- 6.9 Disqualification is a more severe penalty than Withdrawal of Licence and can be applied to both licensed and unlicensed persons as a means of protecting the welfare of the greyhound, or of protecting the betting public. A disqualification prevents the affected person holding any GBGB licence or operating in any licensed capacity, or being the registered owner of a greyhound. Disqualification may be imposed by the Disciplinary Committee only and for an indefinite or specified period. No Stipendiary Steward may impose this penalty.



**Warning Off**

- 6.10 Warning Off is the most severe penalty that can be handed down by the Disciplinary Committee and can be applied for a specific or indefinite period. This penalty disqualifies the affected person from holding any GBGB licence, operating in any licensed capacity or being the registered owner of a greyhound. In addition it excludes the affected person from any GBGB Licensed Racecourse and all places under their control and any other premises the subject of a GBGB licence, including any trainer's kennels and related facilities. No Stipendiary Steward may impose this penalty.

**7 Penalty Guidelines**

- 7.1 The Penalty Guidelines set out on the following page relate back to the **Section 4 - Purpose of the Penalty**. These are repeated here for ease of reference.
- 7.2 The purpose of a penalty issued by a Disciplinary Authority is to:
- a. protect the welfare of the greyhound
  - b. protect the integrity of greyhound racing
  - c. maintain public confidence in the greyhound industry
  - d. maintain proper standards of conduct of licensed persons
- 7.3 The following Penalty Guidelines are for guidance only and are not intended to be treated as a tariff.
- 7.4 Each case will be judged on its own merits. In assessing the appropriate penalty, the Disciplinary Authority must consider any aggravating or mitigating factors relevant to the alleged conduct in question. The Disciplinary Authority may depart from the Penalty Guidelines where there are aggravating factors that would increase it or mitigating factors, which would decrease it. The Disciplinary Authority is free to attach such weight as it thinks fit in its absolute discretion to any aggravating or mitigating factors. The aggravating and mitigating factors listed are illustrative only and are not exhaustive.
- 7.5 When the Disciplinary Authority has determined the appropriate penalty, it must then consider if there are any mitigating factors provided by the person responsible. Before reaching a final decision, the Disciplinary Authority will consider whether the penalty it has arrived at is the minimum necessary to achieve the purpose, and whether personal mitigation has been taken into account, in accordance with **Section 5 - Principle of Proportionality**.

**Example Offence - Failure to protect the welfare of the greyhound**

- 7.6 Penalty Guide: Disqualification. May include fine up to £5000.
- 7.7 Possible Aggravating Factors: Deliberate breach of the Rules pertaining to the welfare of the greyhound; involvement in unusual betting patterns; involvement in corrupt or fraudulent practice; doping of the greyhound.
- 7.8 Possible Mitigating Factors: Admission of guilt; previous good conduct; personal circumstances; expression of regret; acting under duress.

**Example Offence - Failure to protect the integrity of greyhound racing**

- 7.9 Penalty Guide: Disqualification. May include fine up to £5000.
- 7.10 Possible Aggravating Factors: Deliberate breach of Rules pertaining to the racing of the greyhound e.g. deliberately running greyhounds not qualified to race; involvement in unusual betting patterns; changing draw procedure for open races; sending races off before the advertised off-time in order to benefit from betting on known results; involvement in corrupt or fraudulent practice.
- 7.11 Possible Mitigating Factors: Admission of guilt; previous good conduct; personal circumstances; expression of regret.

**Example Offence - Undermining public confidence in the greyhound industry**

- 7.12 Penalty Guide: Reprimand. May include fine up to £1000.
- 7.13 Possible Aggravating Factors: Repeated Rule breaches; false witness statements or documentation; hostility to Stipendiary Steward; lack of co-operation; complaints from public.
- 7.14 Possible Mitigating Factors: Admission of guilt; previous good conduct; personal circumstances; expression of regret.

**Example Offence - Improper standard of conduct by licence holder**

- 7.15 Penalty Guide: Reprimand. May include fine up to £500.
- 7.16 Possible Aggravating Factors: Repeated Rule breaches; false witness statements or documentation; hostility to Stipendiary Steward; lack of cooperation; complaints from licensed persons.
- 7.17 Possible Mitigating Factors: Admission of guilt; previous good conduct; personal circumstances; expression of regret.

## **8 Application of the disciplinary process**

- 8.1 The purpose underpinning the Penalty Guidelines is to show the decision making process at each stage and the role of those within that process. The desired outcome is that of clarity and consistency in approach to cases. This is so whether a case is to be heard at a Local Inquiry level or through the Disciplinary Committee or to the Appeal Board.
- 8.2 The overriding factor is that the affected person who is subject to the GBGB Rules of Racing or those observing the process should be in no doubt as to what the procedures are and possible outcomes based upon these processes.
- 8.3 Two main processes have been identified that chart the decision making process. These are contained within the process maps with these GRB Guidelines, Process and Penalties.
- 8.4 The Decision Making Process: Functions

### **Preliminary Investigation**

- 8.4.1 The primary purpose of a Preliminary Investigation is to assess whether there has been a breach of a Rule. The role of the Local Stewards is to gather the available evidence. At this stage the Local Stewards can decide that an Inquiry is not required. This assessment must take place within 4 weeks of the incident occurring in order to assist with timeliness. Once the Local Stewards have reached a decision that an inquiry need not be called they will consult with the Area Stipendiary Steward who will ratify their decision and forward the case papers to the Senior Stipendiary Steward for filing. There will be no publication of this decision in the race card. If the Area Stipendiary Steward disagrees and believes there is a case to answer the matter will be subject to a Local Inquiry. The Area Stipendiary Steward will forward the case papers to the Senior Stipendiary Steward.

### 8.5 Local Inquiry (See Process Map)

#### Origin of a complaint or allegation

- 8.5.1 Any complaint from a third party, anonymous or otherwise, may be received by GBGB and require investigation. The complaint will be recorded.

#### Who decides the allocation of a complaint or allegation

- 8.5.2 A combination of two of the following namely, Director of Regulation (DoR), Senior Stipendiary Steward (SSS) or Manager of Welfare and Integrity Services (MWIS) will review the case and decide whether the matter is connected with a Racecourse and, if so, the Racecourse Executive will be informed. The only exception to this will be where a criminal allegation is involved that must be forwarded to the appropriate law enforcement agency.
- 8.5.3 Otherwise the reviewers will indicate in writing that the matter will be investigated and heard at local level by a Stipendiary Steward. An indication will be given on the papers as to who are considered to be the affected persons and which Rules are believed to have been breached.

#### Time limits for investigation

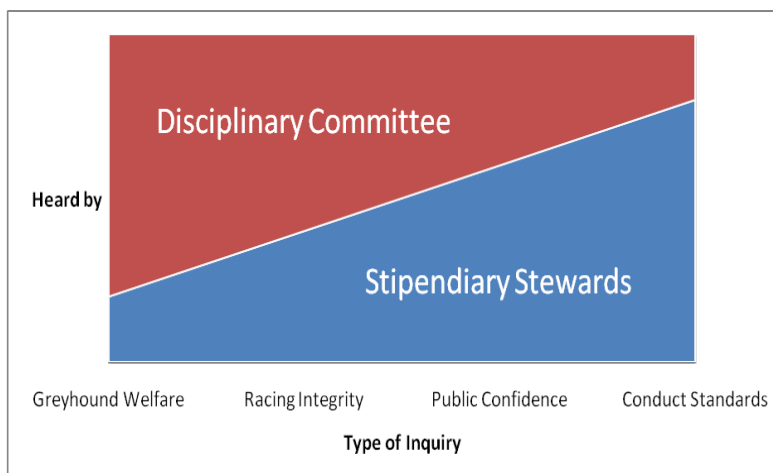
- 8.5.4 The result of the investigation carried out by the Stipendiary Steward (or Investigating Officer) will normally be completed within 9 weeks of the complaint being allocated to them (although in complex or protracted investigations this time may be extended). Normally within 12 weeks of the complaint or allegation the Local Inquiry is to be held or be adjourned. Within 3 days of a Local Inquiry being held the Stipendiary Steward shall send a written report to the DoR who shall promulgate such findings in writing and any penalties imposed by the Stipendiary Steward. The results of the Local Inquiry together with brief reasons shall be communicated in writing by the DoR to the person or persons subject to the Inquiry as soon as practicable after the conclusion of the Inquiry.

#### Review of Investigation

- 8.5.5 An investigation undertaken by a GBGB Investigating Officer will be forwarded to the DoR who will invite the SSS and MWIS to review the case. The options are either to forward to the Stipendiary Steward to hear as described above, or to forward for a hearing before the Disciplinary Committee.

#### Cases to be determined by the Stipendiary Steward

- 8.5.6 The expectation is that the outcome of the majority of all cases will be determined by a named Stipendiary Steward who may be accompanied by a second Stipendiary Steward, however all cases of positive samples will be forwarded to the DoR for determination before the Disciplinary Committee. The pictorial chart shown below illustrates this process.

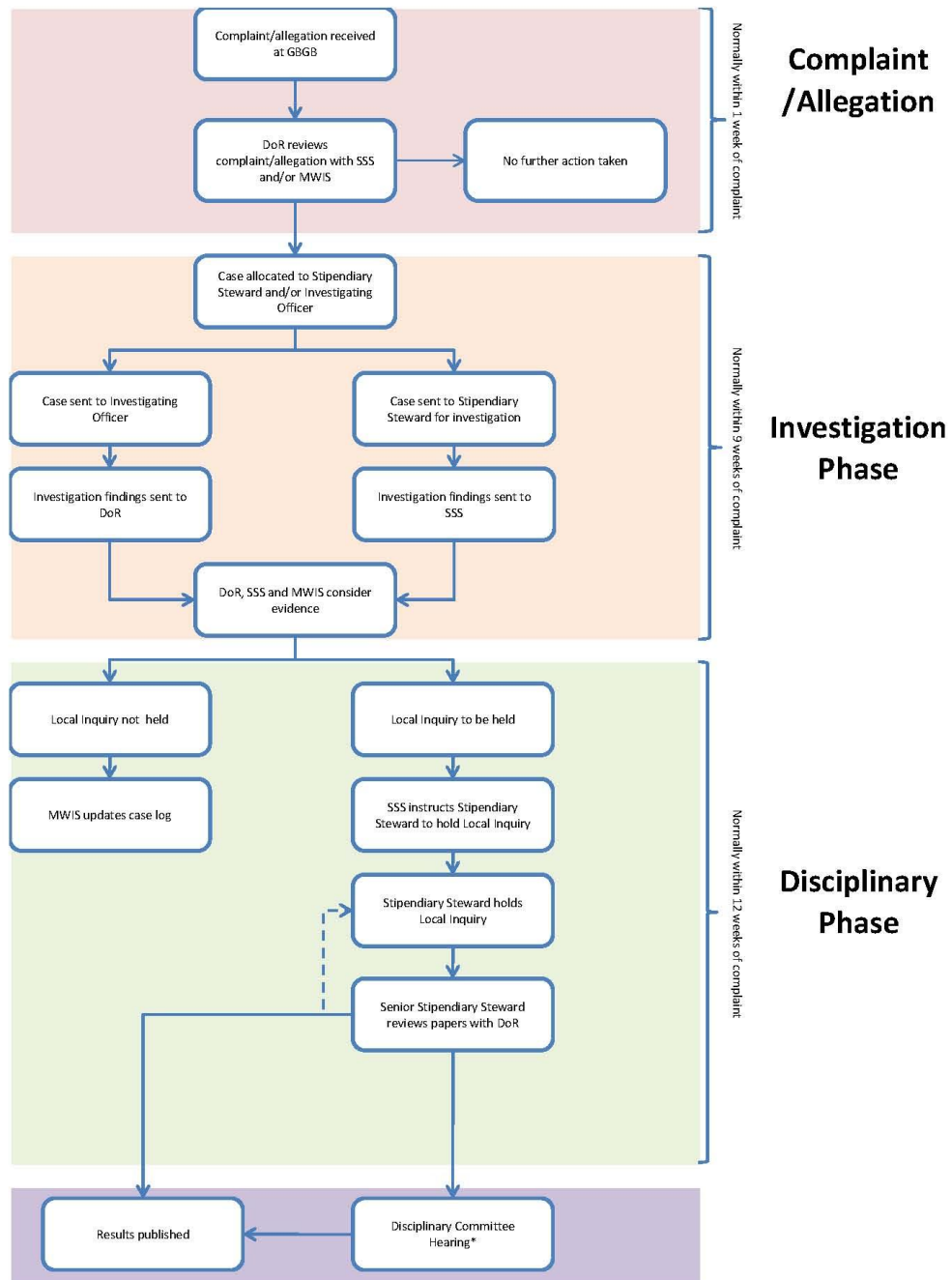


- 8.5.7 Stipendiary Stewards will also hear, where appropriate, those cases investigated by one of the GBGB Investigators where after review by a combination of the DoR, Senior Stipendiary Steward or MWIS it is considered proportionate for the case papers to be forwarded to the Area Stipendiary Steward to be heard.
- 8.5.8 In all cases the Stipendiary Steward will be responsible for informing the Authorised Representative and the Racing Manager in writing that they will be conducting a Local Inquiry. The written communication will detail those considered as the affected person(s) and state the possible breach of the Rules.
- 8.5.9 The Stipendiary Steward will also write to the affected person setting out the possible breach of Rules. That written communication will also contain a reference to the process by which an affected person can, if they wish, accept the breach and the consequences of so doing.
- 8.5.10 The Racing Manager will arrange the Local Inquiry and inform all parties of the date, time and place. It is the responsibility of the Racing Manager to ensure that the Local Inquiry takes place within 21 days of notification by the Stipendiary Steward, see paragraph 8.5.8.
- 8.5.11 Upon hearing the evidence at the Local Inquiry the Stipendiary Steward shall refer to **Section 5 – Consistency and Proportionality of Penalty** and consider the appropriate penalty as laid down in **Section 6 – Description of the Penalty**. Some examples of the types of breach and attendant sanctions are outlined in **Section 7 – Penalty Guidelines**.
- 8.5.12 If at the Local Inquiry an affected person chooses not to submit themselves to the jurisdiction of the Stipendiary Steward the case papers will be forwarded to the DoR and the case will proceed to a Disciplinary Hearing.

**Stipendiary Steward having held a Local Inquiry refers case back to the DoR**

- 8.5.13 Cases may arise where for whatever reason a Stipendiary Steward having heard a Local Inquiry forwards the case papers to the DoR to consider. These matters will be rare given the expectation that the Stipendiary Steward will deal with the majority of cases. If this does occur and the DoR having reviewed the case with either the SSS or MWIS consider that it should continue to be dealt with by the Stipendiary Steward, rather than the Disciplinary Committee, the case may be referred back to the Stipendiary Steward under Rule 159 (iv). Where the case is to be brought before the Disciplinary Committee it will normally be heard within 16 weeks of the complaint or allegation.
- 8.5.14 From any Local Inquiry the papers will be registered with the DoR and the results published.

## Local Inquiry Standard Operating Procedure

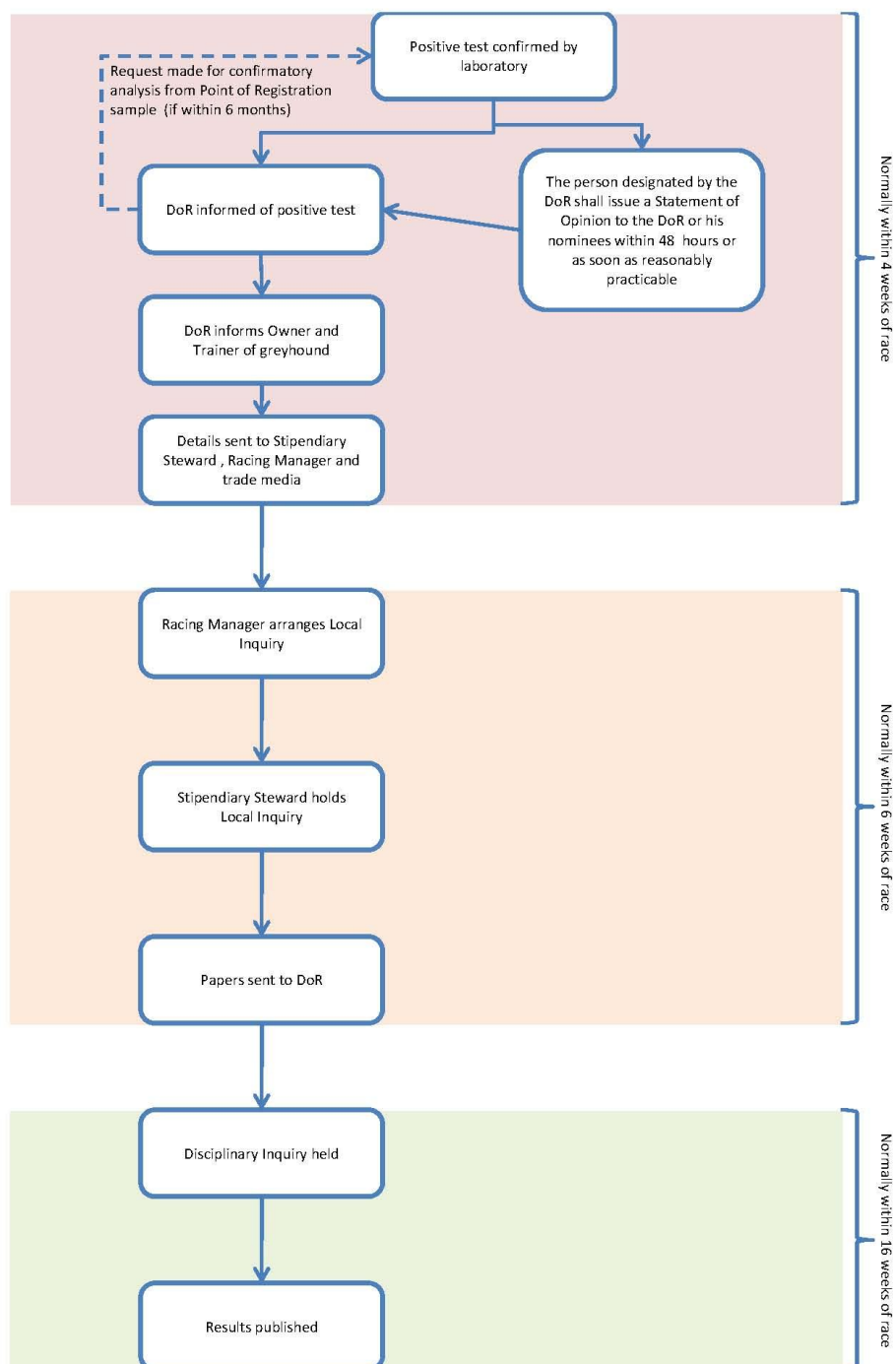


\*Disciplinary Committee Hearings will normally take place within 16 weeks of complaint

**8.6 Positive Sample (See Process Map)**

- 8.6.1 Samples will be taken from greyhounds in accordance with the procedures laid out at Appendix IV of the GBGB Rules of Racing.
- 8.6.2 Notification of a positive sample will be referred from the laboratory dealing to the Director of Regulation and any person designated by the DoR to receive, prepare reports or distribute such information on his behalf. They may also confidentially consult other authorities at their discretion.
- 8.6.3 Within 48 hours or as soon as reasonably practicable a person designated by the Director of Regulation will provide the DoR with a Statement of Opinion.
- 8.6.4 The DoR will in accord with internal procedures ensure that the owner and trainer are informed of the positive sample before the details are sent to the Racing Manager of the Racecourse where the sample was taken or the media informed. This should be within four weeks of the race taking place.
- 8.6.5 The Stipendiary Steward will be instructed to hold a Local Inquiry and will notify the Authorised Representative by letter setting out the details, as referred to under the heading **Cases to be heard by the Stipendiary Steward**. The Racing Manager is responsible for informing all parties; including the Authorised Representative of the Racecourse concerned of the date and time a Local Inquiry is to be heard (see paragraph 8.5.10).
- 8.6.6 Normally within 16 weeks of the race a Disciplinary Committee hearing will be held. At the conclusion the results will be published and the relevant parties informed.

## Positive Sample Standard Operating Procedure





## 8.7 The Decision Making Process: Instructions

### Acceptance that a Rule has been breached

- 8.7.1 It will be open to the person responsible to be able to agree that they have committed a breach or breaches of the Rules. This plea can be entered at the Local Inquiry or at a Disciplinary hearing. This should be taken into consideration when, having heard any mitigation, the Stipendiary Steward or the Disciplinary Committee is deciding upon a penalty. The Disciplinary Authority will have regard to **Section 5 – Consistency and Proportionality of Penalty**. They should take into consideration the type of breach being dealt with, previous disciplinary history, if any, and any other relevant factors. In reaching a decision on penalty, the Disciplinary Authority should give credit for such a plea.

### Roles and Responsibilities

- 8.7.2 **Director of Regulation.** The DoR has overall responsibility for enforcement of the Rules as laid down by the GBGB. In consultation with the SSS and the MWIS he has responsibility for the day to day running of the sampling and investigative strategy, including those matters undertaken by the Stipendiary Stewards.
- 8.7.3 In reaching any decision as to whether a breach of the Rules has occurred the DoR with the SSS and the MWIS will assess whether a breach of the Rules has occurred. Depending upon the nature of the alleged breach, one of the processes outlined above will be followed.
- 8.7.4 In order to assist them at this stage of the decision making process, the DoR, SSS and MWIS as part of the Disciplinary Authority, can refer to the principles enunciated under **Section 4 – Purpose of Penalty**. Factors to consider include:
- a. Protect the welfare of the greyhound
  - b. Protect the integrity of greyhound racing
  - c. Maintain public confidence in the greyhound industry
  - d. Maintain proper standards of conduct of licensed premises
- 8.7.5 In addition, recognition is given to Rule 174 (sub section (i) only) which designates matters that can only be heard before the Disciplinary Committee. The DoR also has at his discretion the powers available under Rule 159 i-iv which allow for a complaint to be dismissed, no further action to be taken, referral to the Disciplinary Committee or to refer a case back to a Stipendiary Steward where a Local Inquiry has already been heard.
- 8.7.6 **Manager of Welfare and Integrity Services.** Is the Deputy to the DoR and will form part of the tripartite decision making function in assessing the course of action to be taken under the processes described above.

### Local Inquiry – Role of the Stipendiary Steward

- 8.7.7 The Stipendiary Steward will undertake all Local Inquiries or investigations delegated to them within the allotted timescales laid out above.
- 8.7.8 Where a Local Inquiry is held or where a matter they have referred to the DoR for consideration is referred back to them to administer a sanction the Stipendiary Steward in administering a sanction will have regard to the following principles:
- a. Protect the welfare of the greyhound
  - b. Protect the integrity of greyhound racing
  - c. Maintain public confidence in the greyhound industry
  - d. Maintain proper standards of conduct of licensed premises
- 8.7.9 The Stipendiary Steward will take into account when dealing with each case on an individual basis; **Section 5 – Consistency and Proportionality of Penalty**.